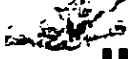


FILED

Jun 03, 2002 8:00 am  
Secretary of State

05-14-2002 90354 002 \*\*\*150.00



**FOR PROFIT CORPORATION  
UNIFORM BUSINESS REPORT (UBR)**

DOCUMENT # F000000000270 ✓

1. Entity Name

Oxford Financial Advisors Corp

**DO NOT WRITE IN THIS SPACE**

90727

2. Principal Place of Business  
1711 N. Meridian St

3. Mailing Address  
P.O. Box 80238

Suite, Apt. #, etc.  
Suite 600

Suite, Apt. #, etc.

City & State  
Carmel, IN

City & State  
Indianapolis, IN

Zip  
46032

Country  
US

Zip  
46280

Country  
US

4. FEI Number  
35-1588325

Applied For  
☐ Not Applicable

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

DO NOT WRITE IN THIS SPACE

**DO NOT WRITE  
IN THIS SPACE**

7. Name and Address of Current Registered Agent

Name  
W. Field Retterer

Street Address (P.O. Box Number is Not Acceptable)

800 Laurel Oak Drive

City  
Naples

FL Zip Code  
34108

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE \_\_\_\_\_  
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE

9. This corporation is eligible to satisfy its intangible tax filing requirement and elects to do so.  
(See criteria on back) ☐

January 1 - May 1 Fee is \$150.00  
After May 1, Fee is \$550.00  
Amended UBR is \$61.25  
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees

**11. OFFICERS AND DIRECTORS**

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<u>attached</u>	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		TITLE NAME STREET ADDRESS CITY-ST-ZIP	

**DO NOT WRITE  
IN THIS SPACE**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE: [Signature]

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4-25-02

Date Daytime Phone #

CR2E0348 (12/01)

attchment

FO00000000270  
FEI 35-15853381  
90797  
658350

## OXFORD FINANCIAL GROUP, LTD

### Updated List of Names and Addresses for Officers of OFGL As of 1/1/02

Chairman and CEO

Jeffrey H. Thomasson  
11711 North Meridian Street  
Carmel, IN 46032

President and Chief Operating Officer

Christopher P. LaMothe  
11711 North Meridian Street  
Carmel, IN 46032

Executive Vice President and  
Chief Financial Officer

Timothy M. Dean  
11711 North Meridian Street  
Carmel, IN 46032

Executive Vice President and  
Chief Investment Officer

Howard W. Harpster  
11711 North Meridian Street  
Carmel, IN 46032

Executive Vice President and  
Chief Strategy Officer

Carole J. Tanner  
11711 North Meridian Street  
Carmel, IN 46032

Vice President and  
Secretary

Michael L. Sears  
11711 North Meridian Street  
Carmel, IN 46032

Vice President and  
Treasurer

Jeffery H. Stroman  
11711 North Meridian Street  
Carmel, IN 46032

Vice President

Robert L. Schaefer  
11711 North Meridian Street  
Carmel, IN 46032