

**2001 UNIFORM BUSINESS REPORT (UBR)**

**FILED**  
**Apr 26, 2001 8:00 am**  
**Secretary of State**

04-26-2001 90216 021 \*\*\*150.00

**DOCUMENT # 851308**

1. Entity Name  
**PRUDENTIAL SECURITIES INCORPORATED**

Principal Place of Business <b>ONE SEAPORT PLAZA          199 WATER ST.          NEW YORK NY 10292-0131          US</b>	Mailing Address <b>K. MAGUIRE LAW          ONE SEAPORT PLAZA-199 WATER ST          NEW YORK NY 10292-0129          US</b>
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DO NOT WRITE IN THIS SPACE

2. Principal Place of Business		3. Mailing Address	
Suite, Apt. #, etc.		Suite, Apt. #, etc. 31st floor	
City & State		City & State	
Zip	Country	Zip 10292	Country

4. FEI Number <b>22-2347336</b>	Applied For <input type="checkbox"/>
	Not Applicable <input type="checkbox"/>

5. Certificate of Status Desired  **\$8.75** Additional Fee Required

6. Name and Address of Current Registered Agent  
**CT CORPORATION SYSTEM  
 1200 S. PINE ISLAND ROAD  
 PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name
Street Address (P.O. Box Number is Not Acceptable)
City
State <b>FL</b> Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_  
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back)

**FILE NOW!!! FEE IS \$150.00**  
**After MAY 1, 2001 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution.  **\$5.00** May Be Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY - ST - ZIP	<b>EVP ANDERSON, WILLIAM 26 HOLLY LANE DARIEN CT</b>	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY - ST - ZIP	<b>DEVP NORTON, LAURENCE A ONE NEW YORK PLAZA. NEW YORK N.</b>	<input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY - ST - ZIP	<b>AS MAGUIRE, KATHLEEN B 1856 EAST 31ST ST BROOKLYN NY</b>	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY - ST - ZIP	<b>PDC HARDWICK, SIMMONS ONE SEAPORT PLAZA NEW YORK N.</b>	<input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY - ST - ZIP	<b>DEVP PATON, LELAND B. ONE NEW YORK PLAZA NEW YORK NY</b>	<input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY - ST - ZIP		<input type="checkbox"/> Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY - ST - ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY - ST - ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY - ST - ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY - ST - ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY - ST - ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY - ST - ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Kathleen B. Maguire* **APR 19 2001** 212 214 6361  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Assistant Secretary Date Daytime Phone #

CFR2E034 (10/00)

attachment

958129

Prudential Securities Incorporated  
April, 2001

# 851308

DIRECTORS:

William H. Anderson  
Executive Vice President  
Chief Administrative Officer

199 Water Street  
One Seaport Plaza  
New York, N.Y. 10292-0134

John M. Liftin

751 Broad St.  
Newark, N.J. 07102

Stephen L. Massey  
Executive Vice President

9 Devonshire Square  
London, England

David R. Odenath

100 Mulberry St.  
Newark, N. J. 07102

James D. Price  
President

199 Water Street  
One Seaport Plaza  
New York, N.Y. 10292-0134

John R. Strangfeld, Jr.  
Chief Executive Officer

199 Water Street  
One Seaport Plaza  
New York, N.Y. 10292-0134

Judith Y. Vance  
Executive Vice President  
Secretary

One Seaport Plaza  
199 Water Street  
New York, N.Y. 10292-0133

Attachment  
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#851308

PRESIDENT

Price, James D.

CHIEF EXECUTIVE OFFICER

Strangfeld, Jr. John R.

SECRETARY

Vance, Judith Y.

CHIEF ADMINISTRATIVE OFFICER

Anderson, William H.

CHIEF FINANCIAL OFFICER

Horan, William J.

TREASURER

Troiano, Jr. Robert J.

COMPLIANCE OFFICER

Bluher, John H.

CONTROLLER

Horan, William J.

