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PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # 858293

1. Corporation Name

AMERICAN EXPRESS FINANCIAL CORPORATION

Principal Place of Business

IDS TOW 10. TAX DEPT.
UNIT 1163
MINNEAPOLIS MN 55458-1128
US

Mailing Address

IDS TOW 10. TAX DEPT.
UNIT 1163
MINNEAPOLIS MN 55458-1128
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

11/01/1983

4. FEI Number

13-3180631

Applied For

Not Applicable

5. Certificate of Status Desired

☐ \$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐ \$5.00 May Be
Added to Fees

8. This corporation owes the current year Intangible
Personal Property Tax.

☐ Yes ☒ No

2. Principal Place of Business

21 Suite, Apt. #, etc.

23 City & State

24 Zip 25 Country

2a. Mailing Address

26 Suite, Apt. #, etc.

27 City & State

28 Zip 29 Country

9. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE P
NAME HUBERS, DAVID R.
STREET ADDRESS 72 EAST GOLDEN LAKE RD
CITY-ST-ZIP CIRCLE PINES MN ☐ DELETE

TITLE V
NAME EID, G.L.
STREET ADDRESS 2900 IDS TOWER
CITY-ST-ZIP MINNEAPOLIS MN ☐ DELETE

TITLE S
NAME MEEHAN, TIMOTHY S.
STREET ADDRESS IDS TOWER 10
CITY-ST-ZIP MINNEAPOLIS MN ☐ DELETE

TITLE T
NAME HORTON, JEFFREY S.
STREET ADDRESS IDS TOWER 10
CITY-ST-ZIP MINNEAPOLIS MN 55440 ☐ DELETE

TITLE D
NAME HUBERS, DAVID R.
STREET ADDRESS 72 EAST GOLDEN LAKE RD
CITY-ST-ZIP MINNEAPOLIS MN ☐ DELETE

TITLE VP
NAME ARMSTRONG, WARD D.
STREET ADDRESS IDS TOWER 10
CITY-ST-ZIP MINNEAPOLIS MN 55440 ☐ DELETE

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

2.1 TITLE ☐ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

3.1 TITLE ☐ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

4.1 TITLE ☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Steven J. Ritter

Steven J. Ritter 1/19/99

(112)671-2461

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

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AMERICAN EXPRESS FINANCIAL CORPORATION

Directors

Peter J. Anderson	Peter A. Lefferts
Karl Jan Breyer	Douglas A. Lennick
James E. Choat	Jonathon S. Linen
Gordon L. Eid	Barry J. Murphy
Harvey Golub	Erven A. Samsel
David R. Hubers	Norman Weaver Jr.
Marietta L. Johns	William N. Westhoff
Susan D. Kinder	Michael R. Woodward
Richard W. Kling	
Steven C. Kumagai	

