

# 2008 NOT-FOR-PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F00000001419

FILED  
Apr 08, 2008  
Secretary of State

Entity Name: FINRA DISPUTE RESOLUTION, INC.

## Current Principal Place of Business:

1735 K STREET, NW  
WASHINGTON, DC 20006

## New Principal Place of Business:

## Current Mailing Address:

9509 KEY WEST AVE  
ATTN: FINANCE/TAXES  
ROCKVILLE, MD 20850

## New Mailing Address:

FEI Number: 52-2187577      FEI Number Applied For ( )      FEI Number Not Applicable ( )      Certificate of Status Desired ( )

## Name and Address of Current Registered Agent:

CORPORATE CREATIONS NETWORK INC.  
11380 PROSPERITY FARMS RD #221E  
PALM BEACH GARDENS, FL 33410 US

## Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

## OFFICERS AND DIRECTORS:

Title: PD ( ) Delete  
Name: FIENBERG, LINDA D  
Address: 1735 K ST. NW  
City-St-Zip: WASHINGTON, DC 20006

Title: SVPS ( ) Delete  
Name: SWEENEY, BARBARA Z  
Address: 1735 K ST. NW  
City-St-Zip: WASHINGTON, DC 20006

Title: SVP ( ) Delete  
Name: ANDRICHUK, KENNETH L  
Address: 165 BROADWAY  
City-St-Zip: NEW YORK, NY 10006

Title: EVP ( ) Delete  
Name: FRIEDMAN, GEORGE H  
Address: 165 BROADWAY  
City-St-Zip: NEW YORK, NY 10006

Title: D ( ) Delete  
Name: SMITH, SHARON P  
Address: 11255 NORTH TORREY PINES ROAD  
City-St-Zip: LA JOLLA, CA 92037

Title: D ( ) Delete  
Name: BACHMANN, JOHN W  
Address: 12555 MANCHESTER RD.  
City-St-Zip: SAINT LOUIS, MO 63131

## ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: P (X) Change ( ) Addition  
Name: FIENBERG, LINDA D  
Address: 1735 K ST. NW  
City-St-Zip: WASHINGTON, DC 20006

Title: SVPS (X) Change ( ) Addition  
Name: ASQUITH, MARCIA E  
Address: 1735 K ST. NW  
City-St-Zip: WASHINGTON, DC 20006

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: D (X) Change ( ) Addition  
Name: BOWSHER, CHARLES A  
Address: 4503 BOXWOOD ROAD  
City-St-Zip: BETHESDA, MD 20816

Title: D (X) Change ( ) Addition  
Name: BRENNAN, JOHN J  
Address: 100 VANGUARD BOULEVARD  
City-St-Zip: MALVERN, PA 19355

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: MARCIA E. ASQUITH

SVPS

04/08/2008

Electronic Signature of Signing Officer or Director

Date