*Clachman*

*958129*

*#851308*

MANAGING DIRECTORS

ACAMPORA, RALPH J.  
ALFAROY, HENRY T.  
BERGHORST, D. THEODORE  
BIRNBAUM, ADAM S.  
CANFIN, JEAN-CLAUDE  
CAREY, ROBERT F.  
COHEN, NOAM M.  
COOK, MELISSA T.  
CUNNINGHAM, JEFFREY B.  
DALY, JR. WILLIAM F.  
DE WAHL, DAVID A.  
DORN, THOMAS C.  
DRAKE, PETER F.  
DUGAN, MICHAEL I.  
ELLIOTT, ROBERT C.  
FATHEAZAM, SHAHAB  
FICHERA, JOSEPH S.  
FINK, JEFFREY A.  
FOGHT, JAMES L.  
FREEDMAN, JEFFREY R.  
FRENEY, JAMES  
GILL, SIMON L.  
GENSBURG, LINDA B.  
GRAYSON, STANLEY E.  
HILL, MELINDA  
HOOD, LOWELL W.  
HOUSE, HOWARD  
ISHIKAWA, OSAMU  
KAVANAGH, ANNE T.  
KIRSCH, ARTHUR S.  
KRASKO, MICHAEL J.  
LEBHAR, CLAY G.  
MARGOLIS, AMY L.  
MARSH, JR. JAMES M.  
MAYER, MICHAEL L..  
MC LEAN, JAMES  
MC MILLIN, JOHN M.  
MELCHER, MARK LEWIS  
MORGNER, RICHARD W.  
MORTELL, MICHAEL J.  
PAGE, MICHAEL G.  
REDPATH, TIMOTHY R.  
RIPPE, RICHARD D.  
ROSENBLUTH, JASON M.  
SINATRA, FRANCESCO  
SMITH, EDWARD J.  
SORIN, HELENE A.  
SULLIVAN, JAMES W.

TATE JR., FREDERICK J.  
TAYLOR, LOUIS  
VOLPE, THOMAS S.  
WHELAN, JR. ROBERT M.  
WILLARD, ROBERT W.

Attachment

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#851308

KLEIN, ARLENE  
KOEN, JR. EDWARD F.  
KRAMER, G. BRUCE  
KUSCHUK, GERALD L.  
LANE, MARTIN J.  
LAUGHLIN, MICHELLE C.  
LAWRENCE, WILLIAM H.  
LEIT, MICHAEL  
LESSER, DAVID R.  
LEVINE, DONALD  
LI, DERICK KAM CHING  
LISCHIN, GARRY  
LITTLE, STEVEN P.  
LLYOD, GEOFFREY  
LOUBIER, GABRIEL  
LUTNO, JOSEPH  
LYNCH, RICHARD F.  
LYNNER, SUSAN A.  
MAIRS, JOHN M.  
MAJZNER, MICHAEL  
MANEATY, CONSTANCE M.  
MANFREDI, MICHELE N.  
MANZO, ANTHONY J.  
MARTIN, BRIAN J.  
MAURNO, RONALD C.  
MAYER, ALLEN  
MAZZOLA, SAL  
MC ALEENAN, JILL P.  
MC ALLISTER, DOUGLAS R.  
MC DERMOTT, RICHARD F.  
MC INTYRE, JON M.  
MC KULLA, L. ROBERT  
MC TEE, CLIFFORD R.  
MEISTER, KENNETH  
MELLUSI, BRUCE J.  
MERCOGLIANO, JAMES A.  
METZ, STEVEN J.  
MILAZZO, LOUIS C.  
MOHEBBI, CYRUS  
MORGAN III, GEORGE D.  
MORGAN, FRANKLIN R.  
MORGAN, PAMELA  
MORRISSEY, PEGGY C.  
MURPHY, ALAN  
MUZIO III, ANTHONY  
NARDINI, ROLAND L.  
O'CONNELL, JANE F.  
O'NEILL, ROBERT  
OGDEN, ALFRED T.  
PAI, MANAVENDRA, G.

PANTOJA, ROLANDO E.  
PARCHMENT, GERALD K.  
PETRICOLA, PETER  
PETTIFOR, IAN  
PHIPPS, ANDREW J.  
PIERCE, DAVID M.  
PINKERTON, MICHAEL D.  
PISKOROWSKI, CHESTER A.  
POTTER, RAYMOND D.  
PRICE, JAN R.  
RAJAN, ASHOK  
RAMAMURTHY, SHRIKANT  
RANDALL, DOUGLAS P.  
RATTNER, DAVID A..  
RICCA, CARLOS  
RICE-DEVLIN, HELEN P.  
RICHARD, CHARLES T.  
ROBBINS, CAROL E.  
ROCCO, YVONNE F.  
ROGAN, ROBERT A.  
ROSASCO, VICTOR P.  
ROTHFUSS, CHARLES W.  
ROY, PATRICIA  
RYAN, JAMES T.  
RYAN, LAWRENCE  
SACK, SUZANNE C.  
SANTUCCI, PAUL C.  
SATLER, DAVID J.  
SAVAGE, DANIEL D.  
SCHARF, BARBARA O.  
SCALA, ROBERT W.  
SCHWARTZ, ABRAHAM J.  
SCHWARTZ, ROBERT  
SHINE, STEPHEN J.  
SMALL, DAVID J.  
SMITH III, NORBORNE G.  
SMITH, PHILIP T.  
SORKIN, NOAH D.  
STONE-MISHAN, DEBBIE  
STOVALL, ROBERT  
SUAREZ, EUGENIO S.  
SWANKIE, KENNETH R.  
SWANTEK, EDWARD  
TANJI, KENNETH Y.  
TERNER, SIMON P.  
TROIANO, JR. ROBERT J.  
TUDANGER, HARVEY S.  
TULLOCH, ANDREW C.  
VALENTI, JOHN A.  
VERGNION, GEORGE S.

