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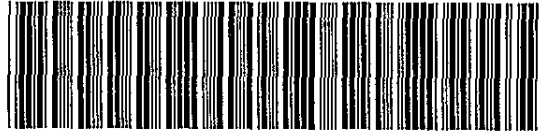
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05 MAR 11 AM 6:58
SECRETARY OF STATE
TALLAHASSEE, FLORIDA



FLORIDA DEPARTMENT OF STATE
Glenda E. Hood
Secretary of State

January 28, 2005

BARBARA STERN
125 BROAD STREET
NEW YORK, NY 10004

SUBJECT: SPEAR, LEEDS & KELLOGG LIMITED PARTNERSHIP
Ref. Number: A27727

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TALLAHASSEE, FLORIDA

1052.52

We have received your document for SPEAR, LEEDS & KELLOGG LIMITED PARTNERSHIP and your check(s) totaling \$113.73. However, the document has not been filed and is being retained in this office for the following:

The subject limited partnership's certificate of authority was revoked October 1, 2004, for failure to file an annual report/uniform business report.

To reinstate the limited partnership you should file a current annual report/uniform business report/reinstatement, which has been signed by a general partner.

The partnership must pay filing fees totaling \$1,052.50, an amount equal to the total amount it would have paid this office had it filed its annual reports/uniform business reports in a timely manner. In addition to the filing fees, there is a fine of \$500 for each year or part thereof during which the limited partnership's certificate was revoked for the years 1984 through the present, and \$88.75 supplemental fee per year from 1992 forward. If a registered agent has not been previously designated, an additional \$35 is due to designate the registered agent.

The total amount due to reinstate is \$2,052.50. An additional \$8.75 is due for each certificate of status requested.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6890.

Jason Merrick
Document Specialist

Letter Number: 105A00006251

TRANSMITTAL LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Spear, Leeds & Kellogg, L.P.
(Name of Limited Partnership)

FLORIDA REGISTRATION NUMBER: A27727

The enclosed Certificate of Amendment and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

Barbara Stern
(Name of Person)

Sullivan & Cromwell LLP
(Firm/Company)

125 Broad Street
(Address)

New York, NY 10004
(City/State and Zip Code)

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For further information concerning this matter, please call:

Barbara Stern at (212) 558-3480
(Name of Person) (Area Code & Daytime Telephone Number)

Enclosed is a check for the following amount:

- ☐ \$52.50 Filing Fee ☐ \$61.25 Filing Fee & Certificate of Status ☐ \$105.00 Filing Fee & Certified Copy (additional copy is enclosed) ☒ \$113.75 Filing Fee, Certificate of Status & Certified Copy (additional copy is enclosed)

STREET ADDRESS
Registration Section
Division of Corporations
409 E. Gaines Street
Tallahassee, Florida 32399

MAILING ADDRESS:
Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, Florida 32314

**CERTIFICATE OF AMENDMENT
TO
APPLICATION FOR REGISTRATION
OF**

Spear, Leeds & Kellogg, L.P.

(Insert name currently on file with Florida Dept. of State)

Pursuant to the provisions of section 620.173, Florida Statutes, this foreign limited partnership hereby submits this Certificate of Amendment to its registration application:

The registration application is amended as follows:

The application for registration is hereby amended to change the name of the limited partnership from Spear, Leeds & Kellogg, L.P. to Goldman Sachs Execution & Clearing, L.P.

SLK LLC as General Partner

By: Steven A. Wolf

(Signature of a General Partner)

Steven A. Wolf, Vice President and Secretary of SLK LLC

(Typed or printed name of General Partner signing above)

STATE OF New York

COUNTY OF New York

On this 13 day of January, 2005, Steven A. Wolf personally
appeared before me,



who is personally known to me



whose identity I proved on the basis of _____

Janet A. Capo
(Notary Public Signature)

JANET A. CAPO
(Notary's Printed Name)

Seal

My Commission Expires:

Janet A. Capo
Notary Public, State of New York
No. 01CA5041080 Qualified in Richmond County
Certificate Filed in New York County
Commission Expires 3/27/07

3-27-07

Notary

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