

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

FILED
May 21 1998 8:00am
Secretary of State

| | | |
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| PROFIT CORPORATION ANNUAL REPORT 1998 |  | FLORIDA DEPARTMENT OF STATE Sandra B. Mortham Secretary of State DIVISION OF CORPORATIONS |
|--|---|---|

DOCUMENT # 856994 (9)

1. Corporation Name
MCDONALD & COMPANY SECURITIES, INC.



| | |
|--|--|
| Principal Place of Business MCDONALD INVESTMENT CENTER 800 SUPERIOR AVENUE CLEVELAND OH 44114-2603 | Mailing Address MCDONALD INVESTMENT CENTER 800 SUPERIOR AVENUE CLEVELAND OH 44114-2603 |
|--|--|

DO NOT WRITE IN THIS SPACE

| | |
|--------------------------------|------------------------|
| 2. Principal Place of Business | 2a. Mailing Address |
| 21 Suite, Apt. #, etc. | 26 Suite, Apt. #, etc. |
| 22 City & State | 27 City & State |
| 23 Zip | 28 Zip |
| 24 Country | 29 Country |
| 25 | 30 |

| | |
|--|--|
| 3. Date Incorporated or Qualified 07/05/1983 | |
| 4. FEI Number 34-1391952 | Applied For <input type="checkbox"/> Not Applicable |
| 5. Certificate of Status Desired <input type="checkbox"/> | \$8.75 Additional Fee Required |
| 6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/> | \$5.00 May Be Added to Fees |
| 8. This corporation owes or has paid the current year Intangible Personal Property Tax due June 30. <input type="checkbox"/> Yes <input type="checkbox"/> No | |

9. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
 1200 S. PINE ISLAND ROAD
 PLANTATION FL 33324**

10. Name and Address of New Registered Agent

| |
|---|
| 81 Name |
| 82 Street Address (P.O. Box Number is Not Acceptable) |
| 83 |
| 84 City |
| 85 Zip Code |

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

12. OFFICERS AND DIRECTORS

| | |
|----------------|---|
| TITLE | C <input type="checkbox"/> DELETE |
| NAME | SUMMERS, WILLIAM B JR. |
| STREET ADDRESS | MCDONALD INVESTMENT CTR., 800 SUPERIOR AVE |
| CITY-ST-ZIP | CLEVELAND OH 44114-2603 |
| TITLE | P <input type="checkbox"/> DELETE |
| NAME | CLUTTERBUCK, ROBERT T |
| STREET ADDRESS | MCDONALD INVESTMENT CTR., 800 SUPERIOR AVE |
| CITY-ST-ZIP | CLEVELAND OH 44114-2603 |
| TITLE | VC <input type="checkbox"/> DELETE |
| NAME | AUSTIN, DANIEL F |
| STREET ADDRESS | MCDONALD INVESTMENT CTR., 800 SUPERIOR AVE |
| CITY-ST-ZIP | CLEVELAND OH 44114-2603 |
| TITLE | D <input type="checkbox"/> DELETE |
| NAME | O'DONNELL, THOMAS M |
| STREET ADDRESS | MCDONALD INVESTMENT CTR., 800 SUPERIOR AVE |
| CITY-ST-ZIP | CLEVELAND OH 44114-2603 |
| TITLE | D <input type="checkbox"/> DELETE |
| NAME | KNALL, DAVID W |
| STREET ADDRESS | MCDONALD INVESTMENT CTR., 800 SUPERIOR AVE |
| CITY-ST-ZIP | CLEVELAND OH 44114-2603 |
| TITLE | CCEO <input type="checkbox"/> DELETE |
| NAME | SUMMERS, WILLIAM B |
| STREET ADDRESS | 800 SUPERIOR AVE. |
| CITY-ST-ZIP | CLEVELAND OH |