Attachment

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#851308

ASSOCIATE DIRECTORS

ALEXANDER, MAGGIE  
ALFARO, HENRY T.  
CHIANG, YU-FAN  
CORMAN, DONALD S.  
DEUTSCH, BARRY M.  
DIER, MARDI C.  
DORAN-KEOGH, MAUREEN  
FURTH-WEISMAN, VIRGINIA E.  
GLEN, ROBERT M.  
HAAS, DAVID C.  
HIGLEY, DAVID O.  
HOFFMANN III, BERNARD  
HOLZER, JAMES E.  
KING, DEBORAH J.  
KLEIN, EVAN S.  
LAUDE, JANUAR X.  
LEE, DENNIS G.  
LERNER, KENNETH C.  
LIFF, MARK R.  
LYNCH II, THOMAS F.  
MARINELLI, J. PETER  
MC CONVILLE, FRANK M.  
MORGNER, RICHARD W.  
RICCARDI, CHRISTOPHER  
ROTH, ALAN M.  
SCOTT, RICHARD B.  
SPINNER, ROBIN I.  
STEVENS, JEFFREY A.  
 TSAI, LIRENN  
TURINO, JAMES G.  
WHALEN, CHRISTOPHER  
YUDER, ANDREW

Attachment

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# 851308

DUNCAN, FREDERICK S.  
DURDIL, MICHAEL N.  
DWYER, FRANK R.  
DYCHES, KEVIN J.  
ECKSTEIN, LORI A.  
EDELMAN, IRINA  
EISENBERG, SAUL  
EISKOWITZ, JEFFREY W.  
ENNIS, RAYMOND S.  
ESPOSITO, CAROLYN F.  
FENNELL, THOMAS B.  
FERNANDEZ-REUS, SANDRA  
FERNON, MICHAEL F.  
FERONE, FRANK A.  
FINN, JOSEPH A.  
FITZPATRICK, PATRICIA A.  
FLEWELLING, DEBORAH E.  
FOLEY, DEBORAH K.  
FORTT, TAVIA M.  
FOSTER, PAUL M.  
FREEDMAN, MARILYN K.  
GAFFNEY, PATRICK  
GAGNON, GEORGE L.  
GALLAGHER, JAMES J.  
GALOD, VERONIKA  
GAYLE, PETER C.  
GERO, ANTHONY G.  
GERVER, KENNETH W.  
GLEASON, DONALD V.  
GODEN, LAURENCE S.  
GOLDEN, JEANNE M.  
GOLDBERG, NEIL  
GOLDSCHMIEDT, ELI S.  
GOOD III, GILBERT K.  
GORDON, JOHN G.  
GOSS, RICHARD J.  
GRAY, ROBERT F.  
GREVE, PETER S.  
GROTZ, ROBERT H.  
GUERIN, PATRICK G.  
GUIDERA, KEVIN J.  
GULECKI, DEBORAH L.  
HAAS, DOUGLAS E.  
HANLEY, EDWARD W.  
HARATUNIAN, SONA-LISE  
HARRE, RICHARD  
HARTLEY, JOHN  
HAYDEN, PATRICK F.  
HERMAN, HOWARD M.  
HIELSCHER, JAMES P.

