

2001 UNIFORM BUSINESS REPORT (UBR)**DOCUMENT # 854077**

1. Entity Name

CLARICA LIFE INSURANCE COMPANY-U.S.**FILED****Feb 19, 2001 8:00 am**
Secretary of State

02-19-2001 90006 011 ***150.00

UBR/201

Principal Place of Business

**13890 BISHOP'S DRIVE
SUITE 300
BROOKFIELD WI 53005
US**

Mailing Address

**ATTN: GUY MONTAG
PO BOX 503
BROOKFIELD WI 53008-0503
US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **45-0208990**

Applied For

Not Applicable

5. Certificate of Status Desired ☐**\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

**INSURANCE COMMISSIONER
CAPITOL BLDG.
TALLAHASSEE FL 32301**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐**FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State**10. Election Campaign Financing
Trust Fund Contribution. ☐**\$5.00 May Be
Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

| TITLE | NAME | STREET ADDRESS | CITY-ST-ZIP | <input checked="" type="checkbox"/> Delete |
|-------|-----------------------|---------------------------------|---------------------|--|
| PD | EVASON, KENNETH L. | 13890 BISHOP'S DRIVE, SUITE 300 | BROOKFIELD WI 53005 | |
| VD | STEPPE, MICHAEL J | 13890 BISHOP'S DRIVE, SUITE 300 | BROOKFIELD WI 53005 | <input type="checkbox"/> Delete |
| VD | SMITH, JAMES R. | 13890 BISHOP'S DRIVE, SUITE 300 | BROOKFIELD WI 53005 | <input type="checkbox"/> Delete |
| VD | KAUFMAN, STANLEY N. | 700 SEVENTH STREET, SOUTH | FARGO ND | <input checked="" type="checkbox"/> Delete |
| DC | ASTLEY, ROBERT M. | 227 KING STREET, SOUTH | WATERLOO ON | <input type="checkbox"/> Delete |
| D | STRAMAGLIA, MICHAEL P | 227 KING STREET SOUTH | WATERLOO ON | <input type="checkbox"/> Delete |

| TITLE | NAME | STREET ADDRESS | CITY-ST-ZIP | <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition |
|-------|-------------------|--------------------------------|----------------------|--|
| PD | Charles W. Grover | 700 Seventh Street South | Fargo, ND 58103 | |
| V | Michael J. Steppe | 13890 Bishops Drive, Suite 300 | Brookfield, WI 53005 | <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition |
| V | James R. Smith | 13890 Bishops Drive, Suite 300 | Brookfield, WI 53005 | <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition |
| | | | | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| | | | | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| | | | | <input type="checkbox"/> Change <input type="checkbox"/> Addition |

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Guy R. Montag, VP, General Counsel & Secretary

Date

(262) 797-3909

Daytime Phone #

CR2E034 (10/00)

Additions to Officers and Directors List

| <u>Name</u> | <u>Title</u> | <u>Address</u> |
|--------------------|---|--|
| David A. Bergstrom | Vice President, Chief Actuary | 700 Seventh Street South, Fargo, ND 58103 |
| Kevin M. Heil | Vice President, Finance and Treasurer | 13890 Bishops Drive, Suite 300, Brookfield, WI 53005 |
| Guy R. Montag | Vice President, General Counsel and Secretary | 13890 Bishops Drive, Suite 300, Brookfield, WI 53005 |
| Mary E. Johnson | Vice President, Corporate Services | 700 Seventh Street South, Fargo, ND 58103 |
| Joseph E. Paul | Vice President, Product Actuary | 700 Seventh Street South, Fargo, ND 58103 |
| Melody R.J. Jensen | Vice President, Marketing Services | 700 Seventh Street South, Fargo, ND 58103 |
| Mary E. Duncan | Director | 227 King Street South, Waterloo, ON Canada N2J 4C5 |

Attachment
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