

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Feb 11, 2002 8:00 am
Secretary of State

02-11-2002 90177 014 ***150.00

DOCUMENT # 854077

1. Entity Name

CLARICA LIFE INSURANCE COMPANY-U.S.

Principal Place of Business

**13890 BISHOP'S DRIVE
 SUITE 300
 BROOKFIELD WI 53005
 US**

Mailing Address

**ATTN: GUY MONTAG
 PO BOX 503
 BROOKFIELD WI 53008-0503
 US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

45-0208990

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**INSURANCE COMMISSIONER
 CAPITOL BLDG.
 TALLAHASSEE FL 32301**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **PD** ☐ Delete
 NAME **GROVER, CHARLES W**
 STREET ADDRESS **700 SEVENTH ST S**
 CITY-ST-ZIP **FARGO ND 58103**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **V** ☒ Delete
 NAME **STEPPE, MICHAEL J**
 STREET ADDRESS **13890 BISHOP'S DRIVE, SUITE 300**
 CITY-ST-ZIP **BROOKFIELD WI 53005**

TITLE **V** ☐ Change ☒ Addition
 NAME **Thomas A. Westrup**
 STREET ADDRESS **13890 Bishops Drive, Suite 300**
 CITY-ST-ZIP **Brookfield, WI 53005**

TITLE **V** ☐ Delete
 NAME **SMITH, JAMES R**
 STREET ADDRESS **13890 BISHOP'S DRIVE, SUITE 300**
 CITY-ST-ZIP **BROOKFIELD WI 53005**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **DC** ☐ Delete
 NAME **ASTLEY, ROBERT M.**
 STREET ADDRESS **227 KING STREET, SOUTH**
 CITY-ST-ZIP **WATERLOO ON**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **D** ☐ Delete
 NAME **STRAMAGLIA, MICHAEL P**
 STREET ADDRESS **227 KING STREET SOUTH**
 CITY-ST-ZIP **WATERLOO ON**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **VS** ☐ Change ☒ Addition
 NAME **Guy R. Montag**
 STREET ADDRESS **13890 Bishops Drive, Suite 300**
 CITY-ST-ZIP **Brookfield, WI 53005**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Guy R. Montag
 Guy R. Montag, VP, Strategic Opportunities and Secretary

1/11/02

262-797-3909

Daytime Phone #

CR2E034 (9/01)

Clarica Life Insurance Company-U.S.
Additions to Officers and Directors List

*Attachments
1000#8540717
731287*

Name	Title	Address
Ash, Timothy E.	Senior Vice President	8515 Bluffton Road, Fort Wayne, IN 46809
Bergstrom, David A.	Vice President, Chief Actuary	700 Seventh Street South, Fargo, ND 58103
Crozier, Bruce J.	Senior Vice President	700 Seventh Street South, Fargo, ND 58103
Haggerty, Maureen K.	Vice President, Customized Solutions Group	3001 Broadway Street NE, Suite 655, Minneapolis, MN 55413
Heil, Kevin M.	Vice President, Finance and Treasurer	13890 Bishop's Drive, Suite 300, Brookfield, WI 53005
Jensen, Melody R.J.	Vice President, General Counsel	700 Seventh Street South, Fargo, ND 58103
Paul, Joseph E.	Vice President, Product Actuary	700 Seventh Street South, Fargo, ND 58103
Peterson, Daniel E.	Vice President, Sales and Marketing	700 Seventh Street South, Fargo, ND 58103
Trill, Robert E.	Vice President, Human Resources	700 Seventh Street South, Fargo, ND 58103
Van Dyk, Peter W.	Vice President, Information Technology & Chief Information Officer	700 Seventh Street South, Fargo, ND 58103
Saint-Onge, Hubert	Director	227 King Street South, Waterloo, ON, Canada N2J 4C5
Williamson, J. David	Director	227 King Street South, Waterloo, ON, Canada N2J 4C5