

# 2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Jan 22, 2003 8:00 am**  
**Secretary of State**

01-22-2003 90157 009 \*\*\*150.00

**DOCUMENT # 849921**

1. Entity Name  
**PFS INVESTMENTS INC.**



Principal Place of Business  
**C/O JUDY DAVIS**  
**3120 BRECKINRIDGE BLVD.**  
**DULUTH GA 30099-001**  
**US**

Mailing Address  
**C/O JUDY DAVIS**  
**3120 BRECKINRIDGE BLVD.**  
**DULUTH GA 30099-001**  
**US**



2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **58-1436188**

Applied For  
Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
Fee Required

☐ CHECK HERE IF MAKING CHANGES

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM**  
**1200 S. PINE ISLAND ROAD**  
**PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00**  
**After May 1, 2003 Fee will be \$550.00**  
**Make Check Payable to Florida Department of State**

9. Election Campaign Financing  
Trust Fund Contribution. ☐

**\$5.00** May Be  
Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **PD** ☐ Delete  
NAME **SIEGEL, DAVID**  
STREET ADDRESS **3120 BRECKINRIDGE BLVD**  
CITY-ST-ZIP **DULUTH GA 30099-0001**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE **EVP** ☐ Delete  
NAME **GINN, ALEXIS**  
STREET ADDRESS **3120 BRECKINRIDGE BLVD**  
CITY-ST-ZIP **DULUTH GA 30099-0001**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE **EVS** ☐ Delete  
NAME **BETCHKAL, GREGORY J**  
STREET ADDRESS **3120 BRECKINRIDGE BLVD**  
CITY-ST-ZIP **DULUTH GA 30099-0001**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE **EVPT** ☐ Delete  
NAME **RAND, ALISON S**  
STREET ADDRESS **3120 BRECKINRIDGE BLVD**  
CITY-ST-ZIP **DULUTH GA 30099-0001**

TITLE **EVP** ☒ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE **VPCF** ☐ Delete  
NAME **GRUBENHOFF, SHARON**  
STREET ADDRESS **3120 BRECKINRIDGE BLVD**  
CITY-ST-ZIP **DULUTH GA 30099-0001**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE **AS** ☐ Delete  
NAME **ATCHESON, RICHARD**  
STREET ADDRESS **3120 BRECKINRIDGE BLVD**  
CITY-ST-ZIP **DULUTH GA 30099-0001**

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, without other like empowered.

SIGNATURE:

*Richard Atcheson*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

**Richard Atcheson/Asst. Sec.**

**1/10/03**

**770-564-6149**

Date

Daytime Phone #

CR2E034 (10/02)

**PFS INVESTMENTS INC.**

Attachment

90007742

#849921

**OFFICERS AND DIRECTORS**

**DIRECTORS**

Gregory C. Pitts  
David H. Siegel  
William A. Kelly

**OFFICERS**

David H. Siegel	Chief Executive Officer/President
Gregory J. Betchkal	Executive Vice President/Secretary
Alexis P. Ginn	Executive Vice President
William A. Kelly	Executive Vice President
Gregory C. Pitts	Executive Vice President/Operations
Alison S. Rand	Executive Vice President
Cynthia K. Mitchell	Senior Vice President
John S. Watts, III	Senior Vice President/Chief Compliance Officer
Allen F. Coleman	Senior Vice President/Assistant Secretary
Sharon K. Grubenhoff	Vice President/Chief Financial Officer
Suzanne E. Loomis	Vice President
Dawn G. Reece	Vice President
Paul G. Calvin	Treasurer
Richard W. Atcheson	Assistant Secretary
Cynthia Coleman	Assistant Secretary
Judy R. Trollinger	Assistant Secretary
W. Mark Dopson	Assistant Secretary

**ADDRESS**

3120 Breckinridge Boulevard  
Duluth, Georgia 30099-0001