

2004 FOR PROFIT CORPORATION ANNUAL REPORT

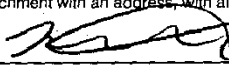
FILED
Jan 20, 2004 8:00 am
Secretary of State

01-20-2004 90051 028 ***150.00

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01062004 Chg-P CR2E034 (10/03)

DOCUMENT # 858293					
1. Entity Name AMERICAN EXPRESS FINANCIAL CORPORATION					
Principal Place of Business 200 AXP FINANCIAL CENTER UNIT 1163 MINNEAPOLIS, MN 55474 US			Mailing Address 1163 AXP FINANCIAL CENTER MINNEAPOLIS, MN 55174 US		
2. Principal Place of Business			3. Mailing Address		
Suite, Apt. #, etc.			Suite, Apt. #, etc.		
City & State			City & State		
Zip	Country	Zip	Country	4. FEI Number 13-3180631	
				Applied For Not Applicable	
				5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required	
6. Name and Address of Current Registered Agent			7. Name and Address of New Registered Agent		
CT-CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD PLANTATION, FL 33324			Name		
			Street Address (P.O. Box Number is Not Acceptable)		
			City		
			Zip Code FL		
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.					
SIGNATURE _____ Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE _____					
FILE NOW!!! FEE IS \$150.00 After May 1, 2004 Fee will be \$550.00		9. Election Campaign Financing Trust Fund Contribution ☐		\$5.00 May Be Added to Fees	
10. OFFICERS AND DIRECTORS			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S MEEHAN, TIMOTHY S. 200 AXP FINANCIAL CT. MINNEAPOLIS, MN 55474	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP ARMSTRONG, WARD D. 200 AXP FINANCIAL CT. MINNEAPOLIS, MN 55474	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	T LOBO, STEPHEN M. 200 AXP FINANCIAL CENTER MINNEAPOLIS, FL 55474	☒ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVP, CFO, T Berman, Walter S. 200 AXP Financial Center Minneapolis, MN 55474 ☒ Change ☒ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD CRACCHIOLO, JAMES M 200 AXP FINANCIAL CENTER MINNEAPOLIS, MN 55474	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.					
SIGNATURE:  Leslie Tvedt, Assistant Treasurer 1-4-04 (612)671-2890					
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #					

