

2005 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Jan 21, 2005 8:00 am
Secretary of State

01-21-2005 90044 032 ***150.00

DOCUMENT # 858293

1. Entity Name
AMERICAN EXPRESS FINANCIAL CORPORATION



Principal Place of Business
**200 AXP FINANCIAL CENTER
UNIT 1163
MINNEAPOLIS, MN 55474 US**

Mailing Address
**1163 AXP FINANCIAL CENTER
MINNEAPOLIS, MN 55174 US**

50004469



2. Principal Place of Business
Suite, Apt. #, etc.

3. Mailing Address
Suite, Apt. #, etc.

01102005 Chg-P CR2E034 (10/03)

City & State

4. FEI Number
13-3180631

Applied For
Not Applicable

Zip Country

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324**

Name
Street Address (P.O. Box Number is Not Acceptable)
City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2005 Fee will be \$550.00**

9. Election Campaign Financing ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME **S MEEHAN, TIMOTHY S.** ☒ Delete
STREET ADDRESS
CITY-ST-ZIP **200 AXP FINANCIAL CT.
MINNEAPOLIS, MN 55474**

TITLE
NAME **Secretary** ☒ Change ☒ Addition
STREET ADDRESS **Paul R. Johnston**
CITY-ST-ZIP **200 AXP Financial Center
Minneapolis, MN 55474**

TITLE
NAME **VP** ☐ Delete
STREET ADDRESS
CITY-ST-ZIP **ARMSTRONG, WARD D.
200 AXP FINANCIAL CT.
MINNEAPOLIS, MN 55474**

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE
NAME **VPTO** ☐ Delete
STREET ADDRESS
CITY-ST-ZIP **BERMAN, WALTER S.
200 AXP FINANCIAL CENTER
MINNEAPOLIS, FL 55474**

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE
NAME **PD** ☐ Delete
STREET ADDRESS
CITY-ST-ZIP **CRACCHIOLO, JAMES M
200 AXP FINANCIAL CENTER
MINNEAPOLIS, MN 55474**

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE
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STREET ADDRESS
CITY-ST-ZIP ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11, if changed, or on an attachment with an address with all other like empowered.

SIGNATURE: **Leslie Tredt** Assistant Treasurer **1-10-05 (612) 671-2890**
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

Paul A. Connolly

Colleen Curran

Luz Marie Davis

Paul James Dolan

William V. Elliott

Benjamin R. Field

Gordon M. Fines

Giunero Floro

Terrence J. Flynn

Jeffrey P. Fox

Peter A. Gallus

Steve Guida

Lorraine R. Hart

Janis K. Heaney

Henry Heltman

Carol A. Holton

Debra A. Hutchinson

James M. Jensen

Nancy E. Jones

William A. Jones

Ora J. Kaine

Claire Kolmodin

Lori J. Larson

Daniel E. Laufenberg

Jane W. Lee

Catherine M. Libbe

Diane D. Lyngstad

Tom Mahowald

Timothy J. Masek

Mark T. McGannon

Brian J. McGrane

Sarah M. McKenzie

Penny J. Meier

Rebecca A. Nash

Roger Natarajan

Vice President - Retail Distribution Services

Vice President & Assistant General Counsel

Vice President - Employee Communications

Vice President-CAO Product Sales

Vice President-Financial Planning & Advice

Vice President - Finance Education & Planning Services

Vice President - Financial Education & Planning Services

Vice President - Creative Services

Vice President - Brokerage & Clearing Operations

Vice President - Investment Accounting

Vice President-Investment Administration

Vice President-New Business and Service

Vice President - Fixed Income Investments Administration Officer

Vice President-Incentive Management

Vice President - Brokerage Product Development

Vice President-Third Party Distribution

Vice President - Technologies I

Vice President - Advice and Retail Distribution Group, Product, Compensation & Field Administration

