## 000000001287 TRANSMITTAL LETTER

To: Qualification/Tax Lien Section Division of Corporations	
SUBJECT: First Southwest Asset Management, Inc.	
(Name of corporation - must include suffix)	
Dear Sir or Madam:	
The enclosed "Application by Foreign Corporation for Authorization to Transact Busine "Certificate of Existence", and check are submitted to register the above referenced foreign to transact business in Florida.	
Please return all correspondence concerning this matter to the following: 4000000000000000000000000000000000000	21529142 06700-01128-002 **70.00 *****70.00
Mr. Bill Timm (Name of Person)	
· · · · · · · · · · · · · · · · · · ·	-
First Southwest Company (Firm/Company)	<del>-</del>
(Firm/Company)	
1700 Pacific Avenue, Suite 500	
(Address)	<b>[] [] [] []</b> [] [] [] [] [] [] [] [] [] [] [] [] []
Dallas, Texas 75201	
(City/State/Zip)	
Should you need to call someone concerning this matter, please call:	ED 2:00
Mr. William C. Timm at (214) 953 - 4000  (Name of Person) (Area Code & Daytime Telephone Num	
(Nata Code & Dayline Telephone Name	001)
Name STREET ADDRESS: MAILING ADDRESS:	
Docume Qualification/Tax Lien Section  Examine Division of Corporations  409 E. Gaines St.  Updater Tallahassee, FL 32314  Qualification/Tax Lien Section Division of Corporations P.O. Box 6327  Tallahassee, FL 32314	
UpdaterEnclosed is a check for the following amount:  Verifyer DCC	
Ackno ledgement DCC S78.75 Filing Fee & S78.75 Filing Fee & S87. Certificate of Status Certified Copy Certificate of Status	.50 Filing Fee, rtificate of Status &
W. P. Verifyer DCC	rtified Copy

F00000001287

W. P.

## APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. First Sou	ithwest Asset Managemen	it, inc.		
words or abbrev		e as will clearly indicate	COMPANY", "CORPORATION" atte that it is a corporation instead of	
natural person c	or partnership if not so contained	in the name at presen	ι.)	
2. Delaware		3	75 - 2397709	<u> </u>
(State or country	y under the law of which it is inco	orporated)	(FEI number, if applicable	()
	91	5	Perpetual	<u> </u>
(Dat	te of incorporation)	(Duration:	Year corp. will cease to exist or "pe	rpetual")
6. None to d	late			
(Date first	t transacted business in Florida.)	(SEE SECTIONS 60'	7.1501, 607.1502 and 817.155, F.S.)	)
7 1700 Paci	fic Avenue, Suite 1300			
7. <u>1700 racı</u>	ite Avende, Saite 1500			00 ES
Dallas, T	exas 75201	·		j- ()
	(Current	mailing address)		A T
8. <u>Investmen</u>	t Advisory Services			
· -		-	o be carried out in state of Florida)	
9. Name and str	eet address of Florida regist	tered agent: (P.O.	Box or Mail Drop Box <u>NOT</u> acc	ceptable) O
Name:	Edward Marquez			· • .
				- <del></del>
Office Address:	One Biscayne Tower, S	uite 1890		
	2 Biscayne Blvd.			
	Miami	,	Florida, <u>33131-1808</u> (Zip code)	= -
			(Zip code)	
10. Registered a	agent's acceptance:			
Having been name	ed as registered agent and to acc	ept service of process	for the above stated corporation a dagree to act in this capacity. I fu	t the place designated in
			rformance of my duties, and I am j	
	my position as registered agent.	J V	. <b> </b>	
	(dwar)	Haus		
	(Regis	tered agent's signatur	e	
11. Attached is a c	ertificate of existence duly author	nticated for more tha	n 90 days prior to delivery of this ap	onlication to the
			tody of corporate records in the juri	
which it is incorpor		-		

12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

Chairman: _	Paul E. Schlosberg				
Address:	1700 Pacific Avenue, Suite 500				
	Dallas, Texas 75201				
Vice Chairm	nan:				
Address:					
Director:					_
Address:					_
— Director:					_
President: _	ERS (Street address only - P.O. Box NOT acceptable)	SESTIN	00 MAR -	: T	
Vice Preside	ent:	ACACH CANA	-6 PM 2: 00		
					<u>·</u>
Treasurer:					
Address:					_ _
NOTE: If 1	necessary you may attach an addendum to the application listing additional officers and/or directors  (Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)				_
14	Paul E. Schlosberg, Chairman  (Typed or printed name and capacity of person signing application)				

## State of Delaware Office of the Secretary of State PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "FIRST SOUTHWEST ASSET MANAGEMENT, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIFTEENTH DAY OF FEBRUARY, A.D. 2000.

FILED

SEGRETARY OF STATE
SEGRET



Edward J. Freel, Secretary of State

AUTHENTICATION:

0259765

DATE:

02-15-00

2274562 8300

001076952