Officers

Name	Title	Business Address
David R. Hubers	President and Chief Executive Officer	IDS Tower 10 Minneapolis, MN 55440
Douglas A. Lennick	Executive Vice President-Private Client Group	IDS Tower 10 Minneapolis, MN 55440
James A. Mitchell	Executive Vice President-Marketing and Products	IDS Tower 10 Minneapolis, MN 55440
Peter J. Anderson	Senior Vice President - Investment Operations	IDS Tower 10 Minneapolis, MN 55440
Karl J. Breyer	Sr. Vice President-Corporate Affairs and General Counsel	IDS Tower 10 Minneapolis, MN 55440
Mark W. Carter	Sr. Vice President and Chief Marketing Officer	IDS Tower 10 Minneapolis, MN 55440
James E. Choat	Senior Vice President-Institutional Products Group	IDS Tower 10 Minneapolis, MN 55440
Gordon L. Eid	Sr. Vice President - General Counsel and Chief Compliance Officer	IDS Tower 10 Minneapolis, MN 55440
Marietta L. Johns	Sr. Vice President - Field Management	801 East Campbell Road Richardson, TX 75081
Susan D. Kinder	Senior Vice President - Distribution Services	IDS Tower 10 Minneapolis, MN 55440
Richard W. Kling	Senior Vice President-Products	IDS Tower 10 Minneapolis, MN 55440
Steven C. Kumagai	Sr. Vice President-Field Management and Business Systems	IDS Tower 10 Minneapolis, MN 55440
Peter A. Lefferts	Senior Vice President- Corporate Strategy and Development	IDS Tower 10 Minneapolis, MN 55440
Barry J. Murphy	Senior Vice President-Client Service	IDS Tower 10 Minneapolis, MN 55440
Thomas P. Perrine	Sr. VP - Group Relationship Leader/AXP Technologies Financial Serv.	IDS Tower 10 Minneapolis, MN 55440
Frederick C. Quirsfeld	Sr. Vice President - Fixed Income	IDS Tower 10 Minneapolis, MN 55440
ReBecca K. Roloff	Sr. Vice President - Field Management and Financial Advisory Service	IDS Tower 10 Minneapolis, MN 55440
Stephen W. Roszell	Sr. Vice President - Institutional	IDS Tower 10 Minneapolis, MN 55440
Erven A. Samsel	Senior Vice President-Field Management	IDS Tower 10 Minneapolis, MN 55440
Stuart A. Sedlacek	Senior Vice President and Chief Financial Officer	IDS Tower 10 Minneapolis, MN 55440
Norman Weaver Jr.	Senior Vice President-Field Management	18109 Bookhurst St. Fountain Valley, CA 92708
Michael R. Woodward	Senior Vice President-Field Management	32 Elliott St. Suite 100 Batavia, NY 14020
Ronald G. Abrahamson	Vice President-Service Quality & Reengineering	IDS Tower 10 Minneapolis, MN 55440
Douglas A. Alger	Vice President - Human Resources	IDS Tower 10 Minneapolis, MN 55440
Ward D. Armstrong	Vice President-American Express Institutional Services	IDS Tower 10 Minneapolis, MN 55440

