

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # 857987

1. Corporation Name

PRUCO SECURITIES CORPORATION

Principal Place of Business

751 BROAD ST
NEWARK NJ 07102
US

Mailing Address

751 BROAD ST
NEWARK NJ 07102
US

FILED
Apr 22, 1999 8:00 am
Secretary of State

04-22-1999 90159 047 ***158.75



DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

10/04/1983

4. FEI Number

22-1921421

Applied For

Not Applicable

5. Certificate of Status Desired ☒

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution ☐

\$5.00 May Be
Added to Fees

8. This corporation owes the current year Intangible
Personal Property Tax. ☐ Yes ☐ No

2. Principal Place of Business

2a. Mailing Address

21 Suite, Apt. #, etc.

26 Suite, Apt. #, etc.

22 City & State

27 City & State

23 Zip Country

28 Zip Country

24 25

29 30

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

UNITED STATES CORPORATION COMPANY
1201 HAYS STREET
SUITE 105
TALLAHASSEE FL 32301

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE VP ☐ DELETE
NAME WILSON, GORDON
STREET ADDRESS 751 BROAD ST
CITY-ST-ZIP NEWARK NJ 07102

1.1 TITLE D ☒ Change ☐ Addition
1.2 NAME Wilson, Gordon
1.3 STREET ADDRESS 751 Broad Street
1.4 CITY-ST-ZIP Newark, New Jersey 07102

TITLE D ☒ DELETE
NAME CAUFIELD, E. MICHAEL
STREET ADDRESS 751 BROAD ST
CITY-ST-ZIP NEWARK NJ 07102

2.1 TITLE D ☐ Change ☒ Addition
2.2 NAME Price, James D
2.3 STREET ADDRESS 751 Broad Street
2.4 CITY-ST-ZIP Newark, New Jersey 07102

TITLE D ☒ DELETE
NAME MAHONEY, JOSEPH
STREET ADDRESS 751 BROAD STREET
CITY-ST-ZIP NEWARK NH 07102

3.1 TITLE C/D ☐ Change ☒ Addition
3.2 NAME Avery, Jr., James
3.3 STREET ADDRESS 751 Broad Street
3.4 CITY-ST-ZIP Newark, New Jersey 07102

TITLE PD ☐ DELETE
NAME TOPP, RICHARD A
STREET ADDRESS 751 BROAD STREET
CITY-ST-ZIP NEWARK NJ 07102

4.1 TITLE ☐ Change ☐ Addition
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY-ST-ZIP

TITLE VP ☐ DELETE
NAME FRAWLEY, KEVIN
STREET ADDRESS 751 BROAD STREET
CITY-ST-ZIP NEWARK NJ 07102

5.1 TITLE ☐ Change ☐ Addition
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP

TITLE T ☐ DELETE
NAME CHAPLIN, C EDWARD
STREET ADDRESS 751 BROAD ST
CITY-ST-ZIP NEWARK NJ 07102

6.1 TITLE ☐ Change ☐ Addition
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

April 11, 1999 973-802-8732

Date

Daytime Phone #

CR2E034 (11/98)

85 798 1
389872-90159-47

Pruco Securities Corporation
Officers

Name	Title	Address	City	State	Zip	Appointment Date
James J. Avery, Jr.	Chairman of the Board	751 Broad Street	Newark	NJ	07102	11/3/97
Clifford E. Kirsch	C.L.O./Secretary	751 Broad Street	Newark	NJ	07102	4/24/95(C.L.O.) 5/1/96(Secretary)
Charles A. McGee	Comptroller and C.F.O.	751 Broad Street	Newark	NJ	07102	11/11/97
Richard A. Topp	President	751 Broad Street	Newark	NJ	07102	8/15/96
Kevin Frawley	V.P./C.C.O.	751 Broad Street	Newark	NJ	07102	8/15/96
Peg Byrne	V.P./Chief Auditor	751 Broad Street	Newark	NJ	07102	4/7/98
Robert Kloby	Vice President	751 Broad Street	Newark	NJ	07102	10/15/96
Gordon Wilson	Vice President	751 Broad Street	Newark	NJ	07102	6/30/94
Jeffrey M. Goldberg	Vice President	One New York Plaza	New York	NY	10292	12/16/98
Jerry L. Roberts	Regional V.P.	6757 Arapho St.	Dallas	TX	75248	12/1/96
C. Edward Chaplin	Treasurer	751 Broad Street	Newark	NJ	07102	1/31/96
Raymond H. Goslin	Asst. Comptroller	751 Broad Street	Newark	N	07102	4/9/98
Janice Pavlou	Asst. Comptroller	751 Broad Street	Newark	NJ	07102	10/10/95
Paul F. Blinn	Asst. Treasurer	751 Broad Street	Newark	NJ	07102	12/5/88
James J. Straine	Asst. Treasurer	751 Broad Street	Newark	NJ	07102	7/21/93
Kathleen C. Hoffman	Asst. Treasurer	751 Broad Street	Newark	NJ	07102	1/25/94
Robert Montellione	Asst. Treasurer	751 Broad Street	Newark	NJ	07102	2/5/95
Robert Moos	Asst. Treasurer	751 Broad Street	Newark	NJ	07102	4/6/95
Patricia E. Christian	Asst. Secretary	751 Broad Street	Newark	NJ	07102	2/3/95
Jurlease Wilson	Asst. Secretary	Raritan Center One	Edison	NJ	08837	7/30/92
Steven Joubert	Asst. Secretary	751 Broad Street	Newark	NJ	07102	4/14/93
Mary Jo Reich	Asst. Secretary	751 Broad Street	Newark	NJ	07102	2/28/94
Walter D. Biles	Asst. Secretary	9710 B Sam Furr Rd.	Huntersville	NC	28078	7/21/95
Thomas Castano	Asst. Secretary	751 Broad Street	Newark	NJ	07102	5/1/96

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Pruco Securities Corporation

Directors

<u>Name</u>	<u>Title</u>	<u>Address</u>	<u>City</u>	<u>State</u>	<u>Zip</u>	<u>Appointment Date</u>
James J. Avery, Jr.	Chairman of the Board/ Director	751 Broad Street	Newark	NJ	07102	11/3/1997(C.O.B.) 5/24/96(Dir.)
Gordon Wilson	Director	751 Broad Street	Newark	NJ	07102	12/4/98
James D. Price	Director	199 Water St. 34th fl.	New York	NY	10292	11/3/97
Richard A. Topp	Director	751 Broad Street	Newark	NJ	07102	8/15/96