

2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 854863

FILED
Apr 20, 2012
Secretary of State

Entity Name: ROTHSCHILD ASSET MANAGEMENT INC.

Current Principal Place of Business:

1251 AVE OF THE AMERICAS
NEW YORK, NY 10020 US

New Principal Place of Business:

1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020 US

Current Mailing Address:

1251 AVE OF THE AMERICAS
NEW YORK, NY 10020 US

New Mailing Address:

1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020 US

FEI Number: 13-2544634

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

UNITED STATES CORPORATION COMPANY
1201 HAYS STREET
SUITE 105
TALLAHASSEE, FL 32302 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: CEO
Name: JOHNSON, CFA, T. RADEY
Address: 1251 AVENUE OF THE AMERICAS
City-St-Zip: NEW YORK, NY 10020

Title: D
Name: TAVEL, MARK K
Address: 1251 AVENUE OF THE AMERICAS
City-St-Zip: NEW YORK, NY 10020

Title: S
Name: RAWLINGS, TOM
Address: 1251 AVENUE OF THE AMERICAS
City-St-Zip: NEW YORK, NY 10020

Title: D
Name: DIDHAM, ANDREW
Address: 1251 AVENUE OF THE AMERICAS
City-St-Zip: NEW YORK, NY 10020

Title: DC
Name: LAWRENCE, JAMES A
Address: 1251 AVENUE OF THE AMERICAS
City-St-Zip: NEW YORK, NY 10020

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: T. RADEY JOHNSON, CFA

CEOD

04/20/2012

Electronic Signature of Signing Officer or Director

Date