

2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # 854863

1. Entity Name
ROTHSCHILD ASSET MANAGEMENT INC.

FILED
May 26, 2000 8:00 am
Secretary of State

05-26-2000 90031 001 ***150.00
05-26-2000 90031 002 ***400.00

Principal Place of Business 1251 AVENUE OF THE AMERICAS 51ST FLOOR NEW YORK NY 10020 US	Mailing Address 1251 AVENUE OF THE AMERICAS 51ST FLOOR NEW YORK NY 10020-1104 US
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2. Principal Place of Business	3. Mailing Address
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Suite, Apt. #, etc.	Suite, Apt. #, etc.
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City & State	City & State
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Zip	Country	Zip	Country
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4. FEI Number **13-2544634** Applied For Not Applicable

5. Certificate of Status Desired **\$8.75** Additional Fee Required



DO NOT WRITE IN THIS SPACE

6. Name and Address of Current Registered Agent
UNITED STATES CORPORATION COMPANY
1201 HAYS STREET
SUITE 105
TALLAHASSEE FL 32301

7. Name and Address of New Registered Agent
Name
Street Address (P.O. Box Number is Not Acceptable)
City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____
Signature, typed or printed name of registered agent and title if applicable.

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back)

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2000 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. **\$5.00** May Be Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	SVST	<input type="checkbox"/> Delete
NAME	WILLIAMS, GREGORY O.	
STREET ADDRESS	24 CROSSRIDGE CIRCLE	
CITY-ST-ZIP	MARLBORO FL	

TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

TITLE	M	<input checked="" type="checkbox"/> Delete
NAME	SCHWARTZ, JOSEPH B.	
STREET ADDRESS	185 WEST END AVENUE	
CITY-ST-ZIP	NEW YORK NY	

TITLE	PLEASE SEE ATTACHED FOR COMPLETE LIST OF OFFICERS & DIRECTORS	
NAME		<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
STREET ADDRESS		
CITY-ST-ZIP		

TITLE	SRMD	<input type="checkbox"/> Delete
NAME	TAVEL, MARK K.	
STREET ADDRESS	110 RIVERSIDE DR	
CITY-ST-ZIP	NEW YORK NY	

TITLE	SRMD & CIO	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

TITLE	CEOD	<input type="checkbox"/> Delete
NAME	JOHNSON, T. R	
STREET ADDRESS	627 THIRD STREET	
CITY-ST-ZIP	BROOKLYN NY	

TITLE	CHIEF EXECUTIVE OFF	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

TITLE	SVP	<input type="checkbox"/> Delete
NAME	MCCLOUGHLIN, DONALD J	
STREET ADDRESS	11 TRI-BROOK DRIVE	
CITY-ST-ZIP	SOUTH SALEM NY	

TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

TITLE	VP	<input type="checkbox"/> Delete
NAME	HARDING, JEFFREY J	
STREET ADDRESS	265 SOUTH FLORA WAY	
CITY-ST-ZIP	GOLDEN CO	

TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver, trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Gregory O. Williams
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

05/22/2000 212 403-3500
Date Daytime Phone #

GREGORY O WILLIAMS

CR2E034 (9/99)

#854863

Attachment

17218

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, New York 10020

May 22, 2000

ROTHSCHILD ASSET MANAGEMENT INC.

2000

Officers

RESIDENCE ADDRESS

Paul H. Jenssen	Chief Financial Officer	23 Seasingtown Road Seasingtown, NY 11507
T. Radey Johnson	Chief Executive Officer	627 Third Street Brooklyn, NY 11215
Mark K. Tavel	Senior Managing Director & Chief Investment Officer ¹⁾	110 Riverside Drive New York, NY 10024
Frederick C. MacClure	Managing Director ¹⁾	410 West 53rd Street New York, NY 10019
Donald J. McLoughlin	Managing Director ¹⁾	11 Tri-Brook Drive South Salem, NY 10590
Kenneth W. Ostrowski	Managing Director	12330 Sperry Road Chesterland, OH 44026
Joseph E. Bellantoni	Senior Vice President	12 Birch Brook Drive Valhalla, NY 10595
William A. Best	Senior Vice President	84 North Pocono Road Mount Lakes, NJ 07046
Sherri A. Croasdale	Senior Vice President	38 Fairway Place Cold Spring Harbor, NY 11724
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Jeffrey J. Harding	Vice President	265 South Flora Way Golden, CO 80401
Tina Jones	Vice President	60 West 66th Street New York, NY 10023

ROTHSCHILD ASSET MANAGEMENT INC.**2000****Officers (Cont'd)**

		<u>RESIDENCE ADDRESS</u>
Gregory P. Lamb	Vice President	18615 Shaker Boulevard Shaker Heights, OH 44122
Vincent Simeone	Vice President ¹⁾	6-Wyman Court Coram, NY 11727
Augusto T. Blanco	Assistant Vice President	1428 Webster Avenue Bronx, NY 10456
Euclyn N. Ogill	Assistant Vice President	384 Orchard Street Englewood, NJ 07631
Judith R. MacDonald	Assistant Secretary	245 West 104th Street New York, NY 10025

Directors

	<u>RESIDENCE ADDRESS</u>
T. Radey Johnson	627 Third Street Brooklyn, NY 11215
Kenneth W. Ostrowski	12330 Sperry Road Chesterland, OH 44026
Mark K. Tavel	110 Riverside Drive New York, NY 10024

1) Five Arrows Division