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Annual Report

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FILE NOW ANNUAL REPORT DELINQUENT AFTER JULY 1ST

CORPORATION ANNUAL REPORT 1989		 FLORIDA DEPARTMENT OF STATE Jim Smith Secretary of State DIVISION OF CORPORATIONS		APPROVED AND FILED JUN 20 AM 10 33 FLORIDA DEPT. OF STATE CORPORATIONS DIVISION TALLAHASSEE, FLORIDA	
1 Name and Address of Corporation Principal Officer ZIP + 4 851308 7 PRUDENTIAL-BACHE SECURITIES INC. 100 GOLD STREET RATTN LAN DEPT NEW YORK, NY 10292				2 Enter Change of Address: If Corporation Principal Officer, P.O. Box Number none is NOT Sufficient Street Address 21 P.O. Box No. 22 City and State 23 Zip Code 24	
3 Date of Incorporation or Qualification 12/16/1981		4 Federal Employer Identification Number (FEIN) 22-2347336		5 Date of Last Report 06/29/1988	
6 Names and Street Addresses of Each Officer and Director as of December 31, 1988					
1	2	3	4	5	6
W/P/D	ANDERSON, WILLIAM	26 HOLLY LAKE	DARIEN, CONN		
F/V	LYON, LOREN	2140 NE 203 DRIVE	NO MIAMI BCH, FL		
V	ALLOMAR, CYNTHIA	250 NW 76-1360 STREET	NEW YORK, NY		
A/S	ENGQUIRE, KATHLEEN B	1856 EAST 31ST ST	BROOKLYN, NY		
V/P	ANDERS, ROBERT R.	4824 E. 74 PLACE	VILLAGE, VA		
V	MADIGAN, J MICHAEL	50711 WOODBURY WAY	GRANGER, IN		
See attached Schedule					
7 Name and Address of Current Registered Agent C T CORPORATION SYSTEM 5751 WEST BROWARD BOULEVARD PLANTATION, FLORIDA 33324			8 Name and Address of New Registered Agent Street Address 81 Street Address 82 Street Address 83 City and State 84 Zip Code 85		
9. Pursuant to the provisions of Sections 607.034 and 607.037, Florida Statutes, the above-named corporation, incorporated under the laws of the State of Florida, submits the statement for the purpose of changing its registered office or registered agent, or both in the State of Florida. Such change was authorized by the board of directors of the corporation duly adopted by its board of directors on _____ I hereby certify the above statement of registered agent I am familiar with, and accept the obligations of Section 607.035 F.S.					
SIGNATURE _____ DATE _____ (Registered Agent According Appointment)					
10. If a foreign corporation, file this transacted business in Florida					
See signature instructions under instructions on reverse side of this form.					
11. I Certify That I Am An Officer or Director of the Corporation, the Receiver or Trustee Empowered to Execute This Report as Required by Chapter 607 F.S. I further Certify That I Understand My Signature On This Report Shall Have the Same Legal Effects As It Made Under Oath. Officer or Director signing must be filed in BACK 8					
Signature _____ Typed Name of Signing Officer or Director Kathleen B. Engquire			Date June 9, 1988 Telephone Number 212 214 1000		
12. Should you desire a certificate of status check the box CERTIFICATE OF STATUS DESIRED ☐					

PRUDENTIAL-BACHE SECURITIES INC.
A.K.A. PRUDENTIAL-BACHE CAPITAL FUNDING

CORPORATE OFFICERS
RESIDENCE AND BUSINESS ADDRESSES

AS OF APRIL 1, 1969

George L. Ball Chief Executive Officer & Chairman of the Board	620 Park Avenue, 10th Flr., NY, NY 10021 Office of the Chairman
W. James Tozer, Jr. President, Director and Chief Operating Officer	1112 Park Avenue, NY, NY 10128 Office of the Chairman
James T. Gahan Director & Executive Vice President	25 South Drive, Plandome, N.Y. 11030 Office of the Chairman
Mark A. Abrams First Vice President	Public Finance
Barry M. Abramson First Vice President	35 Pierrepont Street, Bklyn., N.Y. 11201 Research
John S. Adams Vice President	Chicago
Joseph A. Adams Vice President	Capital Funding
Lawrence G. Adelman Vice President	2600 Snowberry Lane, Pepper Pike, Ohio Pepper Pike
Alan B. Adler Vice President	Claremont
Paul M. Albert Managing Director	West Mountain Road, South Salem, N.Y. 10590 Capital Funding
Simon Alexander Vice President	24 Kirkland Drive, Greenlawn, N.Y. Melville
Barbara A. Allen Vice President	21 Lynn Drive, Andover, N.J. 07821 Research
Patricia S. Allen Vice President	Annuities - Southeast

John G. Alouiza
Vice President
284 Yetman Ave, Staten Island, NY 10307
Information Systems

James L. Albert
Vice President
1111 Pierrepont Street, Bklyn, N.Y. 11201
Research

