

**FOR PROFIT CORPORATION  
UNIFORM BUSINESS REPORT (UBR)**

**FILED**  
**May 14, 2002 8:00 am**  
**Secretary of State**

05-14-2002 90362 050 \*\*\*150.00

**DOCUMENT # 854863**  
1. Entity Name  
**ROTHSCHILD ASSET MANAGEMENT INC.**

**DO NOT WRITE IN THIS SPACE**

2. Principal Place of Business 1251 Ave of the Am.		3. Mailing Address 1251 Ave of the Am.	
Suite, Apt. #, etc. 51st Floor		Suite, Apt. #, etc. 51st Floor	
City & State New York, NY		City & State New York, NY	
Zip 10020	Country USA	Zip 10020	Country USA

DO NOT WRITE IN THIS SPACE

4. FEI Number 13-2544634	Applied For Not Applicable
5. Certificate of Status Desired <input type="checkbox"/> \$8.75 Additional Fee Required	

**DO NOT WRITE  
IN THIS SPACE**

7. Name and Address of Current Registered Agent

Name United States Corporation Company
Street Address (P.O. Box Number is Not Acceptable) 1201 Hays Street Suite 105
City Tallahassee FL Zip Code 32301

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE \_\_\_\_\_ (NOTE: Registered Agent signature required when reinstating) DATE \_\_\_\_\_

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) <input type="checkbox"/>	January 1 - May 1 Fee is \$150.00 After May 1, Fee is \$550.00 Amended UBR is \$6125 Make Check Payable to Department of State	10. Election Campaign Financing Trust Fund Contribution. <input type="checkbox"/> \$5.00 May Be Added to Fees
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11. OFFICERS AND DIRECTORS			
TITLE NAME STREET ADDRESS CITY - ST - ZIP	CEO & Director T. Radey Johnson 627 Third Street Brooklyn, NY 11215	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	Sr Managing Dir & Director Mark K. Tavel 110 Riverside Drive New York, NY 10024	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	CFO Paul H. Jenssen 23 Searingtown Road Searingtown, NY 11507	TITLE NAME STREET ADDRESS CITY - ST - ZIP	<b>DO NOT WRITE IN THIS SPACE</b>
TITLE NAME STREET ADDRESS CITY - ST - ZIP	SVP, Sec & Treasurer Gregory O. Williams 24 Crossridge Circle Marlboro, NJ 07746	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	Managing Director Frederick C. MacClure 410 West 53rd Street New York, NY 10019	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	PLEASE SEE ATTACHED FOR A COMPLETE LIST OF OFFICERS & DIRECTORS	TITLE NAME STREET ADDRESS CITY - ST - ZIP	

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE: Gregory O. Williams Gregory O. Williams 4/25/02 212-403-3500  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034B (12/01)

Attachment

854863

658701

BUSINESS ADDRESS

1251 Avenue of the Americas  
New York, New York 10020

April 1, 2002

ROTHSCHILD ASSET MANAGEMENT INC.

2002

Officers

RESIDENCE ADDRESS

Paul H. Janssen	Chief Financial Officer	23 Seasingtown Road Seasingtown, NY 11507
T. Radey Johnson	Chief Executive Officer	627 Third Street Brooklyn, NY 11215
Mark K. Tavel	Senior Managing Director	110 Riverside Drive New York, NY 10024
Jeffrey J. Harding	Managing Director	265 South Flora Way Golden, CO 80401
Frederick C. MacClure	Managing Director	410 West 53rd Street New York, NY 10019
Donald J. McLoughlin	Managing Director	11 Tri-Brook Drive South Salem, NY 10590
Joseph E. Bellantoni	Senior Vice President	12 Birch Brook Drive Valhalla, NY 10595
R. Daniel Oshinskie	Senior Vice President	33 East End Avenue New York, NY 10028
Steven D. Wasserman	Senior Vice President	8 Cooper Hawk Drive Manalapan, NJ 07726
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Michael P. Burke	Vice President	94 Delaware Road Easton, CT 06612
Tina Jones	Vice President	43 West 75 <sup>th</sup> Street New York, NY 10023

Attachment #

854863  
658701

**ROTHSCHILD ASSET MANAGEMENT INC.**

**2002**

**Officers (Cont'd)**

		<b><u>RESIDENCE ADDRESS</u></b>
Charles C. Moran	Vice President	201 East 21 <sup>st</sup> Street New York, NY 10010
Vincent Simeone	Vice President	6 Wyman Court Coram, NY 11727
Anthea Allen-Mikstay	Assistant Vice President	84 McGuire Avenue Peekskill, NY 10566
Augusto T. Blanco	Assistant Vice President	1428 Webster Avenue Bronx, NY 10456
Anna Majewska-Nizioł	Assistant Vice President	22 Evergreen Drive Clifton, NJ 07014
Jelena M. Milinkovic	Assistant Vice President	300 East 71 <sup>st</sup> Street New York, NY 10021
Euclyn N. Ogill	Assistant Vice President	384 Orchard Street Englewood, NJ 07631
Judith R. MacDonald	Assistant Secretary	245 West 104th Street New York, NY 10025

**Directors**

	<b><u>RESIDENCE ADDRESS</u></b>
T. Radey Johnson	627 Third Street Brooklyn, NY 11215
Paul V.S. Manduca	c/o Rothschild Asset Management Ltd. 1 King William Street London EC4N 7AR, UK
Gerald Rosenfeld	110 Riverside Drive New York, NY 10024
Mark K. Tavel	110 Riverside Drive