

854863

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐

PICK-UP

☐

WAIT

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MAIL

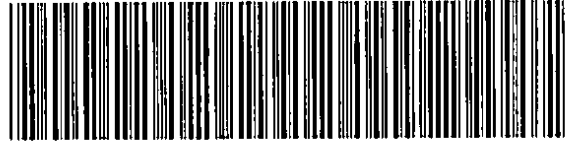
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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19 JAN 11 PM 13:48

R. WHITE
JAN 14 2019

FILED
2019 JAN 11 AM 8:09
SECRETARY OF STATE
TALLAHASSEE, FL

CORPORATION SERVICE COMPANY
1201 Hays Street
Tallahassee, FL 32301
Phone: 850-558-1500

ACCOUNT NO. : I20000000195
REFERENCE : 576308 5150675
AUTHORIZATION : 
COST LIMIT : \$35.00

ORDER DATE : January 10, 2019
ORDER TIME : 10:11 AM
ORDER NO. : 576308-225
CUSTOMER NO: 5150675

FOREIGN FILINGS

NAME: ROTHSCHILD ASSET MANAGEMENT
INC.

☒ CORPORATE
☐ LIMITED PARTNERSHIP
☐ LIMITED LIABILITY COMPANY

XXXX AMENDMENT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

☐ CERTIFIED COPY
☒ PLAIN STAMPED COPY
☐ CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Emily Croft -- EXT# 62925

EXAMINER: _____

PROFIT CORPORATION
APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
(Pursuant to s. 607.1504, F.S.)

SECTION I
(1-3 MUST BE COMPLETED)

854863

(Document number of corporation (if known))

1. Rothschild Asset Management Inc.

(Name of corporation as it appears on the records of the Department of State)

2. New York

(Incorporated under laws of)

3. 12/06/1982

(Date authorized to do business in Florida)

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? _____

5. Rothschild & Co Asset Management US Inc.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

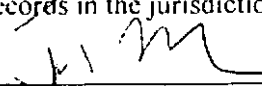
6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.



(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

John M. Carroll

(Typed or printed name of person signing)

Secretary

(Title of person signing)

FILED
2019 JAN 11 AM 8:09
SECRETARY OF STATE
TALLAHASSEE, FL

State of New York
Department of State } ss:

I hereby certify, that the Certificate of Incorporation of ROTHSCILD & CO ASSET MANAGEMENT US INC. was filed on 04/27/1962. under the name of FIVE ARROWS ADVISORY SERVICE, INC., fixing the duration as perpetual, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment FIVE ARROWS ADVISORY SERVICE, INC., changing its name to NEW COURT CAPITAL MANAGEMENT, INC., was filed 06/09/1969.

A Certificate of Amendment NEW COURT CAPITAL MANAGEMENT, INC., changing its name to ROTHSCILD ASSET MANAGEMENT INC., was filed 11/05/1982.

A Certificate of Amendment ROTHSCILD ASSET MANAGEMENT INC., changing its name to ROTHSCILD & CO ASSET MANAGEMENT NORTH AMERICA INC., was filed 10/22/2018.

A Certificate of Amendment ROTHSCILD & CO ASSET MANAGEMENT NORTH AMERICA INC., changing its name to ROTHSCILD & CO ASSET MANAGEMENT US INC., was filed 10/31/2018.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 09th day of January
two thousand and nineteen.*

Whitney Clark
Deputy Secretary of State