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2018-04-19 12:23:46 PST

1217029573 From: Kimberly Laughrey

Division of Corporations

Florida Department of State Division of Corporations Electronic Filing Cover Sheet

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COR AMND/RESTATE/CORRECT OR O/D RESIGN NORTHERN TRUST INVESTMENTS, NATIONAL ASSOCIATION

Certificate of Status	0
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C. GOLDEN
APR 20 2018

**PROFIT CORPORATION
 APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO
 APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
 (Pursuant to s. 607.1504, F.S.)**

FILED
2018 APR 19 PM 3:21
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**SECTION I
 (1-3 MUST BE COMPLETED)**

F00000002584

(Document number of corporation (if known))

1. Northern Trust Investments, National Association
 (Name of corporation as it appears on the records of the Department of State)

2. United States 3. May 9, 2000
 (Incorporated under laws of) (Date authorized to do business in Florida)

**SECTION II
 (4-7 COMPLETE ONLY THE APPLICABLE CHANGES)**

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? December 30, 2010

5. Northern Trust Investments, Inc.
 (Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

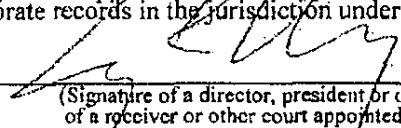
6. If the amendment changes the period of duration, indicate new period of duration.

 (New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

Illinois
 (New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.



 (Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Craig R. Carberry
 (Typed or printed name of person signing)

Secretary
 (Title of person signing)



State of Illinois
Department of Financial and Professional Regulation
Division of Banking

Date: April 17, 2018

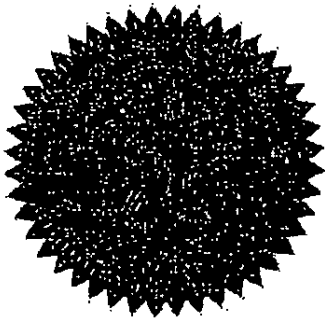
Certified Copy

I, MARC A. EDWARDS, do hereby certify that the attached is a true and correct copy of the Certificate of Conversion, dated December 30, 2010 for NORTHERN TRUST INVESTMENTS, INC., COOK COUNTY, CHICAGO, ILLINOIS.

IN TESTIMONY WHEREOF, I hereby subscribe my name.

DEPARTMENT OF FINANCIAL AND
PROFESSIONAL REGULATION of the
State of Illinois;
BRYAN A. SCHNEIDER, SECRETARY

DIVISION OF BANKING



A handwritten signature in cursive script that reads "Marc A. Edwards".

Marc A. Edwards
Assistant Director

State of Illinois
Department of Financial and Professional Regulation

Number 3473

Date December 30, 2010

Certificate of Conversion

WHEREAS, Northern Trust Investments, N. A. ("Converting National Bank") located at 50 South LaSalle Street, Chicago, Illinois, has filed with the Department of Financial and Professional Regulation ("Department"), Division of Banking, the necessary documents as required pursuant to Section 25 of the Illinois Banking Act ("Act") for the purpose of converting to Northern Trust Investments, Inc. ("Resulting State Bank"); and

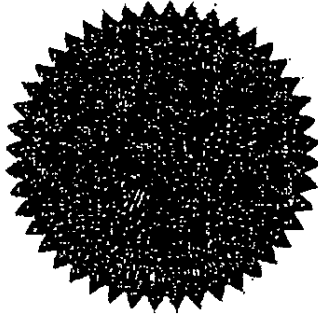
WHEREAS, after review of the documents specified in Section 25 of the Act, the Department is of the opinion and finds:

- (a) that Resulting State Bank meets the requirements of the Act for the formation of a new bank at the proposed place of business of Resulting State Bank;
- (b) that the same matters exist with respect to the Resulting State Bank which would have been required under Section 10 of the Act for the organization of a new bank;
- (c) that the plan of conversion is fair to all persons affected; and

WHEREAS, it appears that Converting National Bank has followed the procedures prescribed by the laws of the State of Illinois and the United States to convert to a state bank.

NOW, THEREFORE, by virtue of the power vested by the Act, the plan of conversion is hereby approved and Northern Trust Investments, Inc. is hereby authorized to commence business at 50 South LaSalle Street, Chicago, Illinois under Charter Number 3474, issued December 30, 2010, as a state bank pursuant to the provisions of the Illinois Banking Act for a perpetual term, unless sooner terminated by due process of law.

IN TESTIMONY WHEREOF, I hereunto subscribe my name and affix the seal of my office, the day and year first above written.



DEPARTMENT OF FINANCIAL AND
PROFESSIONAL REGULATION;
BRENT E. ADAMS, SECRETARY

DIVISION OF BANKING

JORGE A. SOLIS
Director