

# 2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 854863

FILED  
Apr 12, 2011  
Secretary of State

**Entity Name:** ROTHSCHILD ASSET MANAGEMENT INC.

**Current Principal Place of Business:**

1251 AVE OF THE AMERICAS  
NEW YORK, NY 10020 US

**New Principal Place of Business:**

**Current Mailing Address:**

1251 AVE OF THE AMERICAS  
NEW YORK, NY 10020 US

**New Mailing Address:**

**FEI Number:** 13-2544634

**FEI Number Applied For ( )**

**FEI Number Not Applicable ( )**

**Certificate of Status Desired ( )**

**Name and Address of Current Registered Agent:**

UNITED STATES CORPORATION COMPANY  
1201 HAYS STREET  
SUITE 105  
TALLAHASSEE, FL 32302 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**OFFICERS AND DIRECTORS:**

Title: CEO  
Name: JOHNSON, T. RADEY  
Address: 1251 AVENUE OF THE AMERICAS  
City-St-Zip: NEW YORK, NY 10020

Title: D  
Name: TAVEL, MARK K  
Address: 1251 AVENUE OF THE AMERICAS  
City-St-Zip: NEW YORK, NY 10020

Title: S  
Name: RAWLINGS, TOM  
Address: 1251 AVENUE OF THE AMERICAS  
City-St-Zip: NEW YORK, NY 10020

Title: MD  
Name: OSHINSKIE, R. DANIEL  
Address: 1251 AVENUE OF THE AMERICAS  
City-St-Zip: NEW YORK, NY 10020

Title: DC  
Name: LAWRENCE, JAMES A  
Address: 1251 AVENUE OF THE AMERICAS  
City-St-Zip: NEW YORK, NY 10020

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: T. RADEY JOHNSON

CEOD

04/12/2011

\_\_\_\_\_  
Electronic Signature of Signing Officer or Director

\_\_\_\_\_  
Date