John Altachner
Executive Vice President
Director
160 Riverside Drive, #9B, NY NY 10024

Felix E. Alverio
Vice President
4086 Buckingham Place, Lynbrook, NY 11553
Direct Investments

Anabile, Anthony W.
Vice President
Training
85 Hazel Ct., Dayton, NY 08810
Information Systems

Milton L. Ames
Vice President
Via Tagliaterry, 5-Campione, D'Italia (Como)
Logano, Switzerland

Luciano Amendola
Vice President

Richard J. Anastasio
Vice President
192 Old Hamaroneck Rd., White Plains, NY 10605
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White Plains, NY

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Vice President
Laguna Hills

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(Public Finance)

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2500 Woodmont
Beverly Hills, CA

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Vice President
7974 Jonahes Avenue, Alexandria, VA 22306
Washington, DC

William Anderson
Executive Vice President
Director
11111 N. 1st Ave, Darien, CT 06820
N.Y. 10024

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4524 W. 74th Place, Prairie Village, MO 66208
Kansas City, MO 64111
Corporate Training

Theresa M. Antz
Vice President
Capital Transactions Group - Tokyo

Akira Aoki
Vice President

Iauao A'ai
Vice President

Tokyo

John Arango
Vice President

6375 Cowman Ct., Erie, PA 16505
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Senior Vice President
& Director

10440 North Central #1600, P.O. Box 31600,
Dallas, TX 75231
Dallas Regional

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Vice President

310 Dearfoot Lane, Bricktown, NY 08723

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Vice President

2512 Lakesdrine Dr., Baldwin, NY 11510
Information Systems

James F. Arnold
Vice President

Morty. Back Sec.

James F. Arnold
Vice President

Morty. Back Sec.

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Vice President

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(Los Angeles)

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First Vice President

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Vice President

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Atlanta

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Vice President

R&D Funding - San Jose

M. Noel Baker
Vice President

Frasno

George Bakouris
Vice President

954 Jameson Way, Westmont, IL 60559
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Vice President

Tucson

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3171 NW 94 Way, Sunrise, FL 33321
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Vincent H. Ballschneider Jr.
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Information Systems

Aaron Barman
First Vice President

11 Bleaker Street, #902, NY NY 10012
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Robert J. Barrett, III
Managing Director &
Director

Howard A. Bartnick
First Vice President

James T. Barton
Vice Chairman

Ira W. Bassin
Vice President

Dr. Manfred Bauer
Vice President

Tamara A. Baum
Director/VCF

William W. Baxter
Vice President

Nicholas Bayard
Managing Director

William P. Bee, Jr.
First Vice President

Rose Marie Belfini
Vice President

Lorraine D. Bell
Vice President

Steven H. Bell
Vice President

Michael J. Bellizzi
Vice President

Joseph Ben-Dov
Vice President

Garland P. Bennett
Vice President

Howard Benninger
Vice President

11645 Pettrrojo Ct., San Diego, CA 92124
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18 Cranford Road, Plainview, NY 11803
Re Shore

E-8000 Munich 21., Eiffnerstr 70, W. Germany
Munich, 22 Germany

39 Woodbine Avenue, Larchmont, NY 10538
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4790 Bamboo, Fair Oaks, CA 95632
Gold River

210 East 73rd Street, NY NY 10021
Capital Funding

30 Locust Street, Garden City, NY 11530
First Vice President

Product Line Acct

Global Funding - Canada

Denver: Public Finance

36 Kline Street, Harrington Park, NJ 07640
Government Bond Trading

Financial

Atlanta

Box 240 RD2, Laceyville, PA 18623
Scranton, PA

FILE NOW! THIS ANNUAL REPORT WILL BE DELINQUENT AFTER JULY 1ST

CORPORATION
ANNUAL REPORT
1990



FLORIDA DEPARTMENT OF STATE
Jim Smith
Secretary of State
DIVISION OF CORPORATIONS

APPROVED
AND
FILED

1990 JUN 25 PM 2:10

Filing Fee of \$35 Required - Make Checks Payable To: Secretary of State

Name and Address of Corporation Principal Office
851308 7

ZIP + 4 PRESORT

PRUDENTIAL-BACHE SECURITIES INC.
100 GOLD STREET
ATTN LAW DEPT
NEW YORK, NY 10292-0001

FLORIDA DEPARTMENT OF STATE
CORPORATION DIVISION
TALLAHASSEE, FLORIDA

2. If Address of Branch Office is different from the principal office address, list the address below. P.O. Box number is not necessary. The name of the corporation must be included on the filing of an amendment.

Street Address 1

P.O. Box No. 22

City and State 23

Zip Code 24

* Above address is incorrect if any way enter the correct address
Item 3. Include Zip Code

3. Date of Filing and by Whom
12/16/1981
4. FEI Number
22-2347336
FEI Number Approved
FEI Number Not Approved

5. List the Street Addresses of Each Officer and Director (Do not use any correction tape or fluid to cover over incorrect information.)

