

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

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Apr 09 1998 8:00am
Secretary of State

PROFIT CORPORATION ANNUAL REPORT 1998		 FLORIDA DEPARTMENT OF STATE Sandra B. Mortham Secretary of State DIVISION OF CORPORATIONS	
DOCUMENT # 851278 1. Corporation Name J.J.B. Hilliard W.L. Lyons, Inc.			
Principal Place of Business Hilliard Lyons Center 501 4TH. AVE. Louisville, KY 40202		Mailing Address P.O. Box 32760 Louisville, KY 40232-2760	
2. Principal Place of Business 21 State, Apt. #, etc. 22 City & State 23 Zip 24 Country		2a. Mailing Address 26 State, Apt. #, etc. 27 City & State 28 Zip 29 Country	
9. Name and Address of Current Registered Agent CT Corp. System 1200 S. Pine Island Rd. Plantation, FL 33324			
10. Name and Address of New Registered Agent 81 Name 82 Street Address (P.O. Box Number is Not Acceptable) 83 84 City FL 85 Zip Code			
11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.			
SIGNATURE Signature of Registered Agent (to be signed by the Registered Agent) Typed Name of Registered Agent (to be typed by the Registered Agent)			
12. OFFICERS AND DIRECTORS 12.1 NAME 12.2 STREET ADDRESS 12.3 CITY, ST., ZIP 12.4 TITLE 12.5 NAME 12.6 STREET ADDRESS 12.7 CITY, ST., ZIP 12.8 TITLE 12.9 NAME 12.10 STREET ADDRESS 12.11 CITY, ST., ZIP 12.12 TITLE 12.13 NAME 12.14 STREET ADDRESS 12.15 CITY, ST., ZIP 12.16 TITLE 12.17 NAME 12.18 STREET ADDRESS 12.19 CITY, ST., ZIP 12.20 TITLE 12.21 NAME 12.22 STREET ADDRESS 12.23 CITY, ST., ZIP 12.24 TITLE 12.25 NAME 12.26 STREET ADDRESS 12.27 CITY, ST., ZIP 12.28 TITLE 12.29 NAME 12.30 STREET ADDRESS 12.31 CITY, ST., ZIP 12.32 TITLE 12.33 NAME 12.34 STREET ADDRESS 12.35 CITY, ST., ZIP 12.36 TITLE 12.37 NAME 12.38 STREET ADDRESS 12.39 CITY, ST., ZIP 12.40 TITLE 12.41 NAME 12.42 STREET ADDRESS 12.43 CITY, ST., ZIP 12.44 TITLE 12.45 NAME 12.46 STREET ADDRESS 12.47 CITY, ST., ZIP 12.48 TITLE 12.49 NAME 12.50 STREET ADDRESS 12.51 CITY, ST., ZIP 12.52 TITLE 12.53 NAME 12.54 STREET ADDRESS 12.55 CITY, ST., ZIP 12.56 TITLE 12.57 NAME 12.58 STREET ADDRESS 12.59 CITY, ST., ZIP 12.60 TITLE 12.61 NAME 12.62 STREET ADDRESS 12.63 CITY, ST., ZIP 12.64 TITLE 12.65 NAME 12.66 STREET ADDRESS 12.67 CITY, ST., ZIP 12.68 TITLE 12.69 NAME 12.70 STREET ADDRESS 12.71 CITY, ST., ZIP 12.72 TITLE 12.73 NAME 12.74 STREET ADDRESS 12.75 CITY, ST., ZIP 12.76 TITLE 12.77 NAME 12.78 STREET ADDRESS 12.79 CITY, ST., ZIP 12.80 TITLE 12.81 NAME 12.82 STREET ADDRESS 12.83 CITY, ST., ZIP 12.84 TITLE 12.85 NAME 12.86 STREET ADDRESS 12.87 CITY, ST., ZIP 12.88 TITLE 12.89 NAME 12.90 STREET ADDRESS 12.91 CITY, ST., ZIP 12.92 TITLE 12.93 NAME 12.94 STREET ADDRESS 12.95 CITY, ST., ZIP 12.96 TITLE 12.97 NAME 12.98 STREET ADDRESS 12.99 CITY, ST., ZIP 13.00 TITLE			
13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12 13.1 NAME 13.2 STREET ADDRESS 13.3 CITY, ST., ZIP 13.4 TITLE 13.5 NAME 13.6 STREET ADDRESS 13.7 CITY, ST., ZIP 13.8 TITLE 13.9 NAME 13.10 STREET ADDRESS 13.11 CITY, ST., ZIP 13.12 TITLE 13.13 NAME 13.14 STREET ADDRESS 13.15 CITY, ST., ZIP 13.16 TITLE 13.17 NAME 13.18 STREET ADDRESS 13.19 CITY, ST., ZIP 13.20 TITLE 13.21 NAME 13.22 STREET ADDRESS 13.23 CITY, ST., ZIP 13.24 TITLE 13.25 NAME 13.26 STREET ADDRESS 13.27 CITY, ST., ZIP 13.28 TITLE 13.29 NAME 13.30 STREET ADDRESS 13.31 CITY, ST., ZIP 13.32 TITLE 13.33 NAME 13.34 STREET ADDRESS 13.35 CITY, ST., ZIP 13.36 TITLE 13.37 NAME 13.38 STREET ADDRESS 13.39 CITY, ST., ZIP 13.40 TITLE 13.41 NAME 13.42 STREET ADDRESS 13.43 CITY, ST., ZIP 13.44 TITLE 13.45 NAME 13.46 STREET ADDRESS 13.47 CITY, ST., ZIP 13.48 TITLE 13.49 NAME 13.50 STREET ADDRESS 13.51 CITY, ST., ZIP 13.52 TITLE 13.53 NAME 13.54 STREET ADDRESS 13.55 CITY, ST., ZIP 13.56 TITLE 13.57 NAME 13.58 STREET ADDRESS 13.59 CITY, ST., ZIP 13.60 TITLE 13.61 NAME 13.62 STREET ADDRESS 13.63 CITY, ST., ZIP 13.64 TITLE 13.65 NAME 13.66 STREET ADDRESS 13.67 CITY, ST., ZIP 13.68 TITLE 13.69 NAME 13.70 STREET ADDRESS 13.71 CITY, ST., ZIP 13.72 TITLE 13.73 NAME 13.74 STREET ADDRESS 13.75 CITY, ST., ZIP 13.76 TITLE 13.77 NAME 13.78 STREET ADDRESS 13.79 CITY, ST., ZIP 13.80 TITLE 13.81 NAME 13.82 STREET ADDRESS 13.83 CITY, ST., ZIP 13.84 TITLE 13.85 NAME 13.86 STREET ADDRESS 13.87 CITY, ST., ZIP 13.88 TITLE 13.89 NAME 13.90 STREET ADDRESS 13.91 CITY, ST., ZIP 13.92 TITLE 13.93 NAME 13.94 STREET ADDRESS 13.95 CITY, ST., ZIP 13.96 TITLE 13.97 NAME 13.98 STREET ADDRESS 13.99 CITY, ST., ZIP 14.00 TITLE			
14. I hereby certify that the information supplied with this filing complies with the exemption stated in Section 119.07(3)(b), Florida Statutes. I further certify that the information indicated on this annual report or supplement thereto is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the registered agent authorized to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 12 or Block 13 if changed, or on the supplemental report.			
SIGNATURE: [Signature] SIGNATURE (TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR)			

