

**FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00**

**APPROVED  
AND  
FILED**

CORPORATION  
ANNUAL REPORT  
1995



FLORIDA DEPARTMENT OF STATE  
Sandra B. Morham  
Secretary of State  
DIVISION OF CORPORATIONS

95 MAR 13 PM 2:37

DOCUMENT # **854863** (8)

1. Corporation Name  
**ROTHSCHILD ASSET MANAGEMENT INC.**

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

Principal Place of Business Mailing Address  
**ONE ROCKEFELLER PLAZA  
NEW YORK NY 10020**

DO NOT WRITE IN THIS SPACE.

3. Date Incorporated or Qualified **12/06/1982** 3a. Date of Last Report **02/07/1994**

2. Principal Place of Business **1251 Ave.** 2a. Mailing Address **1251 Avenue**

21 of the Americas 26 of the Americas

22 51st Floor 27 51st Floor

23 New York, NY 10020 28 New York, NY 10020

24 Zip Country **USA** 29 Zip Country **USA**

4. FEI Number **13-2544634** Applied For Not Applicable

5. Certificate of Status Desired  \$8.75 Additional Fee Required

6. Election Campaign Financing Trust Fund Contribution  \$5.00 May Be Added to Fees

8. This corporation has liability for intangible tax under S. 199.032, Florida Statutes  Yes  No

9. Name and Address of Current Registered Agent

**UNITED STATES CORPORATION COMPANY  
1201 HAYS STREET  
SUITE 105  
TALLAHASSEE FL 32301**

10. Name and Address of New Registered Agent

01 Name  
02 Street Address (P.O. Box Number is Not Acceptable)  
03  
04 City **FL** 05 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and the if applicable.

(NOTE: Registered Agent signature required when registering)

DATE

12. OFFICERS AND DIRECTORS

TITLE	VST
NAME	WILLIAMS, GREGORY O.
STREET ADDRESS	24 CROSSRIDGE CIRCLE
CITY- ST- ZIP	MARLBORO, NJ.
TITLE	DM
NAME	SCHWARTZ, JOSEPH B.
STREET ADDRESS	185 WEST END AVENUE
CITY- ST- ZIP	NEW YORK NY
TITLE	PD
NAME	TAVEL, MARK K.
STREET ADDRESS	110 RIVERSIDE DR
CITY- ST- ZIP	NEW YORK NY
TITLE	SVP
NAME	ANDERSON, RICHARD T.
STREET ADDRESS	155 E 31ST ST
CITY- ST- ZIP	NEW YORK NY
TITLE	
NAME	
STREET ADDRESS	
CITY- ST- ZIP	
TITLE	
NAME	
STREET ADDRESS	
CITY- ST- ZIP	

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
1.2 NAME	<b>PLEASE SEE ATTACHED LIST.</b>
1.3 STREET ADDRESS	
1.4 CITY- ST- ZIP	
2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
2.2 NAME	
2.3 STREET ADDRESS	
2.4 CITY- ST- ZIP	
3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
3.2 NAME	
3.3 STREET ADDRESS	
3.4 CITY- ST- ZIP	
4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
4.2 NAME	
4.3 STREET ADDRESS	
4.4 CITY- ST- ZIP	
5.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
5.2 NAME	
5.3 STREET ADDRESS	
5.4 CITY- ST- ZIP	
6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
6.2 NAME	
6.3 STREET ADDRESS	
6.4 CITY- ST- ZIP	

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 110.07(3)(4), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 007, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or in the attachment with an address.

SIGNATURE: *Gregory O. Williams* Gregory O. Williams 3/6/95 212-403-3500

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Telephone #

**BUSINESS ADDRESS**

1251 Avenue of the Americas  
New York, New York 10020

**ROTHSCHILD ASSET MANAGEMENT INC.**

**1995**

**Officers**

**RESIDENCE ADDRESS**

✓ Mark K. Tavel	President, Chief Investment Officer	110 Riverside Drive New York, NY 10024
T. Radey Johnson	Managing Director	627 Third Street Brooklyn, NY 11215
George M. Richvalsky	Managing Director	49 Hilltop Road Short Hills, NJ 07078
✓ Joseph B. Schwartz	Managing Director	185 West End Avenue New York, NY 10023
Donald J. McLoughlin	Senior Vice President	11 Tri-Brook Drive South Salem, NY 10590
✓ Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Jeffrey J. Harding	Vice President	265 South Flora Way Golden, CO 80401
Frederick C. MacClure	Vice President	410 West 53rd Street New York, NY 10019
Vincent Simeone	Vice President	6 Wyman Court Coram, NY 11727

**Directors**

✓ Mark K. Tavel	110 Riverside Drive New York, NY 10024
✓ Joseph B. Schwartz	185 West End Avenue New York, NY 10023

January 16, 1995