HILES, JAMES D.  
HINDS, HEWELY A.  
HOBSON, JANICE  
HOFFMANN, RICHARD R.  
HOGAN, PETER J.  
HOPKINS, KEVIN M.  
HUNGER, MARTIN  
HURST, RICHARD J.  
HUTCHISON, HEIDI  
INGARGIOLA, EUGENE P.  
IPPOLITO, ROBERT  
JASKIEL, ETHER GITTY  
JIN-KING, GARY S.  
JORGENSEN, JOANNE M.  
KAMPFE, JAMIE W.  
KANE, FRANCIS J.  
KANTER, JEFFREY G.  
KARNIK, ERIK A.  
KATONI, H. RONALD  
KATOVITZ, THOMAS F.  
KEARNS, J. PATRICK  
KEENAN, RAYMOND F.  
KEHOE, JOSEPH E.  
KELLS, PETER M.  
KELLY, JAMES F.  
KELLY, MARGARET L.  
KENNEDY, IAN M.  
KENNEDY, THOMAS F.  
KENNEY, PHILIP F.  
KERR, SHEILA L.  
KESNER, JOEL R.  
KLANOFSKY, RICHARD  
KINDER, STEVEN L.  
KING, PATRICK G.  
KINGSLEY, GEORGIA R.  
KISTNER, DAVID L.  
KITCHEN, KEVIN T.  
KITT, ERIC A.  
KLEIN, EDWARD J.  
KLEIN, THOMAS E.  
KOPCHINSKY, ANDREW R.  
KOVITCH, RICHARD P.  
KOWALSKI, GERALD J.  
KRAMER, JILL L.  
KROOT, BARRY A.  
LA CALAMITA, PETER A.  
LA CHAUSSEE, CHARLES  
LARSEN, CHRISTOPHER M.  
LAUGHTON JR., JAMES R.  
LEDBETTER, DENNIS C.

Attachment  
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#851308

PRICE, MYRNA S.  
PROCOPIO, NIKKI E.  
RAIMO, DOMINIC  
RAMOS, VICTOR  
REICH, GARY J.  
RICCIARDI, CHRISTOPHER  
RICCIARDI, STEVEN  
RICH, ABBY M.  
RITTENBERG, MICHEL H.  
RITTER, JAMES J  
RIZZO, JOSEPH S.  
ROBB, MAUREEN  
ROBERTSON, JAMES M.  
ROBUSTELLI, FRED A.  
ROGERS, MARK S.  
ROGERS, ROBERT G.  
ROGERS, ROBERT T.  
ROLLENHAGEN, KRISTOPH J.  
ROSS, JUDITH A.  
ROTONDO, KENNETH  
ROURKE, EVAN C.  
RUBINO, JOSEPH F.  
RYAN, LESLIE M.  
RYAN, THOMAS G.  
SACHS, STUART  
SAFFER, WILLIAM  
SAGGESE, JOSEPH P.  
SAMUELS, JEANNE M.  
SAMUELS, STEVEN M.  
SANNER, NORMAN  
SARACENO, FRANK  
SARACENO, SIMONE  
SAVITSKY, RIVKI  
SCALZI, DANIEL T.  
SCANLON, ELLEN A.  
SCARPACI, GUY S.  
SCHIPANO, JOHN P.  
SCHNAPP, STUART M.  
SCHNEIDER, ROSEMARY  
SCHONZEIT, DAVID  
SCHROEDER, ROBERT M.  
SCHUBER, RICHARD  
SCHUSTE, SAMUEL E.  
SCHWARTZ, ROBERT H.  
SCHWARTZ, ROBERT S.  
SCOTT, WILLIAM A.  
SCRIVANICH, ALFRED  
SETYON, ANDRE C.  
SHANAHAN, BRENDAN J.  
SHANBERG, MICHAEL N.

SHEPETIN, LLOYD E.  
SHERLOCK, LAWRENCE  
SHORE JR, KENNETH R.  
SIEGEL, BIRGITTA K.  
SIENA-REID, LORRAINE  
SILVER, STEVEN M.  
SILVERSTEIN, MICHAEL J.  
SKIBICKI, JOANNE H.  
SKLAR, STUART  
SMARTZ, THEODORE W.  
SMERALDI-LOPEZ, LINDA  
SMITH, DAVID F.  
SMITH, FELICIA  
SMITH, LOUIS W.  
SMITH, MICHAEL E.  
SMITH, PAUL B.  
SONTZ, HOWARD  
SPARACINO, LISA  
SPIER, DAVID M.  
STABILE, SALVATORE J.  
STANTON, SUSAN B.  
STARKEY, WILLIAM E.  
STEBNER, JAMES C.  
STEHL, LAWRENCE F.  
STEINMETZ, BENJAMIN W.  
STETTLER, PETER W.  
STONE, BRIAN J.  
SUKARHEV, ROBERT  
SULLIVAN, GREGORY S.  
SZE, CAROL  
TABACCO, JOHN A.  
TABBIT, MARK A.  
TALLO, ELLEN J.  
TARABAN, OREST N.  
TEHRANI, PATTY P.  
TEICHMAN, SIDNEY  
TELLADO, VALENTIN J.  
THAYER, JAMES L.  
THIVES, THOMAS J.  
THOMAS, ELEANOR L.  
THOMAS, V. EGAN  
THOMPSON, GEORGE  
TILLOTSON, DANIEL C.  
TISCHLER, JAY M.  
TOLBERT, STEPHEN A.  
TOLCHINSKY, ZEV  
TONG, CHRISTINE  
TREZZA, LEWIS J.  
TUCKER, HADRIAN A  
TUMMINIA, MARGARET



