

2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F00000001287

FILED
Apr 28, 2011
Secretary of State

Entity Name: FIRST SOUTHWEST ASSET MANAGEMENT, INC.

Current Principal Place of Business:

325 N SAINT PAUL ST
STE 800
DALLAS, TX 75201 US

New Principal Place of Business:

Current Mailing Address:

325 N SAINT PAUL ST
STE 800
DALLAS, TX 75201 US

New Mailing Address:

FEI Number: 75-2397709

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CAPITOL CORPORATE SERVICES, INC.
155 OFFICE PLAZA, STE. A
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: CD
Name: FEINBERG, HILL A
Address: 325 N SAINT PAUL ST STE 800
City-St-Zip: DALLAS, TX 75201 US

Title: PD
Name: MEDANICH, DAVID K
Address: 777 MAIN ST STE 1200
City-St-Zip: DALLAS, TX 76102 US

Title: V
Name: COMMONS, DAVID A
Address: 325 N SAINT PAUL ST STE 800
City-St-Zip: DALLAS, TX 75201 US

Title: S
Name: WITTNEBEN, BRIAN L
Address: 325 N SAINT PAUL ST STE 800
City-St-Zip: DALLAS, TX 75201 US

Title: D
Name: MARZ, MICHAEL J
Address: 325 N SAINT PAUL ST STE 800
City-St-Zip: DALLAS, TX 75201 US

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: DAVID A. COMMONS

V

04/28/2011

Electronic Signature of Signing Officer or Director

Date