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# 2009 FOR PROFIT CORPORATION REINSTATEMENT

**FILED**  
09 APR 28 AM 10:51  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

<b>DOCUMENT # 854863</b>	
1. Entity Name <b>ROTHSCHILD ASSET MANAGEMENT INC.</b>	

Principal Place of Business <b>1251 AVE OF THE AMERICAS NEW YORK, NY 10020 US</b>	Mailing Address <b>1251 AVE OF THE AMERICAS NEW YORK, NY 10020 US</b>
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2. Principal Place of Business - No P.O. Box #  Suite, Apt. #, etc.	3. Mailing Address  Suite, Apt. #, etc.
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City & State	City & State	4. FEI Number <b>13-2544834</b>	Applied For <input type="checkbox"/> Not Applicable
Zip	Country	Zip	Country

**REINSTATEMENT**  
04/28/09 REINSTATEMENT GR2E098 (1/07) *08-09*

6. Name and Address of Current Registered Agent

**UNITED STATES CORPORATION COMPANY  
1201 HAYS STREET  
SUITE 105  
TALLAHASSEE, FL 32302**

7. Name and Address of New Registered Agent

Name  
Street Address (P.O. Box Number is Not Acceptable)  
City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE *Joyce L. Markley* **Joyce L. Markley as its agent** DATE **4/24/09**

Signature, typed or printed name of registered agent and (if applicable) (NOTE: Registered Agent signature required when reinstating)

**FILE NOW!!! FEE IS \$900.00**

10. OFFICERS AND DIRECTORS	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVST WILLIAMS, GREGORY O. 24 CROSSRIDGE CIRCLE MARLBORO, NJ 07746 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MDD TAVEL, MARK K. 110 RIVERSIDE DR NEW YORK, NY 10024 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CEOD RADEY, JOHNSON T 627 THIRD STREET BROOKLYN, NY 11215 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MD HARDING, JEFFREY J 265 SOUTH FLORA WAY GOLDEN, CO 80401 <input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CFO FOCELLA, SALVATORE 56 SOMERSET AVENUE GARDEN CITY, NY 11530 <input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Delete

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition <b>100137080441</b> 04/28/09--01046--015 **150.00
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition <b>100137080441</b> 10/20/08--01048--018 **750.00
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition Managing Director Joseph E. Bellantoni 12 Birch Brook Drive Valhalla, NY 10595
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition Treasurer: Gregory O. Williams 77 Aristotle Way Cranbury, NJ 08512
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other, as empowered.

SIGNATURE: *Gregory O. Williams* DATE: **04/27/2009** DAYTIME PHONE #: **212 403-3531**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

**Gregory O. Williams**

PLEASE SEE ATTACHED FOR A COMPLETE LIST OF OFFICERS & DIRECTORS

*5/1/09*

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BUSINESS ADDRESS

1251 Avenue of the Americas  
New York, New York 10020

April 23, 2009

**ROTHSCHILD ASSET MANAGEMENT INC.**

**2009**  
**Officers**

RESIDENCE ADDRESS

T. Radey Johnson	Chief Executive Officer	627 Third Street Brooklyn, NY 11215
James E. Johnson	Managing Director & Chief Operating Officer	P.O. Box 292 377 Rock City Road Chatham, NY 12037
Joseph E. Bellantoni	Managing Director	12 Birch Brook Drive Valhalla, NY 10595
Mary Jane Cullinan	Managing Director	50 Monastery Road Brighton, MA 02135
Mark F. Degenhart	Managing Director	81 Heritage Drive Shrewsbury, NJ 07702
Luis E. Ferreira	Managing Director	108 Hawks Hill Road New Canaan, CT 06840
William E. Grau	Managing Director	43 Maple Drive North Caldwell, NJ 07006
Deirdre J. Guice	Managing Director	12 Rynda Road South Orange, NJ 07079
Tina Jones	Managing Director	114 Zaccchers Mead Lane Greenwich, CT 06831
Chris R. Kaufman	Managing Director	135 Eastern Parkway Brooklyn, NY 11238
Douglas J. Levine	Managing Director	32 White Deer Lane West Harrison, NY 10604
Judith R. MacDonald	Managing Director Chief Compliance Officer & Assistant Secretary	245 West 104 <sup>th</sup> Street New York, NY 10025

**ROTHSCHILD ASSET MANAGEMENT INC.****2009****Officers (Cont'd)**

		<u>RESIDENCE ADDRESS</u>
R. Daniel Oshinskie	Managing Director	212 Coventry Lane Fairfield, CT 06824
Paul A. Roukis	Managing Director	102 Eden Avenue Massapequa Park, NY 11762
Mark K. Tavel	Managing Director	110 Riverside Drive New York, NY 10024
John C. Thomas	Managing Director	2818 Horseshoe Trail Chester Springs, PA 19425
Christopher M. Travers	Managing Director	15 Douglas Road Morristown, NJ 07960
William J. Wallace	Managing Director	59 Puritan Road Fairfield, CT 06824
Anthea Allen-Mikstay	Senior Vice President	79 Somerset Road Hopewell Junction, NY 12533
Roger J. Bos	Senior Vice President	175 Newtown Turnpike Weston, CT 06883
George B. Caviness	Senior Vice President	27 Hedges Avenue Chatham, NJ 07928
Susan Lau	Senior Vice President	120 East 90 <sup>th</sup> Street New York, NY 10128
Anna Majewska-Nizioł	Senior Vice President	22 Evergreen Drive Clifton, NJ 07014
Jelena M. Milinkovic	Senior Vice President	300 East 71 <sup>st</sup> Street New York, NY 10021
Richard E. Vasquez, Jr.	Senior Vice President	466 Everett Road Holmdel, NJ 07733
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	77 Aristotle Way Cranbury, NJ 08512

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**ROTHSCHILD ASSET MANAGEMENT INC.**

**2009**

**Officers (Cont'd)**

		<b><u>RESIDENCE ADDRESS</u></b>
William O. Bertolino	Vice President	24 First Street P.O. Box 444 Purdys, NY 10578
Augusto T. Blanco	Vice President	21 Sherwood Drive Poughkeepsie, NY 12603
Corey M. Brodsky	Vice President	232 Bloomfield Street Hoboken, NJ 07030
Michael N. Kehoe	Vice President	55 West 26 <sup>th</sup> Street New York, NY 10010
Charles C. Moran	Vice President	Two Fifth Avenue New York, NY 10011
Matthew P. Narlinger	Vice President	811 Loretta Drive River Vale, NJ 07675
Euclyn N. Ogill	Vice President	467 Liberty Road Englewood, NJ 07631
Vincent Simeone	Vice President	6 Wyman Court Coram, NY 11727
Diana I. Diaz	Assistant Vice President	69 Olde Wagon Road Warwick, NY 10990

5065

**ROTHSCHILD ASSET MANAGEMENT INC.**

**2009**

**Directors**

**RESIDENCE ADDRESS**

Andrew Didham

c/o N M Rothschild &  
Sons Limited  
New Court, St. Swithin's Lane  
London EC4P 4DU, England

T. Radey Johnson

627 Third Street  
Brooklyn, NY 11215

Roger H. Kimmel

1046 Lake Avenue  
Greenwich, CT 06831

Mark K. Tavel

110 Riverside Drive  
New York, NY 10024