6. Name of Officers and Directors	7. Street Address of Each Officer and Director (Do NOT Use Post Office Box Numbers)	8. City and State
V/P/D ANDERSON, WILLIAM	26 HOLLY LANE	DARTEN, CONN
F/V LYON, LOREN	2140 NE 203 DRIVE	NO MIAMI BCH, FL
V/P ALEXANDER, SIMON	24 KIRKLAND DR	GREENLAWN, N.Y.
A/S MAGUIRE, KATHLEEN B	1856 EAST 31ST ST	BROOKLYN, NY
V/P ANDES, ROBERT R.	4524 W. 74 PLACE	VILLAGE, KA
V MADIGAN, J MICHAEL	50711 WOODBURY WAY	GRANGER, IN

Continued on attached schedule...

REGISTERED AGENT INFORMATION

Name and Address of Current Registered Agent

C. T. CORPORATION SYSTEM
8751 WEST BROWARD BOULEVARD
PLANTATION, FLORIDA 33324

Name 8

Street Address 1 (Do NOT Use P.O. Box Number)

Street Address 2 (Do NOT Use P.O. Box Number)

City and State 24

FL

I, the undersigned, being a resident of the State of Florida, do hereby certify that the above named corporation, incorporated under the laws of the State of Florida, is a corporation organized and existing under the laws of the State of Florida, and that the information furnished herein is true and correct to the best of my knowledge and belief, and I accept the obligations of Section 607.325 F.S.

Signature of Officer or Director

I, the undersigned, being a resident of the State of Florida, do hereby certify that the above named corporation, incorporated under the laws of the State of Florida, is a corporation organized and existing under the laws of the State of Florida, and that the information furnished herein is true and correct to the best of my knowledge and belief, and I accept the obligations of Section 607.325 F.S.

Kathleen B. Maguire

Asst. Secretary

212 214 1000

CERTIFICATE OF STATUS DESIRED

\$5 Annual Fee required for a Certificate of Status

PRUDENTIAL-BACHE SECURITIES INC.
A.K.A. PRUDENTIAL-BACHE CAPITAL FUNDING
DIVISIONAL OFFICERS
RESIDENCE AND BUSINESS ADDRESSES
AS OF JUNE 1, 1990

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Assistant V.P.

Nicholas A. Abbott
Associate V.P.

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Everett Alcenat Assistant V.P.	228 Beverly Road, Hempstead, NY 11550 Cashiers
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David L. Anderson V.P. Investments	Minneapolis
George E. Anderson V.P. Investments	42 Orchard Lane, Bereayn, PA 19312 Philadelphia - Market Street
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& Asst. Treasurer

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Information Systems

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Assistant V.P.

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Senior Vice President

Pittsburgh

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V.P. Investments

Boca Raton

Marugerita Bavaro
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(Stockton)

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Metro Park

John C. Bedford
V.P. Investments

Fort Myers

Lester J. Beernink
Sr. V.P. Investments

Wayzata

Karen Behre
Associate V.P.

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*

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Assistant V.P.

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Associate V.P.

Global Funding Oper.

Matthew G. Bennett
Associate V.P.

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Karen Berger
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Warren G. Berger
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Tech Center

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Frank E. Bergthold V.P. Investments	Fresno
Keith R. Bergthold V.P. Investments	Fresno
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Michael Berloff V.P. Investments	6 Weaver Lane, Dix Hills, NY 11746 Bayshore, NY
Bruce K. Berman V.P. Investments	50 Tiber Road, Syosset, NY 11791 Wall Street
Lesley M. Berry V.P. Investments	London Institutional
Maureen Berry V.P. Investments	601 E. Markham Avenue, Durham, NC 27701 Durham, NC
Francine Bertilaccio-Taylor Assistant V.P.	Direct Investments
Lawrence W. Beshel V.P. Investments	215 E. Chestnut Street, Chicago, IL 60611 Chicago, Illinois
Winston T. Best Assistant V.P.	Capital Funding
Laura P. Besteman V.P. Investments	2607-93rd Place N.E. - Bellevue, WA 98004 Bellevue
Earl R. Betts, Jr. Sr. V.P. Investments	2313 N. Elm Street, Greensboro, NC 27408 Greensboro, North Carolina
Marjorie Beutell Sr. V.P. Investments	32 Clive Road, Esher Surrey, Yew Tree Cottage (London, England)
Steven J. Bevers Associate V.P.	Pine Tree Boulevard, Oldbridge, NJ 08857 Capital Funding
Arnold Bialow Associate V.P.	460 East 79th Street, NY, NY 10021 *

31571 01748

Russell Bickel V.P. Investments	1620 Cleveland Avenue, Wyomissing, PA 19610 Reading, Pennsylvania
Nicholas Biddle, Jr. V.P. Investments	N.Y. Institutional
Evan Bines V.P. Investments	Melville
Patricia M. Bird-Reed Assistant V.P.	PBW Option Trad
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