

F000000000143

TRANSMITTAL LETTER

To: Qualification/Tax Lien Section
Division of Corporations

SUBJECT: Berkshire Management & Research Corporation
(Name of corporation - must include suffix)

W99000089526

Dear Sir or Madam: 00789-00644-

MJH

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Karl F. Volkman

(Name of Person)

600003080246--0

-12/27/99--01061--005

*****78.75 *****78.75

Berkshire Management & Research Corporation

(Firm/Company)

700 South Street

(Address)

Pittsfield, MA 01201

(City/State/Zip)

Should you need to call someone concerning this matter, please call:

Karl F. Volkman

(Name of Person)

at (413) 499-4321

(Area Code & Daytime Telephone Number)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
00 JAN 10 PM 1:53

STREET ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☒ ~~XXX~~ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State

December 28, 1999

KARL F. VOLKMAN
700 SOUTH STREET
PITTSFIELD, MA 01201

SUBJECT: BERKSHIRE MANAGEMENT & RESEARCH CORPORATION
Ref. Number: W99000029526

We have received your document for BERKSHIRE MANAGEMENT & RESEARCH CORPORATION and your check(s) totaling \$78.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

The date first transacted business in Florida within the meaning of s. 607.1501 or 608.501, F.S., must be set forth in section 6 of the application. If the corporation/limited liability company has not yet transacted business in Florida within this meaning, please insert the words "upon qualification" in lieu of a date. (Note: Pursuant to s. 607.1502(4), F.S., this office collects a civil penalty of \$1000 for each year other than the application filing year, that a foreign corporation or limited liability company transacts business in this state without authority along with the past annual report fees due this office.)

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6967.

Michelle Hodges
Document Specialist

Letter Number: 499A00060463

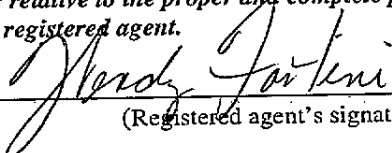
**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. BERKSHIRE MANAGEMENT & RESEARCH CORPORATION
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Massachusetts 3. 04-2440551
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. May 10, 1968 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. Upon Qualification
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 700 South Street
Pittsfield, MA 01201
(Current mailing address)
8. to transact business as an investment adviser
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box **NOT** acceptable)
- Name: CORPORATION SERVICE COMPANY
- Office Address: 1201 HAYS STREET
TALLAHASSEE, Florida, 32301
(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address **ONLY** - P.O. Box **NOT** acceptable)

00 JAN 10 PM 1:53
SECRETARY OF STATE
DIVISION OF CORPORATIONS

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

~~Chairman:~~ James W. Zilinski

Director:
Address: 700 South Street, Pittsfield, MA 01201

~~Vice Chairman:~~ Karl F. Volkman

Director:
Address: 700 South Street, Pittsfield, MA 01201

Director: Henry W. Glass, Jr.

Address: 700 South Street, Pittsfield, MA 01201

Director:

Address:

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: Karl F. Volkman

Address: 700 South Street, Pittsfield, MA 01201

Vice President: Craig V. Cusson

Address: 700 South Street, Pittsfield, MA 01201

Secretary: David L. Kalib (Vice-President, General Counsel, Secretary and Clerk)

Address: 700 South Street, Pittsfield, MA 01201

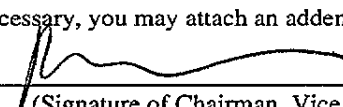
Treasurer: Henry W. Glass, Jr.

Address: 700 South Street, Pittsfield, MA 01201

COMPLIANCE OFFICER: Laura H. Rotenberg

700 South Street, Pittsfield, MA 01201

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Karl F. Volkman — President

(Typed or printed name and capacity of person signing application)



William Francis Galvin
Secretary of the
Commonwealth

The Commonwealth of Massachusetts

Secretary of the Commonwealth

State House, Boston, Massachusetts 02133

November 12, 1999

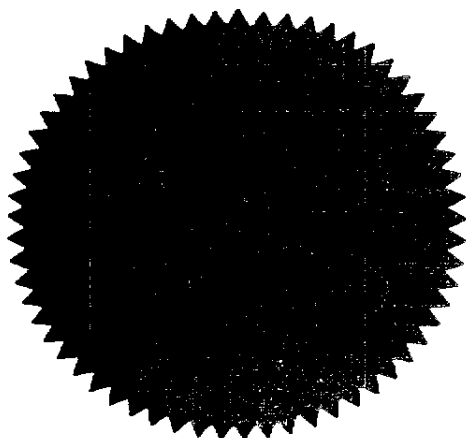
To Whom It May Concern :

I hereby certify that,

BERKSHIRE MANAGEMENT & RESEARCH CORPORATION

appears by records of this office to have been incorporated under the General Laws of this Commonwealth on May 10, 1968.

I also certify that so far as appears of record here, said corporation still has legal existence.



In testimony of which,

I have hereunto affixed the

Great Seal of the Commonwealth

on the date first above written.

William Francis Galvin

Secretary of the Commonwealth

* MGL Chapter 156B Section 83A provides that certain consolidations and mergers may be filed with the division within thirty days after the effective date of the merger or consolidation.

