

**FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00**

1-4

PROFIT CORPORATION ANNUAL REPORT 1996



FLORIDA DEPARTMENT OF STATE  
Sandra B. Mortham  
Secretary of State  
DIVISION OF CORPORATIONS

DOCUMENT # **856994** (9)

1. Corporation Name  
**MCDONALD & COMPANY SECURITIES, INC.**



Principal Place of Business: **2100 SOCIETY BLDG. CLEVELAND OH 44114**  
Mailing Address: **2100 SOCIETY BLDG. CLEVELAND OH 44114**

3. Date Incorporated or Qualified: **07/05/1983**  
3a. Date of Last Report: **06/21/1995**

2. Principal Place of Business: **McDonald Investment Center**  
2a. Mailing Address: **McDonald Investment Center**

4. FEI Number: **34-1391952**  
Applied For:  Not Applicable

22. **800 Superior Avenue**  
City & State: **Cleveland, OH**

5. Certificate of Status Desired:  **\$8.75 Additional Fee Required**  
6. Election Campaign Financing Trust Fund Contribution:  **\$5.00 May Be Added to Fees**

23. **Cleveland, OH**  
24. **44114-2603**

8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes:  Yes  No

9. Name and Address of Current Registered Agent  
**CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION FL 33324**

10. Name and Address of New Registered Agent  
81. Name  
82. Street Address (P.O. Box Number is Not Acceptable)  
83.  
84. City  
85. Zip Code: **FL**

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	<b>C</b> <input checked="" type="checkbox"/> DELETE	1.1 TITLE	<b>C</b> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Add on
NAME	<b>O'DONNELL, THOMAS M.</b>	1.2 NAME	<b>Summers, William B., Jr.</b>
STREET ADDRESS	<b>2100 SOCIETY BUILDING</b>	1.3 STREET ADDRESS	<b>McDonald Investment Ctr., 800 Superior Ave</b>
CITY-ST-ZIP	<b>CLEVELAND OH</b>	1.4 CITY-ST-ZIP	<b>Cleveland, OH 44114-2603</b>
TITLE	<b>P</b> <input checked="" type="checkbox"/> DELETE	2.1 TITLE	<b>P</b> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<b>SUMMERS, WILLIAM B.</b>	2.2 NAME	<b>Clutterbuck, Robert T.</b>
STREET ADDRESS	<b>2100 SOCIETY BUILDING</b>	2.3 STREET ADDRESS	<b>McDonald Investment Ctr., 800 Superior Ave.</b>
CITY-ST-ZIP	<b>CLEVELAND OH</b>	2.4 CITY-ST-ZIP	<b>Cleveland, OH 44114-2603</b>
TITLE	<b>S</b> <input checked="" type="checkbox"/> DELETE	3.1 TITLE	<b>VC</b> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<b>PRICE, GORDON A.</b>	3.2 NAME	<b>Austin, Daniel F.</b>
STREET ADDRESS	<b>2100 SOCIETY BUILDING</b>	3.3 STREET ADDRESS	<b>McDonald Investment Ctr., 800 Superior Ave.</b>
CITY-ST-ZIP	<b>CLEVELAND OH</b>	3.4 CITY-ST-ZIP	<b>Cleveland, OH 44114-2603</b>
TITLE	<b>T</b> <input checked="" type="checkbox"/> DELETE	4.1 TITLE	<b>D</b> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	<b>BROOKS, GEORGE H.</b>	4.2 NAME	<b>O'Donnell, Thomas M.</b>
STREET ADDRESS	<b>2100 SOCIETY BUILDING</b>	4.3 STREET ADDRESS	<b>McDonald Investment Ctr., 800 Superior Ave.</b>
CITY-ST-ZIP	<b>CLEVELAND OH</b>	4.4 CITY-ST-ZIP	<b>Cleveland, OH 44114-2603</b>
TITLE	<b>D</b> <input type="checkbox"/> DELETE	5.1 TITLE	<b>D</b> <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>KNALL, DAVID W.</b>	5.2 NAME	<b>Knall, David W.</b>
STREET ADDRESS	<b>2100 SOCIETY BUILDING</b>	5.3 STREET ADDRESS	<b>McDonald Investment Ctr., 800 Superior Ave.</b>
CITY-ST-ZIP	<b>CLEVELAND OH</b>	5.4 CITY-ST-ZIP	<b>Cleveland, OH 44114-2603</b>
TITLE	<b>D</b> <input checked="" type="checkbox"/> DELETE	6.1 TITLE	<b>400001865964</b>
NAME	<b>LAURITZEN, DEAN G.</b>	6.2 NAME	<b>-06/18/96--01133--048</b>
STREET ADDRESS	<b>2100 SOCIETY BUILDING</b>	6.3 STREET ADDRESS	<b>***200.00</b>
CITY-ST-ZIP	<b>CANTON OH</b>	6.4 CITY-ST-ZIP	