Vice President - Advisor Marketing

Vice President - Technologies III

Vice President - Retail Distribution Services

Vice President - Strategic Initiatives

Vice President - Advisor Field Force Growth Active & Retention

Vice President and Chief U.S. Economist

Vice President and General Manager Platinum Financial Services

Vice President - Marketing & Product Retirement Services

Vice President - Comp & Licensing Services

Vice President - Equity Research

Vice President - Fixed Income Research

Vice President and General Manager Product Sales

Vice President and Lead Financial Officer-Finance

Vice President - Managed and Brokerage Products

Vice President - Business Transformation/Six Sigma

Vice President - Service Operations

Vice President - Finance

200 AXP Financial Center
Minneapolis, MN 55474

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American Express Tower
200 Vessey Street, WFC
New York, NY 10285

200 AXP Financial Center
Minneapolis, MN 55474

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3500 Packerland Drive
DePere, WI 54115

200 AXP Financial Center
Minneapolis, MN 55474

ATTACHMENT
858293

5000469

AMERICAN EXPRESS FINANCIAL CORPORATION

11/04

Directors

Kenneth I. Chenault

Walter S. Berman

James M. Cracchiolo

ATTACHMENT

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50004469Officers

Name	Title	Business Address
James M. Cracchiolo	Chairman of the Board, President and Chief Executive Officer	200 AXP Financial Center Minneapolis, MN 55474
Barbara H. Fraser	Executive Vice President-Chief Marketing Officer	200 AXP Financial Center Minneapolis, MN 55474
Ward D. Armstrong	Senior Vice President-Retirement Services and Asset Management Group	200 AXP Financial Center Minneapolis, MN 55474
Arthur H. Berman	Senior Vice President and Chief Financial Officer	200 AXP Financial Center Minneapolis, MN 55474
Walter S. Berman	Senior Vice President and Treasurer	200 AXP Financial Center Minneapolis, MN 55474
Teresa A. Hanratty	Sr. Vice President-Field Management	200 AXP Financial Center Minneapolis, MN 55474
Brian M. Heath	Sr. Vice President - Advisor Group	200 AXP Financial Center Minneapolis, MN 55474
John C. Juneke	Sr. Vice President and General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Michelle M. Keeley	Sr. Vice President - Fixed Income	200 AXP Financial Center Minneapolis, MN 55474
Paula R. Meyer	Sr. Vice President and General Manager-Mutual Funds	200 AXP Financial Center Minneapolis, MN 55474
Holly Morris	Sr. Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
ReBecca K. Roloff	Sr. Vice President - GFS	200 AXP Financial Center Minneapolis, MN 55474
Bridget Spertl	Senior Vice President - Client Service Organization	200 AXP Financial Center Minneapolis, MN 55474
Caroline Stockdale-Boon	Senior Vice President - Human Resources	200 AXP Financial Center Minneapolis, MN 55474
William F. "Ted" Truscott	Senior Vice President and Chief Investment Officer	200 AXP Financial Center Minneapolis, MN 55474
Peter S. Velardi	Sr. Vice President - Field Management	3325 Paddock Pkwy., Suite 110 Suwanee, GA 30024
Jeffrey A. Williams	Sr. Vice President - Cross-Sell/Strategic Management	200 AXP Financial Center Minneapolis, MN 55474
William J. Williams	Sr. Vice President - Field Management	200 AXP Financial Center Minneapolis, MN 55474
Michael R. Woodward	Senior Vice President - Field Management	32 Elliott St. Suite 100 Batavia, NY 14020
Gurner C. Alvero	Vice President-General Manager Annuities	200 AXP Financial Center Minneapolis, MN 55474
John M. Baker	Vice President-Plan Sponsor Services	200 AXP Financial Center Minneapolis, MN 55474
Dudley Barksdale	Vice President-Service Development	200 AXP Financial Center Minneapolis, MN 55474
Timothy V. Bechtold	Vice President-Insurance Products	200 AXP Financial Center Minneapolis, MN 55474
Robert C. Bloomer	Vice President - Technologies III	200 AXP Financial Center Minneapolis, MN 55474
Leslie H. Bodell	Vice President - Technologies I	200 AXP Financial Center Minneapolis, MN 55474
Kenneth J. Ciak	VP and General Manager - IDS Property Casualty	3500 Packertand Drive DePere, WI 54115