Attachment

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#851308

VICE PRESIDENTS

ABATECOLA, ROBERT FRANK  
ABERS, CHARLES E.  
ABDU, EMAN H.  
ABRAMO, PATRICIA A.  
ACKERMAN, BARRY D.  
AKERS, MARK S.  
ALBANO, ANDREW A.  
ALBERTSON, TREANA M.  
ALEXY, KIMBERLY E.  
ALVAREZ, JOSE M.  
ALWS, ROGERA  
AMES, MILTON L.  
AMOROSO, STEVEN A.  
ANDERSON, ROBERT  
ANDERSON, TIMOTHY M.  
ANGELO, FREDRIC J.  
ARICO, ANTHONY L.  
ARLINGTON, DONALD  
ARREDONDO, HERMER M.  
ASHKENAS, NORMAN L.  
ARTIS, SHARON R.  
ASCENCIO, ROBERTO  
ATRAN, SUSAN J.  
AZEVEDO, CATHERINE  
BAILEY, WAYNE  
BAKUM, WALTER  
BAKOURIS, GEORGE  
BALLESTAS, ROSA  
BALSEIRO, RODOLFO E.  
BAMBACH, BRIANJ.  
BANKS, JOHN M.  
BARBETTA, ANGELA  
BARILANI, ROGER A.  
BARKER, THOMAS E.  
BARLEY, THOMAS D.  
BARNETT, JANA F.  
BARONE, JOSEPH A.  
BASARA, JOANNA B.  
BATES, A. PATRICIA  
BAUER-ROWE, FRANK E.  
BAUMAN, MICHAEL H.  
BAYLES, FREDRIC  
BEARDSLEY, CRAIG

BEATTY, KIMBERLY A.  
BEAULIEU, LEO E.  
BEAUVIL, ANNE S.  
BECCACIO, JACQUELINE  
BECKER, BRENDT E.  
BELFINI, ROSE MARIE  
BENCHIK, MICHAEL A.  
BENEDETTI, RALPH A.  
BENEDETTO, LORETTA M.  
BENN, BEVERLY A.  
BENZAQUEN, CLAUDE  
BEOSOKAS, PATRICIA J.  
BERG, GERALD S.  
BERGSTEDT, TERRILL W.  
BERNSEN, CHRISTIAN E.  
BEST, WINSTON T.  
BETHAY, JR. JOHN D.  
BIEDERMAN, DENISE K.  
BLACKWELL, MARK N.  
BLAKEMAN, WILLIAM E.  
BLANCATO, PHILIP S.  
BLANCHETTE, CHARLES A.  
BLECHER, HOWARD  
BODNAR, WILLIAM E.  
BOEHM, OTTO O.  
BOENZI, JOHN J.  
BOESEN, THOMAS J.  
BOLGER, WALTER J.  
BONFIELD, DEBORAH  
BONWIT, NANCY S.  
BRATCHER JR. ROBERT E.  
BRAUDE, WILLIAM L.  
BREEN, WILLIAM P.  
BREINER, DAVID R.  
BRENNAN, JOSEPH T.  
BRENNAN, RAYMOND T.  
BRESSLER, BONNIE J.  
BREWSTER, JR. WILLIAM J.  
BRICKMAN, ERIC R.  
BRITTON, ROBERT S.  
BROOM, NEVILLE W.  
BROWN, JOHN L.  
BROWN, MARK D.  
BROWN JR., PAUL J.  
BRUGUERAS, RALPH  
BRUMBAUGH, MAUREEN S.  
BRUNO, NICHOLAS J.  
BRUYNESTEYN, MICHAEL R.  
BRYANT, WILLIAM C.  
BUBIER, STEPHEN W.