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John M. Baker	Vice President-Plan Sponsor Services	IDS Tower 10 Minneapolis, MN 55440
Joseph M. Barsky III	Vice President- Sr. Portfolio Manager	IDS Tower 10 Minneapolis, MN 55440
Timothy V. Bechtold	Vice President-Risk Management Products	IDS Tower 10 Minneapolis, MN 55440
John C. Boeder	Vice President-Mature Market Group	IDS Tower 10 Minneapolis, MN 55440
Douglas Brewers	Vice President - Sales Support	IDS Tower 10 Minneapolis, MN 55440
Daniel J. Candura	Vice President-Marketing Support	IDS Tower 10 Minneapolis, MN 55440
Cynthia M. Carlson	Vice President-IDS Securities Services	IDS Tower 10 Minneapolis, MN 55440
Kenneth J. Ciak	VP and General Manager - IDS Property Casualty	IDS Tower 10 Minneapolis, MN 55440
Paul A. Connolly	Vice President - Advisor Staffing, Training and Support	IDS Tower 10 Minneapolis, MN 55440
Colleen Curran	Vice President & Assistant General Counsel	IDS Tower 10 Minneapolis, MN 55440
Luz Marie Davis	Vice President-Communications	IDS Tower 10 Minneapolis, MN 55440
Douglas K. Dunning	Vice President - Assured Assets Product Development & Management	IDS Tower 10 Minneapolis, MN 55440
Robert M. Elconin	Vice President-Government Relations	IDS Tower 10 Minneapolis, MN 55440
Gordon M. Fines	Vice President - Mutual Fund Equity Investments	IDS Tower 10 Minneapolis, MN 55440
Douglas L. Forsberg	Vice President- Institutional Products Group	IDS Tower 10 Minneapolis, MN 55440
Jeffrey P. Fox	Vice President and Corporate Controller	IDS Tower 10 Minneapolis, MN 55440
David A. Hammer	Vice President and Marketing Controller	IDS Tower 10 Minneapolis, MN 55440
Lorraine R. Hart	Vice President - Insurance Investments	IDS Tower 10 Minneapolis, MN 55440
Scott A. Hawkinson	Vice President and Controller Private Client Group	IDS Tower 10 Minneapolis, MN 55440
Janis K. Heaney	Vice President-Incentive Management	IDS Tower 10 Minneapolis, MN 55440
James G. Hirsh	Vice President & Assistant General Counsel	IDS Tower 10 Minneapolis, MN 55440
Darryl G. Horsman	V.P.-Product Devel.& Tech., IDS Institutional Retirement Svcs.	IDS Tower 10 Minneapolis, MN 55440
Jeffrey S. Horton	Vice President and Treasurer	IDS Tower 10 Minneapolis, MN 55440
Martin G. Hurwitz	Vice President - Senior Portfolio Manager	IDS Tower 10 Minneapolis, MN 55440
James M. Jensen	Vice President - Insurance Product Development and Management	IDS Tower 10 Minneapolis, MN 55440
Nancy E. Jones	Vice President - Business Development	IDS Tower 10 Minneapolis, MN 55440
Ora J. Kaine	Vice President - Financial Advisory Services	IDS Tower 10 Minneapolis, MN 55440
James E. Kaarre	Vice President- Marketing Promotions	IDS Tower 10 Minneapolis, MN 55440
Linda B. Keene	Vice President-Market Development	IDS Tower 10 Minneapolis, MN 55440
Michael G. Kennedy	Vice President-Investment Services and Investment Research	IDS Tower 10 Minneapolis, MN 55440
Paul F. Kolkman	Vice President - Actuarial Finance	IDS Tower 10 Minneapolis, MN 55440
Claire Kolmodin	Vice President - Service Quality	IDS Tower 10 Minneapolis, MN 55440
Kurt A. Larson	Vice President - Senior Portfolio Manager	IDS Tower 10 Minneapolis, MN 55440
Lori J. Larson	Vice President - Brokerage and Direct Services	IDS Tower 10 Minneapolis, MN 55440
Daniel E. Laufenberg	Vice President- Chief U.S. Economist	IDS Tower 10 Minneapolis, MN 55440
Mary J. Malevich	Vice President - Senior Portfolio Manager	IDS Tower 10 Minneapolis, MN 55440
Fred A. Mandell	Vice President- Field Marketing Readiness	IDS Tower 10 Minneapolis, MN 55440
Sarah A. Mealey	Vice President - Mutual Funds	IDS Tower 10 Minneapolis, MN 55440
Paula R. Meyer	Vice President - Assured Assets	IDS Tower 10 Minneapolis, MN 55440

