

2003 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT# 851918

FILED
Jan 10, 2003
Secretary of State

Entity Name: BROWN & COMPANY SECURITIES CORPORATION

Current Principal Place of Business:

1 BEACON STREET
18TH FLOOR
BOSTON, MA 02108 US

New Principal Place of Business:

Current Mailing Address:

1 BEACON STREET
18 FLOOR
BOSTON, MA 02108 US

New Mailing Address:

FEI Number: 04-2595129

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

VALK-KERR, JOANNE
4110 GEORGE RD
TAMPA, FL 33634 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ()

OFFICERS AND DIRECTORS:

Title: T () Delete
Name: GRAUDINS, JOHN
Address: 1 BEACON STREET, 18TH FLOOR
City-St-Zip: BOSTON, MA 02108

Title: PD () Delete
Name: FISHER, ELIZABETH J
Address: ONE BEACON STREET 18TH FLOOR
City-St-Zip: BOSTON, MA 02108

Title: CS () Delete
Name: FISCHER, BARRY S
Address: 1 BEACON STREET, 18TH FLOOR
City-St-Zip: BOSTON, MA 02108

Title: D () Delete
Name: O'CONNOR, FRANCIS G
Address: ONE BEACON STREET 16TH FLOOR
City-St-Zip: BOSTON, MA 02108

Title: D () Delete
Name: HOEFLING, WILLIAM H
Address: 335 OLD ARMY RD
City-St-Zip: BASKING RIDGE, NJ 07920

Title: D () Delete
Name: MATHIESON, KELLY
Address: 401 EAST 34TH ST, APT S11B
City-St-Zip: NEW YORK, NY 10016

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: T (X) Change () Addition
Name: VERNAMONTI, KAREN
Address: 1 BEACON STREET, 18TH FLOOR
City-St-Zip: BOSTON, MA 02108

Title: PD (X) Change () Addition
Name: FISHER, ELIZABETH J
Address: 1 BEACON STREET, 18TH FLOOR
City-St-Zip: BOSTON, MA 02108

Title: CS (X) Change () Addition
Name: HOLLENBECK, THOMAS F
Address: 1 BEACON STREET, 18TH FLOOR
City-St-Zip: BOSTON, MA 02108

Title: D (X) Change () Addition
Name: O'CONNOR, FRANCIS G
Address: 1 BEACON STREET, 16TH FLOOR
City-St-Zip: BOSTON, MA 02108

Title: D (X) Change () Addition
Name: FISHER, ELIZABETH J
Address: 1 BEACON STREET, 18TH FLOOR
City-St-Zip: BOSTON, MA 02108

Title: () Change () Addition
Name:
Address:
City-St-Zip:

I hereby certify that the information supplied with this filing does not qualify for the for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: ELIZABETH J. FISHER

D

01/10/2003

Electronic Signature of Signing Officer or Director

Date

KAREN VERNAMONTI, DIRECTOR
1 BEACON STREET, 18TH FLOOR
BOSTON, MA 02018