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath, that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 12 or Block 13 if changed or on an attachment with an address.

SIGNATURE: *Patricia J. Jamieson*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR  
**Patricia J. Jamieson, Chief Accounting Officer**

*Robert T. Clutterbuck*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR  
**ROBERT T. CLUTTERBUCK, PRESIDENT**

4/30/96  
5/1/96

CR2E034 (12/95)

MCDONALD & COMPANY SECURITIES, INC.

Revised 5/96

<u>Title</u>	<u>Name</u>	<u>Business Address</u>	<u>Residence Address</u>	<u>Officer &amp; Director</u>	<u>Social Security</u>
<b>OFFICERS</b>					
Chairman, Chief Executive Officer	William B. Summers	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	20749 Beachcliff Blvd. Rocky River, OH 44116	X	270-46-1964
President, COO & CFO	Robert T. Clutterbuck	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	10 Kensington Oval Rocky River, OH 44116	X	284-48-3065
Vice Chairman	Daniel F. Austin	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	2741 Ashley Road Shaker Hts., OH 44122	X	288-42-3096
<b>DIRECTORS</b>					
Chairman McDonald & Co. Investments	Thomas M. O'Donnell	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	1325 Timberlea Ct. Westlake, OH 44145		299-32-7402
	Jack N. Aydin	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	401 Marl Road Colts Neck, NJ 07722		140-38-3883
	Eugene H. Bosart	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	4548 Kittsgate Bend Bloomfield Hills, MI 48302		291-38-6684
	Thomas G. Clevidence	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	3939 East Smith Road Medina, OH 44256		300-42-6956

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**MCDONALD & COMPANY SECURITIES, INC.**

Revised 5/96

<u>Title</u>	<u>Name</u>	<u>Business Address</u>	<u>Residence Address</u>	<u>Officer &amp; Director</u>	<u>Social Security</u>
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**DIRECTORS (cont.)**

	Dennis J. Donnelly	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	20781 Beachcliff Blvd. Rocky River, OH 44116		277-46-7853
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	David W. Ellis, III	Gradison & Co., Inc. The 580 Building 6th & Walnut Streets Cincinnati, OH 45202-3198	8970 Spooky Ridge Cincinnati, OH 45242		288-42-8724
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	David W. Knall	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	7440 N. Illinois St Indianapolis, IN 46260		312-24-2725
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	Thomas M. McDonald	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	2178 Edgeview Drive Hudson, OH 44236		409-76-1653
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	Lawrence T. Oakar	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	4145 Diane Dr. Fairview Oak, OH 44126		288-28-8665
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	John F. O'Brien	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	1031 Brook Lane Rocky River, OH 44116		294-30-4006
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	James C. Redinger	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	520 Kimerly Court Bay Village, OH 44140		286-32-6959
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	David D. Sutcliffe	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	1669 Sperrys Forge Trail Westlake, OH 44145		201-52-2178
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	Francis S. Tobias	McDonald Investment Ctr. 800 Superior Avenue Cleveland, OH 44114-2603	30025 Ednill Dr. Bay Village, OH 44140		169-38-0677
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MCDONALD & COMPANY SECURITIES, INC.

Revised 5/96

Title

Name

Business Address

Residence Address

Officer &  
Director

Social Security

DIRECTORS (cont.)

Bradley E. Turner

Gradison & Co., Inc.  
The 580 Building  
6th & Walnut Streets  
Cincinnati, OH 45202-3198

627 Lexington Avenue  
Terrace Park, OH 45174

282-58-8662