ATTACHMENT
858293

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Francois B. Odouard	Vice President - Brokerage	200 AXP Financial Center Minneapolis, MN 55474
Michael J. O'Keefe	Vice President - Advisory Business Systems	200 AXP Financial Center Minneapolis, MN 55474
Paul Pearson	Vice President - SPS and External Products	200 AXP Financial Center Minneapolis, MN 55474
Kris Petersen	Vice President - SPS and External Products	200 AXP Financial Center Minneapolis, MN 55474
Teresa J. Rasmussen	Vice President and Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Lisa Reitsma	Vice President - Finance Emerging Technologies	200 AXP Financial Center Minneapolis, MN 55474
Mark A. Riordan	Vice President - Finance Emerging Technologies	200 AXP Financial Center Minneapolis, MN 55474
Andrew C. Schell	Vice President - Strategy and Planning	200 AXP Financial Center Minneapolis, MN 55474
Gary A. Scott	Vice President - Client Acquisition Marketing and Services	200 AXP Financial Center Minneapolis, MN 55474
Jacqueline M. Sinjem	Vice President - Plan Sponsor Services	200 AXP Financial Center Minneapolis, MN 55474
Peter L. Slattery	Vice President - Marketing Officer Development	200 AXP Financial Center Minneapolis, MN 55474
Lisa A. Steffes	Vice President - Marketing Officer Development	200 AXP Financial Center Minneapolis, MN 55474
David K. Stewart	Vice President - AEFA Controller and Treasurer	200 AXP Financial Center Minneapolis, MN 55474
Jeffrey J. Stremcha	Vice President - Technologies I	200 AXP Financial Center Minneapolis, MN 55474
John T. Sweeney	Vice President - Lead Financial Officer - Products Group	200 AXP Financial Center Minneapolis, MN 55474
George F. Tsafarikis	Vice President - Quality and Service Support	200 AXP Financial Center Minneapolis, MN 55474
Ramanathan Venkataramanan	Vice President - Technologies III	200 AXP Financial Center Minneapolis, MN 55474
Andrew O. Washburn	Vice President - Mutual Fund Marketing	200 AXP Financial Center Minneapolis, MN 55474
Beth E. Weimer	Vice President - Chief Compliance Officer	200 AXP Financial Center Minneapolis, MN 55474
Dianne Wilson	Vice President - Insurance Operations	3500 Packerland Drive DePere, WI 54115
Michael D. Wolf	Vice President - Senior Portfolio Manager	200 AXP Financial Center Minneapolis, MN 55474
Paul R. Johnston	Secretary	200 AXP Financial Center Minneapolis, MN 55474
Christopher J. Kopka	Money Laundering Prevention Officer	200 AXP Financial Center Minneapolis, MN 55474
Andrew A. Jackson	General Auditor	AMEX Twr., 200 Vesey St WFC New York, NY 10285
Nancy R. Hughes	Assistant Vice President	200 AXP Financial Center Minneapolis, MN 55474
Christopher Bacalis	Field Vice President	61 S. Paramus Road Paramus, NJ 07652
Mitchell G. Berlin	Field Vice President	1445 Research Blvd., Ste. 100 Rockville, MD 20850-6109
Gregory S. Buker	Field Vice President	3500 Market St., Ste. 200 Camp Hill, PA 17011
Andrew J. Cooper	Field Vice President	1333 N. California Blvd., Ste. 200 Walnut Creek, CA 94596-4559
Daniel E. Crockett	Field Vice President	9200 Keystone Crossing, Ste. 100 Indianapolis, IN 46240-7785
Sylvia DeWitt	Field Vice President	14755 N. Outer Forty, Ste. 500 Chesterfield, MO 63017-2027
Matthew T. Echaniz	Field Vice President	5405 Utica Ridge Rd., Ste. 100 Davenport, IA 52807-2938
Patrick J. Fitzsimmons	Field Vice President	2000 Oxford Dr., Ste. 630 Bethel Park, PA 15102-1841
James A. Frisone	Field Vice President	3109 Martin Luther King Blvd., Ste. 11 Tampa, FL 33607-6221
Paula R. Funderburke	Field Vice President	2203 N. Lois Ave., Ste 962 Tampa, FL 33607-2370
Russell M. Giordano	Field Vice President	5789 Widewater Pkwy., Ste. 300 Dewitt, NY 13214-1855

