

A20000000072

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

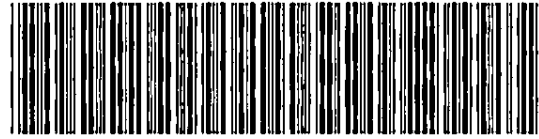
(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

12-4145 Date, GP NOT Reg

Office Use Only



900338265709

12/27/19--01004--032 **1061.25

2020 FEB -5 PM 3:40
FALLMASON 10603

FILED

K. SALY
FEB 2 0 2020



FLORIDA DEPARTMENT OF STATE
Division of Corporations

RECEIVED
FEB 05 2020

January 21, 2020

WILLIAM F. KRUSE
LAGERLOF, SENEAL, GOSNEY & KRUSE, LLP
301 N LAKE AVE, 10TH FL
PASADENA, CA 91101

JAN 28 2020

SUBJECT: RESEARCH AFFILIATES CAPITAL, L.P.
Ref. Number: W20000004145

We have received your document for RESEARCH AFFILIATES CAPITAL, L.P. and your check(s) totaling \$1061.25. However, the enclosed document has not been filed and is being returned for the following correction(s):

The effective date must be specific and cannot be prior to the date of filing.

Every corporation, limited partnership, general partnership, limited liability company or trust listed as a general partner of a limited partnership, general partnership, or registered limited liability limited partnership must have an active registration/filing on file with this office before this filing can be completed. We are enclosing the appropriate instructions and/or forms for your convenience.

A Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Karen A Saly
Regulatory Specialist II

Letter Number: 720A00001430

7 Returned 1/31/2020

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Research Affiliates Capital, L.P.

Name of Resulting Florida Limited Partnership or Limited Liability Limited Partnership

The enclosed Certificate of Conversion, Certificate of Limited Partnership, and fees are submitted to convert an "Other Organization" into a Florida Limited Partnership or Limited Liability Limited Partnership in accordance with s. 620.2104, F.S.

Please return all correspondence concerning this matter to:

William F. Kruse

Contact Person

Lagerlof, Senecal, Gosney & Kruse, LLP

Firm/Company

301 N. Lake Ave., 10th Floor

Address

Pasadena, CA 91101

City, State and Zip Code

wkruse@lagerlof.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

William F. Kruse

at (626) 793-9400

Name of Contact Person

Area Code and Daytime Telephone Number

Enclosed is a check for the following amount:

☐ \$1,052.50 Filing Fees ☒ \$1,061.25 Filing Fees ☐ \$1,105.00 Filing Fees ☐ \$1,113.75 Filing Fees,
(\$52.50 for Conversion and Certificate of Status and Certified Copy Certified Copy, and
and \$1,000 – Certificate) Status and Certified Copy Certificate of Status

STREET ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

Certificate of Conversion
For
"Other Business Organization"
Into

Florida Limited Partnership or Limited Liability Limited Partnership

FILED
2020 FEB -5 PM 3:43
TALLAHASSEE, FLORIDA

This Certificate of Conversion **and attached Certificate of Limited Partnership** are submitted to convert the following "Other Business Entity" into a Florida Limited Partnership or Limited Liability Limited Partnership in accordance with s.620.2104, Florida Statutes.

1. The name of the "Other Business Entity" immediately prior to the filing of this Certificate of Conversion is:

Research Affiliates Capital, L.P.

(Enter Name of Other Business Entity)

2. The "Other Business Entity" is a Limited Partnership

(Enter entity type. Example: corporation, limited liability company, sole proprietorship, general partnership, common law or business trust, etc.)

first organized, formed or incorporated under the laws of California

(Enter state, or if a non-U.S. entity, the name of the country)

on December 10, 2002

(Enter date "Other Business Entity" was first organized, formed or incorporated)

3. The name of the Florida Limited Partnership or Limited Liability Limited Partnership as set forth in the **attached Certificate of Limited Partnership**:

Research Affiliates Capital, L.P.

(Enter Name of Florida Limited Partnership or Limited Liability Limited Partnership)

4. The conversion was approved as required by Chapter 620, F.S., and was approved in such a manner that complied with the converting organization's governing law.

