

FILE NOW: FILING FEE AFTER MAY 1 IS \$550.00

FILED  
May 12 1997 8:00am  
Secretary of State

PROFIT CORPORATION ANNUAL REPORT <b>1997</b>		FLORIDA DEPARTMENT OF STATE <b>Sandra B. Mortham</b> Secretary of State DIVISION OF CORPORATIONS
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DOCUMENT # **856994** (9)  
1. Corporation Name  
**MCDONALD & COMPANY SECURITIES, INC.**



Principal Place of Business <b>MCDONALD INVESTMENT CENTER 800 SUPERIOR AVENUE CLEVELAND OH 44114-2603</b>	Mailing Address <b>MCDONALD INVESTMENT CENTER 800 SUPERIOR AVENUE CLEVELAND OH 44114-2601</b>
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2. Principal Place of Business	2a. Mailing Address	3. Date Incorporated or Qualified <b>07/05/1983</b>	3a. Date of Last Report <b>05/01/1996</b>
21. Suite, Apt. #, etc	26. Suite, Apt. #, etc	4. FEI Number <b>34-1391952</b>	Applied For Not Applicable
22. City & State	27. City & State	5. Certificate of Status Desired <input type="checkbox"/>	<b>\$8.75</b> Additional Fee Required
23. Zip	28. Zip	6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/>	<b>\$5.00</b> May Be Added to Fees
24. Country	29. Country	30. Country	8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes <input type="checkbox"/> Yes <input type="checkbox"/> No

9. Name and Address of Current Registered Agent <b>CT CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD PLANTATION FL 33324</b>	10. Name and Address of New Registered Agent
81. Name	
82. Street Address (P.O. Box Number is Not Acceptable)	
83.	
84. City	85. Zip Code <b>FL</b>

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE: \_\_\_\_\_ (NOTE: Registered Agent signature required when reinstating) DATE: \_\_\_\_\_

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	<b>C</b> <input type="checkbox"/> DELETE	1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>SUMMERS, WILLIAM B JR.</b>	1.2 NAME	
STREET ADDRESS	<b>MCDONALD INVESTMENT CTR., 800 SUPERIOR AVE</b>	1.3 STREET ADDRESS	
CITY, ST, ZIP	<b>CLEVELAND OH 44114-2603</b>	1.4 CITY-ST-ZIP	
TITLE	<b>P</b> <input type="checkbox"/> DELETE	2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>CLUTTERBUCK, ROBERT T</b>	2.2 NAME	
STREET ADDRESS	<b>MCDONALD INVESTMENT CTR., 800 SUPERIOR AVE</b>	2.3 STREET ADDRESS	
CITY, ST, ZIP	<b>CLEVELAND OH 44114-2603</b>	2.4 CITY-ST-ZIP	
TITLE	<b>VC</b> <input type="checkbox"/> DELETE	3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>AUSTIN, DANIEL F</b>	3.2 NAME	
STREET ADDRESS	<b>MCDONALD INVESTMENT CTR., 800 SUPERIOR AVE</b>	3.3 STREET ADDRESS	
CITY, ST, ZIP	<b>CLEVELAND OH 44114-2603</b>	3.4 CITY-ST-ZIP	
TITLE	<b>D</b> <input type="checkbox"/> DELETE	4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>O'DONNELL, THOMAS M</b>	4.2 NAME	
STREET ADDRESS	<b>MCDONALD INVESTMENT CTR., 800 SUPERIOR AVE</b>	4.3 STREET ADDRESS	
CITY, ST, ZIP	<b>CLEVELAND OH 44114-2603</b>	4.4 CITY-ST-ZIP	
TITLE	<b>D</b> <input type="checkbox"/> DELETE	5.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>KNALL, DAVID W</b>	5.2 NAME	
STREET ADDRESS	<b>MCDONALD INVESTMENT CTR., 800 SUPERIOR AVE</b>	5.3 STREET ADDRESS	
CITY, ST, ZIP	<b>CLEVELAND OH 44114-2603</b>	5.4 CITY-ST-ZIP	
TITLE	<input type="checkbox"/> DELETE	6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		6.2 NAME	
STREET ADDRESS	<b>See Attached for Additional Information</b>	6.3 STREET ADDRESS	
CITY, ST, ZIP		6.4 CITY-ST-ZIP	

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: *Patricia J. Jamieson* Date: **4/29/97**  
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Daytime Phone #

CR2E034 (9/96)

**McDONALD & COMPANY SECURITIES, INC.**

Revised 4/97

Title	Name	Business Address	Residence Address	Social Security #
<b>OFFICERS</b> Chairman, Chief Executive Officer	William B. Summers	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	20749 Beachcliff Rocky River, OH 44116	270-46-1964
President, COO	Robert T. Cuthbertuck	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	10 Kensington Oval Rocky River, OH 44116	284-48-3065
Vice Chairman	Daniel F. Austin	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	2741 Ashley Road Shaker Heights, OH 44122	289-42-3096
CFO, Treasurer, Secretary	Patricia J. Jamieson	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	1453 Dorsh Road South Euclid, OH 44121	290-54-4070
<b>DIRECTORS</b> Managing Director	Jack N. Aydin	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	401 Marl Road Coltsneck, NJ 07722	140-38-3883
Sr. Managing Director	Eugene H. Bosart	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	4548 Kingsgate Bend Bloomfield Hills, MI 48302	291-38-6684
Sr. Managing Director	Thomas G. Clevidence	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	3939 East Smith Road Medina, OH 44256	300-42-6956
Sr. Managing Director	Ralph M. Della Ratta	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	2975 Atteboro Road Shaker Heights, OH 44120	068-38-4541
Sr. Managing Director	Dennis J. Donnelly	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	20781 Beachcliff Blvd. Rocky River, OH 44116	277-46-7853

**MCDONALD & COMPANY SECURITIES, INC.**

Revised 4/97

Title	Name	Business Address	Residence Address	Social Security #
<b>DIRECTORS (cont.)</b>				
Managing Director	David W. Ellis	Gradison & Co., Inc. The 580 Building 6th & Walnut Streets Cincinnati, OH 45202-3198	8970 Spooky Ridge Cincinnati, OH 45242	288-42-8724
Sr. Managing Director	David W. Kruhl	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	7440 North Illinois Indianapolis, OH 46280	316-42-2725
Managing Director	Thomas M. McDonald	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	2178 Edgemoor Drive Hudson, OH 44236	409-76-1653
Managing Director	Lawrence T. Calcar	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	4145 Diane Drive Fairview Park, OH 44126	289-28-8685
Sr. Managing Director	John F. O'Brien	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	1031 Brook Lane Rocky River, OH 44116	294-30-4006
Chairman, MCD & Co. Investments	Thomas M. O'Donnell	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	1325 Timarica Westlake, OH 44145	289-32-7402
Sr. Managing Director	James C. Redinger	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	50 Kimberly Court Bay Village, OH 44140	286-32-6959
Managing Director	David D. Sutcliffe	McDonald Investment Center 800 Superior Avenue Cleveland, OH 44114	1689 Sperry's Forge Westlake, OH 44145	201-52-2178
Sr. Managing Director	Bradley E. Turner	Gradison & Co., Inc. The 580 Building 6th & Walnut Streets Cincinnati, OH 45202-3198	627 Lexington Avenue Terrace Park, OH 45174	282-58-8662