Coahmend

958129

#851308

DEVLIN, BRIAN K.  
DEW, JOHN B.  
DI PIERRO, VINCENT N.  
DIETERLE, JOSEPH A.  
DICKSON, HARMON S. JR.  
DIEGO, RICHARD W.  
DIER, MARDI C.  
DIGAN, MICHAEL FRANCIS  
DINAPOLI, JOHN J.  
DITCHEK, STEPHEN  
DODD, DAVID M.  
DOMBRO, KURT J.  
DONEGAN, RICHARD M.  
DONOVAN, RICHARD F.  
DORN JR., HARRY W.  
DOUPE, CHRISTOPHER  
DRAKE, WILLIAM J.  
DRISCOLL, JOHN P.  
DUDLEY, SUSAN A.  
DUFFY, JAMES P.  
DUFFY, MICHAEL S.  
DUMONT, MARSHALL I  
DUNCAN, CHARLES C.  
DUNLEAVY, THOMAS D.  
DUNN, JAMES F.  
DWORSKY, FRANK H.  
DYBLE, ROBERT B.  
DYSARCZYK, GAIL  
EASTBERG, LINDA L.  
EDWARDS, RICHARD P.  
EFRON, HOWARD  
EHRlich, KENNETH P.  
ELBERFELD, PETER J.  
ELSASS, JAMES R.  
ELSDEN, KAREN L.  
ENGELBERGER, KRISTIN A.  
ENOCH, ROBERT D.  
ERNST, TODD  
EVANS, WALTER A.  
FAGAN, MALFORD J.  
FAHY, JOYCE A.  
FAIRBANKS, JEFFREY A.  
FALZONE, GARRETT W.  
FARACE, PAUL A.  
FARMER, JAMES S.  
FARRELL, SEAN W.  
FARRINGTON, DAVID L.  
FASONE, KENNETH J.  
FASULO, JOSEPH C.  
FEINZEIG-JOLKOVSKY, REBECCA

FELICIANO, EDWARD  
FELICITI, CARL  
FENTON, LLOYD M.  
FERNANDEZ, LAZARO A.  
FERRARA, JOAN M.  
FERRIE, VINCENT S.  
FETTERS, JOSEPH  
FEVOLA, MICHAEL A.  
FINN, JOSEPH C.  
FINNAN, JOHN T.  
FISHER, FRANCIS C.  
FLAHERTY, KEVIN T.  
FLANAGAN, DEAN M.  
FLANAGAN III, JOHN J.  
FLAWITH, NIGEL  
FLEMING, MICHAEL A.  
FLETCHER, STEVEN R.  
FLETCHER, VIRGINIA E.  
FOGEL, MAYER  
FONTENOT, DENNIS T.  
FORLANDO, MAT THEW P.  
FORERO, DANIEL  
FORSTER, TERESA M.  
FORTE, JEFFREY P.  
FORTE, STEPHEN J.  
FOSTER, PAUL M.  
FOSTER, RAYMOND J.  
FOX, IRVING  
FOX-MURRAY, JENNIFER  
FRANK, DAVID  
FREDRICKSON, CARL A.  
FREEDMAN, JAY ROBERT  
FRENCH, WILLIAM  
FRICK, ANNE C.  
FUTTER, DAVID I.  
GAGLIANO, RALPH G.  
GALLAHUE, PEGEEN A.  
GALLETTO, SUSAN  
GALVIN III, JEROME F.  
GARDELLA, DANIEL C.  
GARDNER, JOHN L.  
GARZINO, NUNZIO  
GASTAVER, KEITH E.  
GEARHART, JOHN M.  
GERAKOPOULOS, STEPHANIE M.  
GERENA, LUIS A.  
GETZOFF, RICHARD J.  
GIANNOTTO, MICHAEL J.  
GIBBONS, EILEEN A.  
GILMAN, ERNEST R.