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William Miller	Vice President and Senior Portfolio Manager	IDS Tower 10 Minneapolis, MN 55440
Pamela J. Moret	Vice President- Variable Assets	IDS Tower 10 Minneapolis, MN 55440
Mary Owens Neal	Vice President- Mature Market	IDS Tower 10 Minneapolis, MN 55440
Michael J. O'Keefe	Vice President - Advisory Business Systems	IDS Tower 10 Minneapolis, MN 55440
James R. Palmer	Vice President - Taxes	IDS Tower 10 Minneapolis, MN 55440
Carla P. Pavone	Vice President - Compensation and Field Administration	IDS Tower 10 Minneapolis, MN 55440
Susan B. Plimpton	Vice President - Marketing Services	IDS Tower 10 Minneapolis, MN 55440
Ronald W. Powell	Vice President and Assistant General Counsel	IDS Tower 10 Minneapolis, MN 55440
James M. Punch	Vice President and Project Manager Platform Value Enhanced	IDS Tower 10 Minneapolis, MN 55440
Rollyn C. Renstrom	Vice President - Corporate Planning and Analysis	IDS Tower 10 Minneapolis, MN 55440
Donald K. Shanks	Vice President- Property Casualty	IDS Tower 10 Minneapolis, MN 55440
Francis Dale Simmons	V.P.-Sr.Portfolio Mgr Insurance Investments	IDS Tower 10 Minneapolis, MN 55440
Judy P. Skoglund	Vice President - Quality and Service Support	IDS Tower 10 Minneapolis, MN 55440
Bridget Spert	Vice President - Geographic Service Teams	IDS Tower 10 Minneapolis, MN 55440
Lisa A. Steffes	Vice President - Cardmember Initiatives	IDS Tower 10 Minneapolis, MN 55440
William A. Stoltzmann	Vice President and Assistant General Counsel	IDS Tower 10 Minneapolis, MN 55440
James J. Strauss	Vice President and General Auditor	IDS Tower 10 Minneapolis, MN 55440
Jeffrey J. Stremcha	Vice President - Information Resource Management/ISD	IDS Tower 10 Minneapolis, MN 55440
Barbara Stroup Stewart	Vice President-Channel Development	IDS Tower 10 Minneapolis, MN 55440
Wesley W. Wadman	Vice President - Senior Portfolio Manager	IDS Tower 10 Minneapolis, MN 55440
Michael L. Weiner	Vice President- Tax Research and Audit	IDS Tower 10 Minneapolis, MN 55440
Lawrence J. Welte	Vice President-Investment Administration	IDS Tower 10 Minneapolis, MN 55440
Jeffry F. Welter	Vice President-Equity & Fixed Income Trading	IDS Tower 10 Minneapolis, MN 55440
Edwin M. Wistrand	Vice President & Asst. General Counsel	IDS Tower 10 Minneapolis, MN 55440
Michael D. Wolf	Vice President - Senior Portfolio Manager	IDS Tower 10 Minneapolis, MN 55440
Timothy S. Meehan	Secretary	IDS Tower 10 Minneapolis, MN 55440
Nancy R. Hughes	Assistant Vice President	IDS Tower 10 Minneapolis, MN 55440
Catherine G. Madden	Assistant Vice President	IDS Tower 10 Minneapolis, MN 55440
Eileen J. Newhouse	Assistant Vice President	IDS Tower 10 Minneapolis, MN 55440
Teresa J. Rasmussen	Assistant Vice President	IDS Tower 10 Minneapolis, MN 55440
Millie Acamovic	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Bonnie Anderson	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Doris A. Anfinson	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Susan L. Bauer-Dominik	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Gary L. Bergeson	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Karen J. Brajdich	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Kathleen A. Connoy	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Margaret A. Coyle	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Mary M. Davy	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440

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Marlene M. Dehart	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Dawn E. Doboszinski	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Shelly A. Egelkraut	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Rodney W. England	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Janet M. Foster	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Martin Harris	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Beverly A. Heger	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Danielle M. Kassmir	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Thomas F. Kelly	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Kimberly A. LaPlante	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Shirley J. Lueck	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Debra K. McKinnon	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Mary Jo Olson	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Thomas E. Pavey	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Michael J. Perkins	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Karma C. Ryals	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Christy L. Sargent	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Keith H. Schaumann	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Joanne Schendel	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Paul Schneider	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Betty J. Seiffert	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Joyce M. Snyder	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Amanda Soular-Evans	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Darlene A. Vicum	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Margaret D. Walter	Assistant Secretary	IDS Tower 10 Minneapolis, MN 55440
Lori J. Anshus	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Robert Erdman	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Beth E. Florey	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Peter A. Gallus	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
John D. Koslow	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Barbara M. Ostlund	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Lois Pomerenk	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Steven J. Ritter	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Richard L. Solseth	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Gregg A. Syverson	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Leslie R. Tvedt	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440
Gary C. Zierner	Assistant Treasurer	IDS Tower 10 Minneapolis, MN 55440

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Members of the Executive Committee

Harvey Golub
David R. Hubers

Members of the Dividend Committee

David R. Hubers