2001 UNIFORM BUSINESS REPORT (UBR)

FILED
Jan 31, 2001 8:00 am
Secretary of State
01-31-2001 90052 007 ***150.00

DOCUMENT # 851918

1. Entity Name
BROWN & COMPANY SECURITIES CORPORATION

910094

DO NOT WRITE IN THIS SPACE

Principal Place of Business	Mailing Address
1 BEACON STREET 18TH FLOOR BOSTON MA 02108 US	1 BEACON STREET 18 FLOOR BOSTON MA 02108 US

2. Principal Place of Business		3. Mailing Address	
Suite, Apt. #, etc.		Suite, Apt. #, etc.	
City & State		City & State	
Zip	Country	Zip	Country

4. FEI Number 04-2595129 ☐ Applied For ☐ Not Applicable

5. Certificate of Status Desired ☐ **$8.75** Additional Fee Required

6. Name and Address of Current Registered Agent	7. Name and Address of New Registered Agent
Mindy Miles 111 NORTH ORANGE AVE. SUITE 975 ORLANDO FL 32801	Name: Mindy Miles Street Address (P.O. Box Number is Not Acceptable): 111 North Orange Avenue Suite 975 City: Orlando **FL** Zip Code: 32801

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE Mindy M. Miles — Mindy Miles, Manager — 1/22/01
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

FILE NOW!!! FEE IS $150.00
After MAY 1, 2001 Fee will be $550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. ☐ **$5.00** May Be Added to Fees

11. OFFICERS AND DIRECTORS			12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	T GRAUDINS, JOHN 1 BEACON STREET, 18TH FLOOR BOSTON MA	☐ Delete	P/D Elizabeth J. Fisher 1 Beacon Street, 18th Floor Boston, MA 02108	☐ Change ☒ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	TD STREISFELD, ANDREW M. ONE BEACON STREET BOSTON MA 02108	☒ Delete	D Francis G. O'Connor 1 Beacon Street, 16th Floor Boston, MA 02108	☐ Change ☒ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	CS FISCHER, BARRY S 1 BEACON STREET, 18TH FLOOR BOSTON MA 02108	☐ Delete	D Kelly Mathieson 401 East 34th St., Apt. S11B New York, NY 10016	☐ Change ☒ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	D JONES, SARAH E 5 HIGH MEADOWS RD. MT. KISCO NY 10549	☒ Delete	D Jeffrey Connors 50 Commonwealth Ave., Apt. 701 Boston, MA 02116	☐ Change ☒ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	D HOEFLING, WILLIAM H 335 OLD ARMY RD BASKING RIDGE NJ 07920	☐ Delete		☐ Change ☐ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	D ROTELLA, GREGORY 57 HILLSIDE AVE SHORT HILLS NJ 07078	☒ Delete		☐ Change ☐ Addition

CR2E034 (10/00)

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Barry S. Fischer, Clerk — 1/19/01 — (617)624-6385
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR — Date — Daytime Phone #