

# 2002 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Apr 15, 2002 8:00 am**  
**Secretary of State**

04-15-2002 90065 044 \*\*\*150.00

**DOCUMENT # 851744**

1. Entity Name

**UTICA NATIONAL LIFE INSURANCE COMPANY**

Principal Place of Business

**180 GENESEE STREET  
NEW HARTFORD NY 13413**

Mailing Address

**180 GENESEE STREET  
NEW HARTFORD NY 13413**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

**16-1112757**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**FLORIDA STATE INSURANCE COMMISSIONER  
THE CAPITOL BUILDING  
TALLAHASSEE FL 32301**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible  
Tax filing requirement and elects to do so.  
(See criteria on back) ☒

**FILE NOW!!! FEE IS \$150.00**  
**After May 1, 2002 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing  
Trust Fund Contribution. ☐

**\$5.00** May Be  
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Delete  
NAME **CD DOUGLAS, ROBINSON J**  
STREET ADDRESS **180 GENESEE DT**  
CITY-ST-ZIP **NEW HARTFORD NY 13413**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **D CARDIA, ROY A.**  
STREET ADDRESS **200 HUDSON STREET**  
CITY-ST-ZIP **NEW YORK NY**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **D HARTMAN, JERRY J**  
STREET ADDRESS **2301 KIRK AVE**  
CITY-ST-ZIP **BALTIMORE MD 21218**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **PD CUNNINGHAM, DAVID**  
STREET ADDRESS **180 GENESEE ST**  
CITY-ST-ZIP **NEW HARTFORD NY 13413**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **S WARDLEY, GEORGE P.**  
STREET ADDRESS **180 GENESEE ST.**  
CITY-ST-ZIP **NEW HARTFORD NY**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE ☐ Delete  
NAME **D CALLIGARIS, ALFRED E.**  
STREET ADDRESS **363 EASTERN BOULEVARD**  
CITY-ST-ZIP **WATERTOWN NY**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*George P. Wardley* **GEORGE P. WARDLEY** 4/1/02 315-734-2468  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034 (9/01)

Attachment  
Doc. # 851744 B006007

## Utica National Life Insurance Company

### Board of Directors As of December 31, 2001

1. **C. William Bachman**  
President  
Empire Digital Communications  
1000 John Street  
Rochester, New York  
Elected 4/6/99
2. **Alfred E. Calligaris**  
Chairman of the Board & President  
Stebbins Engineering & Manufacturing Co. Inc.  
363 Eastern Boulevard  
Watertown, NY  
Elected 4/14/92
3. **Roy A. Cardia**  
President  
M & M Bindery  
200 Hudson Street  
New York, NY  
Elected 2/27/95
4. **Richard G. Clark**  
Past President & CEO  
Clarks Petroleum Inc.  
Cazenovia, NY  
Elected 2/25/91      Retired 2/26/01
5. **David C. Cunningham**  
President & COO  
Utica National Life Insurance Company  
180 Genesee Street  
New Hartford, NY  
Elected 11/13/97
6. **Gregory M. Harden**  
President & CEO  
Harden Furniture Inc.  
1 Mill Pond Way  
McConnellsville, NY  
Elected 2/26/01

Attachment  
Dir. # 851744 *Barb*

7. **Jerry J. Hartman**  
President  
Reese Presss  
2301 Kirk Avenue  
Baltimore, MD  
Elected 4/11/95
8. **W. Craig Heston**  
Chairman of the Board  
Utica Mutual Insurance Company  
180 Genesee Street  
New Hartford, NY  
Elected 3/4/87
9. **Herbert P. Ladds, Jr.**  
President  
The Ladds Group, LLC  
14 Chapin Parkway  
Buffalo, NY  
Elected 2/27/84
10. **F. X. Matt, II**  
Chairman of the Board  
811 Edward Street  
Matt Brewing Company  
Utica, NY  
Elected 1/4/78      Deceased 1/15/01
11. **Timothy R. Reed**  
President  
ECR International  
2201 Dwyer Avenue  
Utica, NY 13504-4729  
Elected 2/26/01
12. **J. Douglas Robinson**  
Chairman of the Board  
Utica National Life Insurance Company  
180 Genesee Street  
New Hartford, NY 13413 -  
Elected 11/11/93
13. **Linda E. Romano**  
Attorney at Law  
501 Main Street  
Utica, NY 13501  
Elected 4/8/97
14. **Jacob E. Worner**  
Past President  
Printing Service Company  
Dayton, OH  
Elected 4/14/93

Attachment

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15. **John R. Zapisek**  
Retired Treasurer  
Utica Mutual Insurance Company  
180 Genesee Street  
New Hartford, NY 13413

Elected 5/9/92