5. If not effective on the date of filing, enter the effective date: _____
(The effective date: Cannot be prior to nor more than 90 days after the date this document is filed by the Florida Department of State.)

6. The conversion is permitted by the applicable law(s) governing the other business entity and the other business entity complies with such law(s) in effecting the conversion.

7. The "Other Business Entity" currently exists on the official records of the jurisdiction under which it is currently organized, formed or incorporated.

Signed this 11th day of December, 2019.

Signature of Each General Partner Listed in Attached Certificate of Limited Partnership/Limited Liability Limited Partnership:

Individual(s) signing affirm(s) that the facts stated in this document are true. Any false information constitutes a third degree felony as provided for in s.817.155, F.S.

Signature: [Signature]
Printed Name: Robert D. Arnott Title: Trustee of the Arnott Family Trust

Signature: _____
Printed Name: _____ Title: _____

Signature: _____
Printed Name: _____ Title: _____

Signature: _____
Printed Name: _____ Title: _____

Signature: _____
Printed Name: _____ Title: _____

Signature: _____
Printed Name: _____ Title: _____

Required Signature(s) on behalf of Other Business Entity: Individual signing affirms that the facts stated in this document are true. Any false information constitutes a third degree felony as provided for in s.817.155, F.S. [See below for required signature(s).]

Signature: [Signature]
Printed Name: Robert D. Arnott Title: Trustee of the Arnott Family Trust

If Florida Corporation:

Signature of Chairman, Vice Chairman, Director, or Officer.
If Directors or Officers have not been selected, an Incorporator must sign.

If Florida General Partnership or Limited Liability Partnership:

Signature of one General Partner.

If Florida Limited Liability Company:

Signature of a Member or Authorized Representative.

All others:

Signature of an authorized person.

Fees:

Certificate of Conversion:	\$ 52.50
Fees for Florida Certificate of Limited Partnership: (\$965 Filing Fee and \$35 Filing Fee)	\$1,000.00
Certified Copy:	\$ 52.50 (Optional)
Certificate of Status:	\$ 8.75 (Optional)

FILED
2019 DEC -5 PM 3:44
CLERK OF CIRCUIT COURT
JANUARY 1, 2020

**CERTIFICATE OF LIMITED PARTNERSHIP
FOR
FLORIDA LIMITED PARTNERSHIP
OR
LIMITED LIABILITY LIMITED PARTNERSHIP**

FILED

2020 FEB -5 PM 3:44

TALLAHASSEE, FL 32309

1. Research Affiliates Capital, L.P.

(Name of Limited Partnership or Limited Liability Limited Partnership, which must include suffix)
Acceptable Limited Partnership suffixes: Limited Partnership, Limited, L.P., LP, or Ltd.
Acceptable Limited Liability Limited Partnership suffixes: Limited Liability Limited Partnership, L.L.L.P.
or LLLP.

2. 34 Lagorce Circle

Street address of initial designated office

Miami Beach, FL 33141

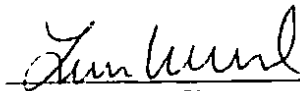
3. Corporate Creations Network, Inc.

Name of Registered Agent for Service of Process

4. 801 US Highway 1, North Palm Beach, FL 33408

Florida street address for Registered Agent

5. *I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*



Lauren Underwood, Special Secretary

Signature of Registered Agent

6. 34 Lagorce Circle

Mailing address of initial designated office

Miami Beach, FL 33141

7. If limited partnership elects to be a limited liability limited partnership, check box ☐.

FILED

2020 FEB -5 PM 3:44

ALABAMA COUNTY CLERK

8. Name and business address of each general partner:

Name: Robert D. Arnott, Trustee of the
Arnott Family Trust, under declaration of
trust dated February 17, 1998

Business Address:

34 Lagorce Circle

Miami Beach, FL 33141

Signed this 11th day of December, 2019.

Signature of each general partner: Individual(s) signing affirm(s) that the facts stated in
this document are true. Any false information constitutes a third degree felony as
provided for in s.817.155, F.S.

Arnott Family Trust

By:

Robert D. Arnott, Trustee

of the Arnott Family Trust, under declaration
of Trust dated February 17, 1998