

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

FILED
May 01 1998 8:00am
Secretary of State

PROFIT CORPORATION ANNUAL REPORT 1998		FLORIDA DEPARTMENT OF STATE Sandra B. Mortham Secretary of State DIVISION OF CORPORATIONS
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DOCUMENT # **857987** (2)
1. Corporation Name
PRUCO SECURITIES CORPORATION

Principal Place of Business 1111 DURHAM AVE S PLAINFIELD NJ 07080 US	Mailing Address 1111 DURHAM AVE S PLAINFIELD NJ 07080 US
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DO NOT WRITE IN THIS SPACE

2. Principal Place of Business 21 751 Broad Street Suite, Apt. #, etc. 22 City & State 23 Newark, New Jersey Zip 24 07102 Country 25 U.S.A.		2a. Mailing Address 26 751 Broad Street Suite, Apt. #, etc. 27 City & State 28 Newark, New Jersey Zip 29 07102 Country 30 U.S.A.		3. Date Incorporated or Qualified 10/04/1983	
2. Principal Place of Business 21 751 Broad Street Suite, Apt. #, etc. 22 City & State 23 Newark, New Jersey Zip 24 07102 Country 25 U.S.A.		2a. Mailing Address 26 751 Broad Street Suite, Apt. #, etc. 27 City & State 28 Newark, New Jersey Zip 29 07102 Country 30 U.S.A.		4. FEI Number 22-1921421 Applied For Not Applicable	
2. Principal Place of Business 21 751 Broad Street Suite, Apt. #, etc. 22 City & State 23 Newark, New Jersey Zip 24 07102 Country 25 U.S.A.		2a. Mailing Address 26 751 Broad Street Suite, Apt. #, etc. 27 City & State 28 Newark, New Jersey Zip 29 07102 Country 30 U.S.A.		5. Certificate of Status Desired ☒ \$8.75 Additional Fee Required	
2. Principal Place of Business 21 751 Broad Street Suite, Apt. #, etc. 22 City & State 23 Newark, New Jersey Zip 24 07102 Country 25 U.S.A.		2a. Mailing Address 26 751 Broad Street Suite, Apt. #, etc. 27 City & State 28 Newark, New Jersey Zip 29 07102 Country 30 U.S.A.		6. Election Campaign Financing Trust Fund Contribution ☐ \$5.00 May Be Added to Fees	
2. Principal Place of Business 21 751 Broad Street Suite, Apt. #, etc. 22 City & State 23 Newark, New Jersey Zip 24 07102 Country 25 U.S.A.		2a. Mailing Address 26 751 Broad Street Suite, Apt. #, etc. 27 City & State 28 Newark, New Jersey Zip 29 07102 Country 30 U.S.A.		8. This corporation owes or has paid the current year Intangible Personal Property Tax due June 30. ☐ Yes ☒ No	
9. Name and Address of Current Registered Agent UNITED STATES CORPORATION COMPANY 1201 HAYS STREET SUITE 105 TALLAHASSEE FL 32301				10. Name and Address of New Registered Agent 81 Name 82 Street Address (P.O. Box Number is Not Acceptable) 83 84 City FL 85 Zip Code	

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP WILSON, GORDON PRUDENTIAL PLAZA NEWARK NJ 07102 ☐ DELETE	11 TITLE 12 NAME 13 STREET ADDRESS 14 CITY-ST-ZIP	**see attachment** ☒ Change ☐ Addition 751 Broad Street Newark, New Jersey 07102-3777
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D CAUFIELD, E. MICHAEL 477 MARTINSVILLE ROAD LIBERTY CONER NJ 07838 ☐ DELETE	21 TITLE 22 NAME 23 STREET ADDRESS 24 CITY-ST-ZIP	751 Broad Street Newark, New Jersey 07102-3777 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D MAHONEY, JOSEPH PRUDENTIAL PLAZA NEWARK NJ 07102 ☐ DELETE	31 TITLE 32 NAME 33 STREET ADDRESS 34 CITY-ST-ZIP	751 Broad Street Newark, New Jersey 07102-3777 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	P TOPP, RICHARD A PRUDENTIAL PLAZA NEWARK NJ ☐ DELETE	41 TITLE 42 NAME 43 STREET ADDRESS 44 CITY-ST-ZIP	P,D ☒ Change ☐ Addition 751 Broad Street Newark, New Jersey 07102-3777
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP FRAWLEY, KEVIN PRUDENTIAL PLAZA NEWARK NJ ☐ DELETE	51 TITLE 52 NAME 53 STREET ADDRESS 54 CITY-ST-ZIP	751 Broad Street Newark, New Jersey 07102-3777 ☒ Change ☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	T CHAPLIN, C EDWARD PRUDENTIAL PLAZA NEWARK NJ ☐ DELETE	61 TITLE 62 NAME 63 STREET ADDRESS 64 CITY-ST-ZIP	751 Broad Street Newark, New Jersey 07102-3777 ☒ Change ☐ Addition