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JOHNSON, WILLIAM D.  
JOHNSON, WILLIAM R.  
JOHNSTON, ROSALIA  
JONES, H. DAVID  
JONES, DERRYCK L.  
JORDAN, LILLIAM D.  
JOSLYN, THOMAS W.  
JUDAH, SHERRY  
JUSKO, KAREN L.  
KAHNE, BRUCE J.  
KALINA, RICHARD  
KAMIN, DOUGLAS A.  
KANE, STEPHEN  
KAPPEL, PAUL V.  
KAPROWICZ, THOMAS J.  
KARABARDAK, HASA  
KASPER JR., WALTER J.  
KATICA, HARRY G.  
KATSIGIORGIS, JOHN  
KATZ, ARTHUR R.  
KEANE, BRYAN C.  
KEIM, THOMAS A.  
KEIS, ARIEL  
KELLERMAN, TATYANA  
KELLER-MURPHY, LAURIE  
KELLY, PATRICIA  
KELLY, PHYLLIS B.  
KELLY, THOMAS F.  
KELLY, THOMAS P.  
KENNEDY, KEVIN G.  
KHAN, ASAD R.  
KILLILEA, RICHARD D.  
KING, DEBORAH J.  
KING, JULIE M.  
KLEIN, DANIEL R.  
KLEIN, EVAN S.  
KNIGHT, ERIC  
KNOWLES, MARY K.  
KORB, MICHAEL S.  
KORNEGAY, SUSAN J.  
KOVALYOV, MAYA  
KOYDEMIR, KIMBERLY D.  
KOYFMAN, ROMAN  
KRAEMER, RONALD D.  
KRAMER, KEVIN R.  
KRANZ, CHARLOTTE A.  
KRAUSS, ALLEN J.  
KRESS JR., DORIS A.  
KUI, PETER  
KUTSMEDA, BRIAN C.

KWAIT-RETTIG, RENEE  
KWONG, TIN PO  
LAI PAT FONG, JOSEE  
LALOWSKI, JOHN A.  
LAM, SHARON K.  
LAMBERTI, JOHN E.  
LAMONT JR., JOSEPH P.  
LANDAU, STELLA  
LANDON, CHRISTOPHER S.  
LANDY, AARON L.  
LANGHOLZ, EDWARD S.  
LANOTTE, CONSTANCE  
LANOTTE, MICHAEL E.  
LAPPAS, CHRISTOPHER L.  
LARNEY, JOHN E.  
LAU, HING-CHEUNG  
LAUDE, JANUAR X.  
LAVELLE, PHILIP E.  
LAVIN, RITA J.  
LAVINE-DAVIS, ALICE  
LEAVITT, SCOTT D.  
LEAVY, KEN M.  
LEE, MICHAEL W.  
LEE, RICHARD E.  
LEMAY, CHRISTOPHER M.  
LEONARD, MICHAEL D.  
LEONE, EMILIO  
LESNIKOWSKI, MATTHEW A.  
LEVITHAN, JACK  
LEVIS, THOMAS A.  
LEYDEN, MARK  
LEW, GARY E.  
LEWIS, BRIAN A.  
LIMMER, ROBERT F.  
LNG, MAY  
LOBIANCO, JOHN A.  
LOBUE, THOMAS  
LOHR, PETER J.  
LOTWIN, LAWRENCE N.  
LUCEY, PATRICIA M.  
LUCIER JR. JAMES  
LUDWIG, MICHAEL B.  
LUKAS, RICHARD  
LUTS, PHYLLIS S.  
LYNCH, MICHAEL E.  
LYONS, PETER  
MACKENZIE, JAY B.  
MADDEN, VINCE W.  
MADIGAN, JOSEPH R.  
MAGLIA, JULIA ANNE

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PALADINO, FRANCIS P.  
PALMERI, JOHN S.  
PANEK, VICKI L.  
PANKEY, RONALD W.  
PANNONE, ROSEMARIE  
PARK, WILLIAM  
PASCARELLA, ROCCO A.  
PAVIA, CYNTHIA J.  
PECKEL, ALEXANDER  
PEHOWICH, WALTER JOHN  
PELLEGRIN, TIMOTHY P.  
PELOSO, WILLIAM M.  
PELTON JR., CLYDE W.  
PELTZ, ROBERT H.  
PELLEGRINO, JOSEPH  
PEREZ, DAVID A.  
PEREZ, RAFAEL  
PERLZWEIG, DAVID M.  
PERONE, RONALD J.  
PERSAUD, NEVILLE R.  
PETERS, WALTER J.  
PETRUCCELLO, DONNA  
PETTIBONE, JOHN S.  
PHELAN, LISA D.  
PHELPS, LINDA  
PIERRO, MICHAEL A.  
PINEDA, FRANCES F.  
PINILLA, JUAN ANDRES  
PISKOROWSKI, BRYAN T.  
PITEO, PATRICK D.  
PLATOFF, ALAN M.  
POLANSKI, JOSEPH M.  
POLITOWSKI, ALBERT J.  
POLKOWITZ, GARY C.  
POLLACK, BLAKE A.  
POLLACK, RANI  
POLLARD, SUSAN L.  
PONCIROLI, ROBERT V.  
PONTICELLO, FRANK M.  
POPPER, BRUCE A.  
PORTER, ROBERT C.  
PRAMANICK, SHEKHAR  
PRATT, JOHN W.  
PRESSMAN, BURT M.  
PREUSSE, CHARLES F.  
PRICE, RICHARD  
PRITCHARD, JAMES N.  
PROKOP, EDWARD J.  
PROVENCE, ROBERT P.  
PRYOR, STEPHEN B.