Leslie H. Bodell	Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Kenneth J. Ciak	VP and General Manager - IDS Property Casualty	3500 Packerland Drive DePere, WI 54115
Paul A. Connolly	Vice President - Retail Distribution Services	200 AXP Financial Center Minneapolis, MN 55474
Colleen Curran	Vice President & Assistant General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Luz Marie Davis	Vice President-Communications	200 AXP Financial Center Minneapolis, MN 55474
Paul James Dolan	Vice President-CAO Product Sales	200 AXP Financial Center Minneapolis, MN 55474
William V. Elliott	Vice President-Financial Planning & Advice	200 AXP Financial Center Minneapolis, MN 55474
Benjamin R. Field	Vice President - Finance Education & Planning	200 AXP Financial Center Minneapolis, MN 55474
Gordon M. Fines	Vice President - Senior Portfolio Manager I	200 AXP Financial Center Minneapolis, MN 55474
Giunero Floro	Vice President - Creative Services	American Express Tower 200 Vessey Street, WFC New York, NY 10285
Terrence J. Flynn	Vice President - Brokerage Clearing Operations	200 AXP Financial Center Minneapolis, MN 55474
Jeffrey P. Fox	Vice President - Investment Accounting	200 AXP Financial Center Minneapolis, MN 55474
Peter A. Gallus	Vice President-Investment Administration	200 AXP Financial Center Minneapolis, MN 55474
Steve Guida	Vice President-New Business and Service	200 AXP Financial Center Minneapolis, MN 55474
Lorraine R. Hart	Vice President - Investments Administration Officer	200 AXP Financial Center Minneapolis, MN 55474
Janis K. Heaney	Vice President-Incentive Management	200 AXP Financial Center Minneapolis, MN 55474
Henry Heitman	Vice President - Brokerage Product Development	200 AXP Financial Center Minneapolis, MN 55474
Carol A. Holton	Vice President-Third Party Distribution	200 AXP Financial Center Minneapolis, MN 55474
Debra A. Hutchinson	Vice President - Technology Relationship Leader	200 AXP Financial Center Minneapolis, MN 55474
James M. Jensen	Vice President - Advice and Retail Distribution Group, Product, Compensation & Field	200 AXP Financial Center Minneapolis, MN 55474
Nancy E. Jones	Vice President - Business Development	200 AXP Financial Center Minneapolis, MN 55474
William A. Jones	Vice President - Technology	200 AXP Financial Center Minneapolis, MN 55474
Ora J. Kaine	Vice President - Retail Distribution Services and Chief of Staff	200 AXP Financial Center Minneapolis, MN 55474
Teresa A. Kaminski	Vice President - Compliance	200 AXP Financial Center Minneapolis, MN 55474
Claire Kolmodin	Vice President - Strategic Initiatives	200 AXP Financial Center Minneapolis, MN 55474
Lori J. Larson	Vice President - Brokerage and Direct Services	200 AXP Financial Center Minneapolis, MN 55474
Daniel E. Laufenberg	Vice President and Chief U.S. Economist	200 AXP Financial Center Minneapolis, MN 55474
Jane W. Lee	Vice President and General Manager-Platinum Financial Services	200 AXP Financial Center Minneapolis, MN 55474
Catherine M. Libbe	Vice President - Marketing & Product Services	200 AXP Financial Center Minneapolis, MN 55474
Diane D. Lyngstad	Vice President - Lead Financial Officer U.S. Retail	200 AXP Financial Center Minneapolis, MN 55474
Tom Mahowald	Vice President and Director of Equity Research	200 AXP Financial Center Minneapolis, MN 55474
Timothy J. Masek	Vice President and Director of Fixed Income Research	200 AXP Financial Center Minneapolis, MN 55474
Mark T. McGannon	Vice President and General Sales Manager- AEFA Products	200 AXP Financial Center Minneapolis, MN 55474
Brian J. McGrane	Vice President - LFO Finance	200 AXP Financial Center Minneapolis, MN 55474
Sarah M. McKenzie	Vice President - Managed and Brokerage Products	200 AXP Financial Center Minneapolis, MN 55474
Penny J. Meier	Vice President - Business Transformation/Six Sigma	200 AXP Financial Center Minneapolis, MN 55474

