

F00000001287

TRANSMITTAL LETTER

To: Qualification/Tax Lien Section
Division of Corporations

SUBJECT: First Southwest Asset Management, Inc.
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following: 400003158914--2

Mr. Bill Timm

(Name of Person)

First Southwest Company

(Firm/Company)

1700 Pacific Avenue, Suite 500

(Address)

Dallas, Texas 75201

(City/State/Zip)

Should you need to call someone concerning this matter, please call:

Mr. William C. Timm

(Name of Person)

at (214) 953 - 4000

(Area Code & Daytime Telephone Number)

FILED
00 MAR -6 PM 2:00
TALLAHASSEE, FLORIDA

Name Availability	STREET ADDRESS:
Document Examiner	Qualification/Tax Lien Section Division of Corporations 409 E. Gaines St. Tallahassee, FL 32399
Updater	Enclosed is a check for the following amount: DCC
Verifier	W. P. Verifier DCC
Acknowledgement	

MAILING ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

- ☒ \$70.00 Filing Fee
☐ \$78.75 Filing Fee & Certificate of Status
☐ \$78.75 Filing Fee & Certified Copy
☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

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12. Names and addresses of officers and/or directors: (Street address **ONLY** - P.O. Box **NOT** acceptable)

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: Paul E. Schlosberg

Address: 1700 Pacific Avenue, Suite 500
Dallas, Texas 75201

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: _____

Address: _____

Vice President: _____

Address: _____

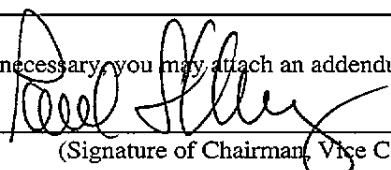
Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Paul E. Schlosberg, Chairman
(Typed or printed name and capacity of person signing application)

FILED
00 MAR -6 PM 2:00
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

State of Delaware
Office of the Secretary of State PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "FIRST SOUTHWEST ASSET MANAGEMENT, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIFTEENTH DAY OF FEBRUARY, A.D. 2000.

FILED
00 MAR -6 PM 2:00
SECRETARY OF STATE
TALLAHASSEE, FLORIDA





Edward J. Freel, Secretary of State

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AUTHENTICATION: 0259765
DATE: 02-15-00