

# F00000002752

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660 East Jefferson Street  
Tallahassee, FL 32301  
850-222-1092

DATE: 5/16

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\*\*\*1220.00 \*\*\*1220.00

Corporation(s) Name

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\_\_\_\_\_  
\_\_\_\_\_

Putnam Lovell Securities, Inc.

FILED  
DEPARTMENT OF STATE  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA  
RECEIVED  
00 MAY 16 PM 11:50  
00 MAY 16 PM 11:10

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|---|--|---------------------------------|
| <input checked="" type="checkbox"/> Profit  | <input type="checkbox"/> Amendment       | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Nonprofit  |  |                                 |
| <input checked="" type="checkbox"/> Foreign   | <input type="checkbox"/> Dissolution     | <input type="checkbox"/> Mark   |
| <input type="checkbox"/> LLC  | <input type="checkbox"/> Withdrawal      |                                 |
| <input type="checkbox"/> Limited Partnership  | <input type="checkbox"/> UBR             | <input type="checkbox"/> Other  |
| <input type="checkbox"/> Reinstatement  | <input type="checkbox"/> Fictitious Name | <input type="checkbox"/> Ch. RA |
| <input type="checkbox"/> UCC <input type="checkbox"/> 1 or <input type="checkbox"/> 3 |  |                                 |

\*\*\*Special Instructions\*\*

(A)  
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| <input type="checkbox"/> Certified Copy  | <input type="checkbox"/> Photocopies        | <input type="checkbox"/> CUS       |
| <input type="checkbox"/> Arts/ameds/mergers <input type="checkbox"/> Other-See Above |   |                                    |
| <input checked="" type="checkbox"/> Walk in  | <input checked="" type="checkbox"/> Pick-up | <input type="checkbox"/> Will Wait |

Please Return Filed Stamped Copies To:

Carol Clark

Thank You!

372 5/16



**A. DIRECTORS (Street address only - P.O. Box NOT acceptable)**

Chairman: see attached for complete list of directors

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: see attached for complete list of directors

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

FILED SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
00 MAY 16 PM 4:50

**B. OFFICERS (Street address only - P.O. Box NOT acceptable)**

President: see attached for complete list of officers

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

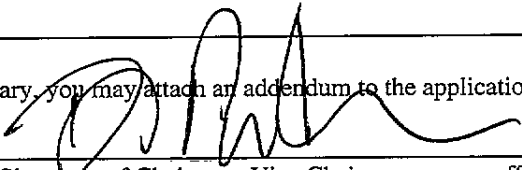
Secretary: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. CHAIRMAN'S CEO  
(Typed or printed name and capacity of person signing application)

**Putnam Lovell Securities Inc.  
Officers' List**

SECURITIES AND EXCHANGE COMMISSION  
DIVISION OF CORPORATIONS  
MAY 16 PM 4:50  
Same

Name	Title	Street Address	City	Zip
Putnam, Donald H	Chairman, CEO and Managing Director	212 Union Street	San Francisco	94133
Lovell, Jeffrey D	President & Managing Director	2017 Paseo Del Sol	Palos Verdes Est	90274
Morris, Jr., Richard I.	Secretary, Treasurer & Managing Director, COO	11 Shelley Court, 56 Tile Street	London, England	SW 34JB
Hanson, William P	Managing Director	256 Loring Avenue	Pellam	10803
Thornton, Mary Pat	Executive Vice President & Managing Director	One Pierrepont St	Brooklyn	11201
Baker Jr., John P	Managing Director	5 Yorke Road	Convent Station	07960
Capra, Alfred M.	Managing Director	630 First Avenue	New York	10016
Conlon, John A.	Managing Director	75 Orchard Road	Riverside	06878
de Urquileta, Kathleen Smythe	Managing Director	2160 Lake Street	San Francisco	94121
Hershtberger, Joseph R	Managing Director	114 Spring St. #5	New York	10012
MacDonald, John J	Managing Director	121 Brookside	Darien	06820
Minnick, James E.	Managing Director	3421 St. Davids Road	Newtown Square	19073
Mullen, Francis T.	Managing Director	420 Calle Del Mar	Stinson Beach	94970
Pierson, Steven C	Managing Director	312 E 23rd St #10C	New York	10010
Reeves, Jacqueline D.	Managing Director	5533 N Military Trl, Apt. 1706	Boca Raton	33496
Rosen, Rhonda B.	Managing Director	400 E. 84th Street, Apt. 17B	New York	10028
Scalzo, Mark C	Managing Director	6899 Sunriver Lane	Vallejo	94591
Stell Jr., M. Peter	Managing Director	285 Central Park W	New York	10024
Fishkin, Paul A.	Sr. Vice President	17 Ridgeway Road	Port Washington	11050
Fleisher, Robert T	Sr. Vice President	175 W 79th St #11 E	New York	10024
Llodra, Michael R	Sr. Vice President	315 E 68th Street, Apt 4B/4C	New York	10021
Wisnner, Jeffrey J.	Sr. Vice President	1719 Webster Street	San Francisco	94115
Atherton, Adam P.	Vice President	222 1/2 Filbert St.	San Francisco	94133
Coulter, Paul C.	Vice President	200 Embree Court	Westfield	07090
Epstein, Neal M	Vice President	16 W 16th St #7e-N	New York	10011
Ernst, Charles N.	Vice President	157 W. 80th, Apt. 3B	New York	10024
Hahn, Milton S	Vice President	105 South Irena Ave., Apt. #5	Redondo Beach	90277
Heppe Jr, John E.	Vice President	Churchill Court 3E, 237 W. Montgomery	Haverford	19041
King-McPherson, Heather	Vice President	2221 Latkin #2	San Francisco	94109
Klayman, Barry M.	Vice President	191 Brewster Road	Scarsdale	10583
Morgan, Mark A.	Vice President	3892 Sacramento St., Apt. 4	San Francisco	94118
O'Connor, Rodric R.	Vice President	3320 21st Street #1	San Francisco	94110
Schubert, Scott D.	Vice President	407 Park Ave. South, Apt. 6A	New York	10016
Stefenson, Jeff	Vice President	550 Battery Street, Apt. #702	San Francisco	941112321
Tronoski, Anastasia	Vice President	588 West End Ave	New York City	10024

State of Delaware  
Office of the Secretary of State

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PAGE 1

FILED  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
00 MAY 16 PM 4:50

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "PUTNAM LOVELL SECURITITES INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TENTH DAY OF MAY, A.D. 2000.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



*Edward J. Freel*

Edward J. Freel, Secretary of State

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AUTHENTICATION: 0430780

DATE: 05-10-00