

**2005 FOR PROFIT CORPORATION ANNUAL REPORT**

**FILED**  
**Apr 26, 2005 8:00 am**  
**Secretary of State**

04-26-2005 90154 026 \*\*\*150.00



**DOCUMENT # 854863**  
 1. Entity Name  
**ROTHSCHILD ASSET MANAGEMENT INC.**

Principal Place of Business Mailing Address  
**1251 AVE OF THE AMERICAS** **1251 AVE OF THE AMERICAS**  
**NEW YORK, NY 10020 US** **NEW YORK, NY 10020 US**

2. Principal Place of Business 3. Mailing Address  
 Suite, Apt. #, etc. Suite, Apt. #, etc.

City & State City & State

Zip Country Zip Country

04182005 Chg-P CR2E034 (10/03)



6. Name and Address of Current Registered Agent  
**UNITED STATES CORPORATION COMPANY**  
**1201 HAYS STREET**  
**SUITE 105**  
**TALLAHASSEE, FL 32302**

4. FEI Number  
**13-2544634**

5. Certificate of Status Desired  \$8.75 Additional Fee Required

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_  
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

**FILE NOW!!! FEE IS \$150.00**  
**After May 1, 2005 Fee will be \$550.00**

9. Election Campaign Financing Trust Fund Contribution.  **\$5.00** May Be Added to Fees

10. OFFICERS AND DIRECTORS	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVST WILLIAMS, GREGORY O. <input type="checkbox"/> Delete 24 CROSSRIDGE CIRCLE MARLBORO, NJ 07746
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MDD TAVEL, MARK K. <input type="checkbox"/> Delete 110 RIVERSIDE DR NEW YORK, NY 10024
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CEOD JOHNSON, R RADEY <input type="checkbox"/> Delete 627 THIRD STREET BROOKLYN, NY 11215
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MD MACCLURE, FREDERICK C <input checked="" type="checkbox"/> Delete 500 BEDFORD STREET STAMFORD, CT 06901
TITLE NAME STREET ADDRESS CITY-ST-ZIP	MD HARDING, JEFFREY J <input type="checkbox"/> Delete 265 SOUTH FLORA WAY GOLDEN, CO 80401
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CFO JENSSEN, PAUL H <input type="checkbox"/> Delete 23 SEARINGTOWN ROAD SEARINGTOWN, NY 11507

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address with other like empowered.

SIGNATURE: Gregory O. Williams Date: 4/21/05 Daytime Phone #: 212 403-3500  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

PLEASE SEE ATTACHED FOR A COMPLETE LIST OF OFFICERS & DIRECTORS

# ATTACHMENT

40067225  
#854863  
April 6, 2005

## BUSINESS ADDRESS

1251 Avenue of the Americas  
New York, New York 10020

## ROTHSCHILD ASSET MANAGEMENT INC.

2005

### Officers

		<u>RESIDENCE ADDRESS</u>
Paul H. Jossen	Chief Financial Officer	23 Seasingtown Road Seasingtown, NY 11507
T. Radey Johnson	Chief Executive Officer	627 Third Street Brooklyn, NY 11215
Mary Jane Cullinan	Managing Director	50 Monastery Road Brighton, MA 02135
Jeffrey J. Harding	Managing Director	265 South Flora Way Golden, CO 80401
Chris R. Kaufman	Managing Director	135 Eastern Parkway Brooklyn, NY 11238
Judith R. MacDonald	Managing Director Chief Compliance Officer & Assistant Secretary	245 West 104th Street New York, NY 10025
Donald J. McLoughlin	Managing Director	11 Tri-Brook Drive South Salem, NY 10590
Mark K. Tavel	Managing Director	110 Riverside Drive New York, NY 10024
John C. Thomas	Managing Director	2818 Horseshoe Trail Chester Springs, PA 19425
Christopher M. Travers	Managing Director	15 Douglas Road Morristown, NJ 07960
Steven D. Wasserman	Managing Director	8 Cooper Hawk Drive Manalapan, NJ 07726

# ATTACHMENT

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## ROTHSCHILD ASSET MANAGEMENT INC.

2005

### Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Joseph E. Bellantoni	Senior Vice President	12 Birch Brook Drive Valhalla, NY 10595
Michael P. Burke	Senior Vice President	94 Delaware Road Easton, CT 06612
Tina Jones	Senior Vice President	43 West 75 <sup>th</sup> Street New York, NY 10023
R. Daniel Oshinskie	Senior Vice President	50 Brookmere Drive Fairfield, CT 06430
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Anthea Allen-Mikstay	Vice President	84 McGuire Avenue Peekskill, NY 10566
William O. Bertolino	Vice President	24 First Street P.O. Box 444 Purdys, NY 10578
Augusto T. Blanco	Vice President	21 Sherwood Drive Poughkeepsie, NY 12603
Roger J. Bos	Vice President	166 Sycamore Road Jersey City, NY 07305
Anna Majewska-Nizioł	Vice President	22 Evergreen Drive Clifton, NJ 07014
Jelena M. Milinkovic	Vice President	300 East 71 <sup>st</sup> Street New York, NY 10021
Charles C. Moran	Vice President	Two Fifth Avenue New York, NY 10011

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## ROTHSCHILD ASSET MANAGEMENT INC.

2005

### Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Matthew P. Narlinger	Vice President	2100 Linwood Avenue Fort Lee, NJ 07024
Euclyn N. Ogill	Vice President	467 Liberty Road Englewood, NJ 07631
Paul A. Roukis	Vice President	102 Eden Avenue Massapequa Park, NY 11762
Vincent Simeone	Vice President	6 Wyman Court Coram, NY 11727
Evelyn Cabrera	Assistant Vice President	415 79 <sup>th</sup> Street North Bergen, NJ 07047

### Directors

	<u>RESIDENCE ADDRESS</u>
T. Radey Johnson	627 Third Street Brooklyn, NY 11215
Gerald Rosenfeld	110 Riverside Drive New York, NY 10024
Mark K. Tavel	110 Riverside Drive New York, NY 10024