Attachment
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Rebecca A. Nash

Balakrish R. Natarajan

Francois B. Odouard

Michael J. O'Keefe

Kris Petersen

Ronald W. Powell

Teresa J. Rasmussen

Mark A. Riordan

Daniel J. Rivera

Andy Schell

Peter B. Schofield

Gary A. Scott

Jacqueline M. Sinjem

Lisa A. Steffes

David K. Stewart

Jeffrey J. Stremcha

John T. Sweeney

George F. Tsafaroidis

Andrew O. Washburn

Beth E. Weimer

Dianne Wilson

Michael D. Wolf

Timothy S. Meehan

Nancy R. Hughes

Christopher J. Kopka

Millie Acamovic

Gary L. Bergeson

Karen J. Brajdich

Allen Campbell

Pamela Carter

Joshua Casper

Jenny A. Caza

Kathleen A. Connoy

C. Nikol Davies

Mary M. Davy

Dawn E. Doboszenske

Shelly A. Egelkraut

Vice President - Service Operations

Vice President - Finance

Vice President - Brokerage

Vice President - Advisory

Business Systems

Vice President - SPS and External

Vice President and

Assistant General Counsel

Vice President and Assistant

General Counsel

Vice President - Finance Emerging Technologies

Vice President - Senior Portfolio Manager

Vice President - Client Development & Migration

Vice President - Auditing

Vice President - Client Acquisition Marketing

Vice President - Plan Sponsor Services

Vice President -

Marketing Offer Development

Vice President - AEFA Controller

Vice President - Technologies I

Vice President - Lead Financial Officer Products

Vice President - LFO US Retail Group

Vice President - Mutual Fund Marketing

Vice President - Chief Compliance Officer

Vice President - Insurance Operations

Vice President - Senior

Portfolio Manager

Secretary

Assistant Vice President

Moner Laundering Prevention Officer

Assistant Secretary

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3500 Packerland Drive

DePere, WI 54115

200 AXP Financial Center

Minneapolis, MN 55474

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Directors

Kenneth I. Chenault

Walter S. Berman

James M. Cracchiolo

*Attachment*Officers

Name	Title	Business Address
James M. Cracchiolo	Chairman of the Board, President and Chief Executive Officer	200 AXP Financial Center Minneapolis, MN 55474
Barbara H. Fraser	Executive Vice President-Chief Marketing Officer	200 AXP Financial Center Minneapolis, MN 55474
Barry J. Murphy	Executive Vice President-U.S. Retail Group	200 AXP Financial Center Minneapolis, MN 55474
Ruediger Adolf	Senior Vice President	200 AXP Financial Center Minneapolis, MN 55474
Ward D. Armstrong	Senior Vice President-Retirement Services	200 AXP Financial Center Minneapolis, MN 55474
Arthur H. Berman	Senior Vice President-Finance	200 AXP Financial Center Minneapolis, MN 55474
Walter S. Berman	Senior Vice President, Chief Financial Officer and Treasurer	200 AXP Financial Center Minneapolis, MN 55474
Teresa A. Hanratty	Sr. Vice President-Field Management	200 AXP Financial Center Minneapolis, MN 55474
Brian M. Heath	Sr. Vice President and General Sales Manager	200 AXP Financial Center Minneapolis, MN 55474
John C. Junek	Sr. Vice President - General Counsel	200 AXP Financial Center Minneapolis, MN 55474
Michelle M. Keeley	Sr. Vice President - Fixed Income	200 AXP Financial Center Minneapolis, MN 55474
Paula R. Meyer	Sr. Vice President and General Manager-Mutual Funds	200 AXP Financial Center Minneapolis, MN 55474
Holly Morris	Sr. Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474
Rebecca K. Roloff	Sr. Vice President - Field Management and Financial Advisory Service	200 AXP Financial Center Minneapolis, MN 55474
Stephen W. Roszell	Sr. Vice President - Institutional Group	200 AXP Financial Center Minneapolis, MN 55474
Bridget Spertl	Senior Vice President-Client Service	200 AXP Financial Center Minneapolis, MN 55474
Caroline Stockdale-Boon	Senior Vice President-Relationship Leader of Human Resources	200 AXP Financial Center Minneapolis, MN 55474
William F. 'Ted' Truscott	Senior Vice President-Chief Investment Officer	200 AXP Financial Center Minneapolis, MN 55474
Peter S. Velardi	Sr. Vice President - Field Management	3325 Paddock Pkwy., Suite 110 Suwannee, GA 30024
Jeffrey A. Williams	Sr. Vice President - Cross-Sell/Strategic Marketing	200 AXP Financial Center Minneapolis, MN 55474
William J. Williams	Sr. Vice President - Field Management	200 AXP Financial Center Minneapolis, MN 55474
Michael R. Woodward	Senior Vice President-Field Management	32 Elliott St. Suite 100 Batavia, NY 14020
Gumer C. Alvero	Vice President-General Manager Annuities	200 AXP Financial Center Minneapolis, MN 55474
John M. Baker	Vice President-Plan Sponsor Services	200 AXP Financial Center Minneapolis, MN 55474
Dudley Barksdale	Vice President-Service Development	200 AXP Financial Center Minneapolis, MN 55474
Timothy V. Bechtold	Vice President-Insurance Products	200 AXP Financial Center Minneapolis, MN 55474
Robert C. Bloomer	Vice President - Technologies	200 AXP Financial Center Minneapolis, MN 55474

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