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FILED

May 15 1997 8:00am  
Secretary of State

PROFIT  
CORPORATION  
ANNUAL REPORT  
1997



FLORIDA DEPARTMENT OF STATE  
Sandra B. Mortham  
Secretary of State  
DIVISION OF CORPORATIONS

DOCUMENT # 857987 (2)  
1. Corporation Name  
PRUCO SECURITIES CORPORATION



Principal Place of Business  
1111 DURHAM AVE  
S PLAINFIELD NJ 07080  
US

Mailing Address  
1111 DURHAM AVE  
S PLAINFIELD NJ 07080-2305  
US

3. Date Incorporated or Qualified  
10/04/1983

3a. Date of Last Report  
04/10/1996

4. FEI Number  
22-1921421

Applied For  
Not Applicable

5. Certificate of Status Desired ☒ \$8.75 Additional  
Fee Required

6. Election Campaign Financing  
Trust Fund Contribution ☐ \$5.00 May Be  
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,  
Florida Statutes ☐ Yes ☒ No

2. Principal Place of Business  
21 Suite, Apt. #, etc.  
22 City & State  
23 Zip  
24 Country

2a. Mailing Address  
26 Suite, Apt. #, etc.  
27 City & State  
28 Zip  
29 Country

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

UNITED STATES CORPORATION COMPANY  
1201 HAYS STREET  
SUITE 105  
TALLAHASSEE FL 32301

81 Name  
82 Street Address (P.O. Box Number is Not Acceptable)  
83  
84 City  
FL 85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature of typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	VP WILSON, GORDON PRUDENTIAL PLAZA NEWARK NJ 07102	1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	D CAUFIELD, E. MICHAEL 477 MARTINSVILLE ROAD LIBERTY CONER NJ 07938	1.2 NAME	<input type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS	D MAHONEY, JOSEPH PRUDENTIAL PLAZA NEWARK NJ 07102	1.3 STREET ADDRESS	<input type="checkbox"/> Change <input type="checkbox"/> Addition
CITY-ST-ZIP	VP MCGARRITY, KAREN 1111 DURHAM AVE SOUTH PLAINFIELD NJ 07080	1.4 CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE	D KLEINMAN, IRA J PRUDENTIAL PLAZA NEWARK NJ 07102	2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	D BAIRD, EDWARD P PRUDENTIAL PLAZA NEWARK NJ 07102	2.2 NAME	<input type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS	<input checked="" type="checkbox"/> DELETE	2.3 STREET ADDRESS	<input type="checkbox"/> Change <input type="checkbox"/> Addition
CITY-ST-ZIP	<input checked="" type="checkbox"/> DELETE	2.4 CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE	<input checked="" type="checkbox"/> DELETE	3.1 TITLE	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<input checked="" type="checkbox"/> DELETE	3.2 NAME	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
STREET ADDRESS	<input checked="" type="checkbox"/> DELETE	3.3 STREET ADDRESS	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
CITY-ST-ZIP	<input checked="" type="checkbox"/> DELETE	3.4 CITY-ST-ZIP	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE	<input checked="" type="checkbox"/> DELETE	4.1 TITLE	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<input checked="" type="checkbox"/> DELETE	4.2 NAME	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
STREET ADDRESS	<input checked="" type="checkbox"/> DELETE	4.3 STREET ADDRESS	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
CITY-ST-ZIP	<input checked="" type="checkbox"/> DELETE	4.4 CITY-ST-ZIP	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE	<input checked="" type="checkbox"/> DELETE	5.1 TITLE	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<input checked="" type="checkbox"/> DELETE	5.2 NAME	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
STREET ADDRESS	<input checked="" type="checkbox"/> DELETE	5.3 STREET ADDRESS	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
CITY-ST-ZIP	<input checked="" type="checkbox"/> DELETE	5.4 CITY-ST-ZIP	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE	<input checked="" type="checkbox"/> DELETE	6.1 TITLE	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<input checked="" type="checkbox"/> DELETE	6.2 NAME	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
STREET ADDRESS	<input checked="" type="checkbox"/> DELETE	6.3 STREET ADDRESS	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
CITY-ST-ZIP	<input checked="" type="checkbox"/> DELETE	6.4 CITY-ST-ZIP	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition

\*\*\*See Attachment\*\*\*

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath, that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

*Peter Eisen*  
Peter Eisen

4/28/97

(908) 412-5121

CR2E034 (9/96)

**PRUCO SECURITIES CORP.  
DIRECTORS AND OFFICERS**

<b>Name &amp; Title</b>	<b>OFFICE</b>
<b>William F. Yelverton</b> Chairman of the Board & Director	Prudential Plaza Newark, NJ 07102
<b>Richard O. Painter</b> Director	1111 Durham Ave. South Plainfield, NJ 07080
<b>Joseph F. Mahoney Jr.</b> Director	Prudential Plaza Newark, NJ 07102
<b>E. Michael Caulfield</b> Director	Prudential Plaza Newark, NJ 07102
<b>James Avery Jr.</b> Director	Prudential Plaza Newark, NJ 07102
<b>Richard A. Topp</b> President & Director	Prudential Plaza Newark, NJ 07102
<b>Clifford E. Kirsch</b> Chief Legal Officer & Secretary	Prudential Plaza Newark, NJ 07102
<b>Lisa Ramaswamy</b> Comptroller & Chief Financial Officer	1111 Durham Ave. South Plainfield, NJ 07080
<b>Kevin Frawley</b> Vice President & Chief Compliance Officer	Prudential Plaza Newark, NJ 07102
<b>Robert Kloby</b> Vice President	Prudential Plaza Newark, NJ 07102
<b>Gordon Wilson</b> Vice President	Prudential Plaza Newark, NJ 07102
<b>Jerry L. Roberts</b> Vice President	6757 Arapho St. Dallas, TX 75248
<b>C. Edward Chaplin</b> Treasurer	Prudential Plaza Newark, NJ 07102

## PRUCO SECURITIES CORP.

<b>Name &amp; Title</b>	<b>OFFICE</b>
<b>Janice Pavlou</b> Asst. Comptroller	Prudential Plaza Newark, NJ 07102
<b>Paul F. Bilnn</b> Asst. Treasurer	Prudential Plaza Newark, NJ 07102
<b>Vincent Marra</b> Asst. Treasurer	Raritan Plaza One Edison, NJ 08837
<b>James J. Straine</b> Asst. Treasurer	Prudential Plaza Newark, NJ 07102
<b>Kathleen C. Hoffman</b> Asst. Treasurer	Prudential Plaza Newark, NJ 07102
<b>Robert Moos</b> Asst. Treasurer	Prudential Plaza Newark, NJ 07102
<b>Patricia E. Christian</b> Asst. Secretary	1111 Durham Ave South Plainfield, NJ 07080
<b>Barbara Gold</b> Asst. Secretary	Prudential Plaza Newark, NJ 07102
<b>Jurlease Wilson</b> Asst. Secretary	Raritan Center One Edison, NJ 08837
<b>Steven Joubert</b> Asst. Secretary	1111 Durham Ave South Plainfield, NJ 07080
<b>Peter Eisen</b> Asst. Secretary	1111 Durham Ave South Plainfield, NJ 07080
<b>Mary Jo Reich</b> Asst. Secretary	Prudential Plaza Newark, NJ 07102
<b>Walter D. Biles</b> Asst. Secretary	9710 B Sam Furr Rd Huntersville, NC 28078
<b>David L. Domnick</b> Asst. Secretary	7800 Mopac, Suite 110 Austin, TX 78759
<b>Kirk A. Montgomery</b> Asst. Secretary	Prudential Plaza Newark, NJ 07102
<b>Mary Giordano</b> Asst. Secretary	Prudential Plaza Newark, NJ 07102
<b>Thomas Castano</b> Asst. Secretary	Prudential Plaza Newark, NJ 07102
<b>Robert Montellione</b> Asst. Secretary	Prudential Plaza Newark, NJ 07102