PUCCIA, BARBARA  
PULLIN, JOHN E.  
PUTTOCK, SIMON J.  
QUARTUCCIO, JAMES  
QUINTON, SUSAN M.  
RAGIN, MARC J.  
RANEY III, THOMAS J.  
RAYMOND, JEFFREY M.  
RAZZANO, MARC A.  
RAYMOND, RALPH A.  
RE, RICHARD A.  
REEL, JOSEPH S.  
REILLY, EDWARD J.  
REILLY, JOAN M.  
REINHART, MICHAEL T.  
REISMAN, IRVING.  
REMICK, BETH E.  
RENDALL, SUSAN C.  
RICHICHI, RONALD L.  
RICHMAN, AMY C.  
RIGSBY, PRESTON D.  
RINEAR JR. ROBERT T.  
RIOBO, CELESTINO  
ROBBINS, CHARLES R.  
ROBBINS, DONALD W.  
ROBERTS III, WALTER D.  
RODRIGUEZ, RAYMOND A.  
ROLAND, FRANK  
ROMM, ERIC M.  
ROSS-TREVOR, ANTHONY G.  
ROTHBARD, BRUCE J.  
ROUSSEAU, MARGARET  
ROWEN, MARK J.  
RUSINEK, LIOR  
RUSSELL, ROXANNE  
RYAN, AMY E.  
RYAN, MARGUERITE  
RYAN, RICHARD J.  
RYAN, WILLIAM J.  
RYDOWSKI, RICHARD  
RYTTER, EDWARD A.  
SACKS, HOWARD J.  
SADRON, JEAN-PHILIPPE  
SAGNELLI, ANGELA R.  
SALERNO, CHRISTOPHER V.  
SANDERS, WILLIAM J.  
SCEUSA, MARIO J.  
SCHERAGO RANDALL  
SCHERER, DOUGLAS S.  
SCHEUER, HELEN

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UNDERWOOD, JOHN M.  
UNDERWOOD, KARA R.  
VAN PELT, ROBERT E.  
VAN SCHOLTEN, JOHN P.  
VANCE, WILLIAM  
VAUGHN, JULIA M.  
VEGA, GEORGE L.  
VELLANOWETH, CARMELINDA  
VILLAUME, FREDERICK H.  
VOSSEN, HUBERT  
WAESCHE, JOSEPH E.  
WAGNER, TIMOTHY  
WALKER, SIMON  
WALSH, ROSEMARIE A.  
WANHA, GLENN C.  
WARD, MICHAEL  
WARDLAW, JANA F.  
WARREN, MELANIE J.  
WATKINS, JAMES R.  
WATSON, ANITA L.  
WEBER, FRANK M.  
WELCH, ELIZABETH A.  
WELKER, JOHN J.  
WESTENBERG, JACQUELINE K.  
WESTHROP, STEVEN J.  
WEISBERG, RICHARD I.  
WHALEN, HERBERT P.  
WHELAN, JAMES F.  
WIELAND, SEAN W.  
WILDERMAN, DIANNE L.  
WILLIAMSON, CHRISTINA G.  
WILSON, CHARLES M.  
WILSON, JOHN E.  
WINE, KELLY J.  
WINTHROP, MARC D.  
WITHERS, ROBERT E.  
WITOUS, MICHAEL J.  
WOELFE, JED P.  
WOLF, RONALD T.  
WOOD, MARK J.  
WRIGHT, KAREN S.  
WUNSCH, ANDREW W.  
XYANTHOPOULOUS, PHILIP  
YINGST, CLARK K.  
YOUNG, MEL D.  
YU, ZHONGHUA  
ZAIDMAN, LOUIS  
ZANDI, DANIEL C.  
ZENOR, PENELOPE A.  
ZHANG, SELEMA

ZINGER, LYUDMILA  
ZIRBEL, THOMAS N.