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address

SIGNATURE: *Patricia E. Christian* PATRICIA E. CHRISTIAN 4/24/98 908-412-5718

CR2E034 (1097)

Pruco Securities Corporation
Directors

<u>Name</u>	<u>Title</u>	<u>Address</u>	<u>City</u>	<u>State</u>	<u>Zip</u>	<u>Appointment Date</u>
James J. Avery, Jr.	Chairman of the Board/ Director	751 Broad Street	Newark	NJ	07102	11/3/1997(C.O.B.) 5/24/96(Dir.)
R. Brock Armstrong	Director	751 Broad Street	Newark	NJ	07102	11/3/97
E. Michael Caulfield	Director	751 Broad Street	Newark	NJ	07102	6/3/93
Joseph F. Mahoney	Director	751 Broad Street	Newark	NJ	07102	6/6/95
Richard O. Painter	Director	751 Broad Street	Newark	NJ	07102	5/1/96
James D. Price	Director	199 Water St. 34th fl.	New York	NY	10292	11/3/97
Richard A. Topp	Director	751 Broad Street	Newark	NJ	07102	8/15/98

Pruco Securities Corporation
Officers

Name	Title	Address	City	State	Zip	Appointment Date
James J. Avery, Jr.	Chairman of the Board	751 Broad Street	Newark	NJ	07102	11/3/87
Clifford E. Kirsch	C.L.O./Secretary	751 Broad Street	Newark	NJ	07102	4/24/95(C.L.O.) 5/1/96(Secretary)
Charles A. McGee	Comptroller and C.F.O.	751 Broad Street	Newark	NJ	07102	11/11/97
Richard A. Topp	President	751 Broad Street	Newark	NJ	07102	8/15/96
Kevin Frawley	V.P./C.C.O.	751 Broad Street	Newark	NJ	07102	8/15/96
Robert Kloby	Vice President	751 Broad Street	Newark	NJ	07102	10/15/96
Charles Morgan	Vice President	751 Broad Street	Newark	NJ	07102	10/20/97
Gordon Wilson	Vice President	751 Broad Street	Newark	NJ	07102	6/30/94
Jerry L. Roberts	Regional V.P.	6757 Arapho St.	Dallas	TX	75248	12/1/96
C. Edward Chaplin	Treasurer	751 Broad Street	Newark	NJ	07102	1/31/96
Janice Paviou	Asst. Comptroller	751 Broad Street	Newark	NJ	07102	10/10/95
Paul F. Blinn	Asst. Treasurer	751 Broad Street	Newark	NJ	07102	12/5/88
Vincent Marra	Asst. Treasurer	Raritan Center One	Edison	NJ	08837	7/30/92
James J. Straine	Asst. Treasurer	751 Broad Street	Newark	NJ	07102	7/21/93
Kathleen C. Hoffman	Asst. Treasurer	751 Broad Street	Newark	NJ	07102	1/25/94
Robert Montellione	Asst. Treasurer	751 Broad Street	Newark	NJ	07102	2/5/95
Robert Moos	Asst. Treasurer	751 Broad Street	Newark	NJ	07102	4/6/95
Patricia E. Christian	Asst. Secretary	1111 Durham Ave.	S. Plainfield	NJ	07080	2/3/95
Barbara Gold	Asst. Secretary	751 Broad Street	Newark	NJ	07102	7/10/92
Jurlease Wilson	Asst. Secretary	Raritan Center One	Edison	NJ	08837	7/30/92
Steven Joubert	Asst. Secretary	1111 Durham Ave.	S. Plainfield	NJ	07080	4/14/93
Peter Eisen	Asst. Secretary	1111 Durham Ave.	S. Plainfield	NJ	07080	4/14/93
Mary Jo Reich	Asst. Secretary	751 Broad Street	Newark	NJ	07102	2/28/94
Walter D. Biles	Asst. Secretary	9710 B Sam Furr Rd.	Huntersville	NC	28078	7/21/95
Thomas Castano	Asst. Secretary	751 Broad Street	Newark	NJ	07102	5/1/96