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

| | |
|--------------------|---|
| 1.1 TITLE | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 1.2 NAME | |
| 1.3 STREET ADDRESS | |
| 1.4 CITY-ST-ZIP | |
| 2.1 TITLE | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 2.2 NAME | |
| 2.3 STREET ADDRESS | |
| 2.4 CITY-ST-ZIP | |
| 3.1 TITLE | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 3.2 NAME | |
| 3.3 STREET ADDRESS | |
| 3.4 CITY-ST-ZIP | |
| 4.1 TITLE | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 4.2 NAME | |
| 4.3 STREET ADDRESS | |
| 4.4 CITY-ST-ZIP | |
| 5.1 TITLE | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 5.2 NAME | |
| 5.3 STREET ADDRESS | |
| 5.4 CITY-ST-ZIP | |
| 6.1 TITLE | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| 6.2 NAME | |
| 6.3 STREET ADDRESS | |
| 6.4 CITY-ST-ZIP | |

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address

SIGNATURE _____

CR2E034 (10/97)

McDONALD & COMPANY SECURITIES, INC.

Revised 5/88

| <u>Title</u> | <u>Name</u> | <u>Business Address</u> | <u>Residence Address</u> | <u>Officer & Director</u> | <u>Social Security #</u> |
|---|---------------------------|--|---|-------------------------------|--------------------------|
| OFFICERS | | | | | |
| Chairman, Chief Executive Officer | William B. Summers | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 20749 Beachcliff Rocky River, OH 44116 | X | 270-46-1964 |
| President, COO | Robert T. Clutterbuck | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 10 Kensington Oval Rocky River, OH 44116 | X | 284-48-3065 |
| Vice Chairman | Daniel F. Austin | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 2741 Ashley Road Shaker Heights, OH 44122 | X | 288-42-3096 |
| CFO, Treasurer, Secretary | Patricia J. Jamieson | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 1453 Dorsh Road South Euclid, OH 44121 | X | 290-54-4070 |
| DIRECTORS | | | | | |
| Managing Director | Jack N. Aydin | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 6 DeForest Avenue W. Nyack, NJ 10994 | | 140-38-3883 |
| Sr. Managing Director | Eugene H. Bosart | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 4548 Kiftsgate Bend Bloomfield Hills, MI 48302 | | 291-38-6684 |
| Sr. Managing Director | Thomas G. Clevidence | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 3744 Clay Mountain Drive Medina, OH 44256 | | 300-42-6556 |
| Sr. Managing Director | Ralph M. Della Ratta, Jr. | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 2975 Atteboro Road Shaker Heights, OH 44120 | | 068-38-4541 |
| Sr. Managing Director | Leonard J. DeRoma | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 21378 Avalon Drive Rocky River, OH 44116 | | 117-38-7714 |
| Sr. Managing Director | Dennis J. Donnelly | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 20942 Avalon Drive Rocky River, OH 44116 | | 277-46-7853 |

McDONALD & COMPANY SECURITIES, INC.

Revised 5/88

Officer &
Director

Residence Address

Business Address

Name

Title

Social
Security #

DIRECTORS (cont.)

| | | | | |
|---------------------------------------|---------------------|---|--|-------------|
| Managing Director | David W. Ellis, III | Gradison & Co., Inc. The 580 Building 6th & Walnut Streets Cincinnati, OH 45202-3198 | 2412 Ingleside #6B Cincinnati, OH 45206 | 288-42-8724 |
| Sr. Managing Director | David W. Knall | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 7440 North Illinois Indianapolis, OH 46260 | 316-42-2725 |
| Sr. Managing Director | Thomas M. McDonald | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 2178 Edgeview Drive Hudson, OH 44236 | 409-76-1653 |
| Managing Director | Lawrence T. Oakar | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 4145 Diane Drive Fairview Park, OH 44126 | 288-28-8665 |
| Sr. Managing Director | John F. O'Brien | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 1031 Brook Lane Rocky River, OH 44116 | 294-30-4006 |
| Chairman, McD & Co. Investments | Thomas M. O'Donnell | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 1325 Timerelea Westlake, OH 44145 | 289-32-7402 |
| Sr. Managing Director | James C. Redinger | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 50 Kimberly Court Bay Village, OH 44140 | 286-32-6959 |
| Managing Director | David D. Sutcliffe | McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114 | 1669 Sperry's Forge Westlake, OH 44145 | 201-52-2178 |
| Sr. Managing Director | Bradley E. Turner | Gradison & Co., Inc. The 580 Building 6th & Walnut Streets Cincinnati, OH 45202-3198 | 627 Lexington Avenue Terrace Park, OH 45174 | 282-58-8662 |