

B090000000144

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

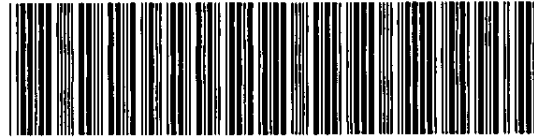
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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RECEIVED
DEPARTMENT OF
15 AUG 11 PM 2:09
TALLAHASSEE, FLORIDA
SECRETARY OF STATE
TO FILING OFFICE
SUFFICIENCY OF FILING

FILED
2015 AUG 11 AM 9:47
TALLAHASSEE, FLORIDA
SECRETARY OF STATE

K. SALLY
EXAMINER
SEP 24 2015

CORPORATION SERVICE COMPANY
1201 Hays Street
Tallahassee, FL 32301
Phone: 850-558-1500

ACCOUNT NO. : I20000000195

REFERENCE : 742118 7288091

AUTHORIZATION :

Spencer

COST LIMIT : \$52.50

ORDER DATE : August 11, 2015

ORDER TIME : 11:43 AM

ORDER NO. : 742118-005

CUSTOMER NO: 7288091

FOREIGN FILINGS

NAME: ML CASA IV, L.P.

☐ CORPORATE
☒ LIMITED PARTNERSHIP
☐ LIMITED LIABILITY COMPANY

XXXX AMENDMENT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

☐ CERTIFIED COPY
☒ PLAIN STAMPED COPY
☐ CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Courtney Williams -- EXT# 62935

EXAMINER: _____



FLORIDA DEPARTMENT OF STATE
Division of Corporations

August 12, 2015

CSC
COURTNEY WILLIAMS

SUBJECT: ML CASA IV, L.P.
Ref. Number: B09000000144

TO: 10/14/15
SUFFICIENT TO FILE

15 SEP 23 AM 11:08

RECEIVED

RESUBMIT

Please give original
submission date as file date.

We have received your document for ML CASA IV, L.P. and your check(s) totaling \$. However, the enclosed document has not been filed and is being returned for the following correction(s):

Are you removing the four people listed as GP and replacing with a business entity? Or are you adding this entity as a GP, because the company mentioned in the document was never on this company as a GP.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Karen A Saly
Regulatory Specialist II

Letter Number: 015A00016987

**AMENDMENT TO CERTIFICATE OF AUTHORITY
FOR
FOREIGN LIMITED PARTNERSHIP OR
LIMITED LIABILITY LIMITED PARTNERSHIP**

FILED
2015 AUG 11 AM 9:47
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

1. The name of the limited partnership or limited liability limited partnership as it appears on the records of the Florida Department of State is:

ML CASA IV, L.P.

2. Document Number of Foreign Limited Partnership or Limited Liability Limited Partnership: B09000000144

2. The jurisdiction of its formation is: DE

3. The date the entity was authorized to transact business in Florida is: 09/18/2009

4. If the amendment changes the name of the limited partnership or limited liability limited partnership, enter the new name:

Acceptable Limited Partnership suffixes: Limited Partnership, Limited, L.P., LP, or Ltd.

Acceptable Limited Liability Limited Partnership suffixes: Limited Liability Limited Partnership, L.L.L.P. or LLLP.

5. If the amendment changes the general partner(s), list the name and business address of each general partner:

Name:

Business Address:

Global Investors GP, L.L.C. - Document # M02000001114

730 Third Avenue, MS:730/12/02, NY, NY 10017

☒ Add

☐ Remove

☐ Change

JAMES MARTHA

1 FINANCIAL PLAZA, 19TH FLOOR HCT/00/00, HARTFORD CT 06103

☐ Add

☒ Remove

☐ Change

JAMES O'BRIEN

737 N MICHIGAN AVE STE 170, CHICAGO IL 60611

☐ Add

☒ Remove

☐ Change

DOUGLAS DENYER

737 N MICHIGAN AVE STE 170, CHICAGO IL 60611

☐ Add

☒ Remove

☐ Change

MEGAN WOLFINGER

737 N MICHIGAN AVE STE 170, CHICAGO IL 60611

☐ Add

☒ Remove

☐ Change

☐ Add

☐ Remove

☐ Change

6. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

7. If the amendment corrects any false statement listed in the application, indicate the statement being corrected and the correction:


8. If the amendment is to add or delete an election to be a limited liability limited partnership statement, check the appropriate box:

☐ The entity elects to be a limited liability limited partnership.

☐ The entity is no longer a limited liability limited partnership.

9. Attached is an original certificate, no more than 90 days olds, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.

10. Effective date, if other than the date of filing: _____
(Effective date cannot be prior to nor more than 90 days after the date this document is filed by the Florida Department of State.)

Signature of a general partner: ML CASA IV, L.P., a DE LP
 By Its General Partner, ML CASA IV Management, LLC, a DE LLC
By Its Manager, Global Investors GP, L.L.C., a DE LLC

Typed or printed name:

Ann Medeiros, Assistant Secretary

Filing Fee: \$52.50
Certified Copy (optional): \$52.50
Certificate of Status (optional): \$8.75

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Delaware

PAGE 1

The First State

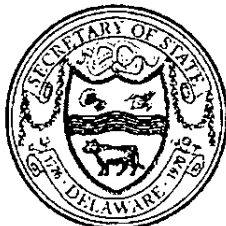
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "HENDERSON GLOBAL INVESTORS GP, L.L.C.", CHANGING ITS NAME FROM "HENDERSON GLOBAL INVESTORS GP, L.L.C." TO "GLOBAL INVESTORS GP, L.L.C.", FILED IN THIS OFFICE ON THE FIRST DAY OF APRIL, A.D. 2014, AT 12:47 O'CLOCK P.M.


FILED
2015 AUG 11 AM 9:47
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

3446161 8100

140412417

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 1257147

DATE: 04-01-14

State of Delaware
Secretary of State
Division of Corporations
Delivered 01:05 PM 04/01/2014
FILED 12:47 PM 04/01/2014
SRV 140412417 - 3446161 FILE

**CERTIFICATE OF AMENDMENT
TO THE
CERTIFICATE OF FORMATION
OF
HENDERSON GLOBAL INVESTORS GP, L.L.C.**

1. The name of the limited liability company is Henderson Global Investors GP, L.L.C.

2. The following paragraph of the Certificate of Formation of the limited liability company is hereby amended as follows:

3. "1.) The name of the limited liability company (the "LLC") is hereby changed to:

Global Investors GP, L.L.C."

IN WITNESS WHEREOF, the undersigned has executed this Certificate Amendment to the Certificate of Formation as of April 1, 2014.

By: 

Christopher Golden
Authorized Person

FILED
2015 AUG 11 AM 9:48
SECRETARY OF STATE
TALLAHASSEE, FLORIDA