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***150.00

3/31/98 (502)588-1121

CR2E034 (10/97)

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TAX SCHEDULE
OFFICERS & DIRECTORS

JJB HILLIARD WL LYONS INC
FEIN # 61-0734935

OFFICERS & DIRECTORS

<u>NAME</u>	<u>STREET ADDRESS</u>	<u>CITY, STATE ZIP</u>
James W. Stuckert Chairman/President/CEO	501 South 4 th Ave	Louisville, KY 40202
Joseph L. Heintzman, Jr. Senior VP/Director/CFO	501 South 4 th Ave	Louisville, KY 40202
F. James Walker Senior VP/Director	501 South 4 th Ave	Louisville, KY 40202
Samuel C. Harvey Executive VP/Director	501 South 4 th Ave	Louisville, KY 40202
E. Neal Cory II Executive VP/Director	501 South 4 th Ave	Louisville, KY 40202
Kenneth W. Moore Senior VP/Secretary/ Director	501 South 4 th Ave	Louisville, KY 40202
James R. Allen Executive VP/Director	501 South 4 th Ave	Louisville, KY 40202
James M. Rogers Executive VP/Director/COO	501 South 4 th Ave	Louisville, KY 40202
Robert H. Duling VP/Director	902 S. High St.	Columbia, TN 38401
Brian M. Boor Senior VP/Director	9300 Shelbyville Rd # 150	Louisville, KY 40222
Jeffrey W. Rose	3998 St. Ives. Ct	Louisville, KY 40207