## 2002 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # 849921  1. Entity Name, PFS INVESTMENTS INC.					Feb 05, 2002 8:00 am Secretary of State 02-05-2002 90009 037 ***150.00		
Principal Place of Business C/O JUDY DAVIS 3120 BRECKINRIDGE BLVD. DULUTH GA 30099-001 US		Mailing Address C/O JUDY DAVIS 3120 BRECKINRIDGE BLVD. DULUTH GA 30099-001 US					
2. Principal Place of Business		3. Mailing Address			A LEGING CORNI CHAIN CHAIR CAILE CRUIS THIS THÀIR BUNN CLUIR WHEN CHAIR CHAIN DIOLEANAIL 1961		
Suite, Apt. #, etc.		Suite, Apt. #, etc.			. DO NOT WRITE IN THIS SPACE		
City & State		City & State		4.	FEI Number <b>58-1436188</b> ·		applied For lot Applicable
Zip Country ≿		Zip	Country		5. Certificate of Status Desired   \$8.75 Additional Fee Required		
6. Name and Address of Current Registered Agent			7. Name and Address of New Registered Agent				
منافسيم مسم			Name	Name			
CT CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD			Stree	Street Address (P.O. Box Number is Not Acceptable)			
PLANTAT	ION FL 33324					Tin Con	wa .
			- City			FL Zip Cod	16
9. This corpo	Signature in the control hame of registered agent an oration as eligible to satisfy its intangible requirement and elects to do so.	FILE NOW!!! FEE IS \$150.00  After May 1, 2002 Fee will be \$550.00  Make Check Payable to Department of Sta			10. Election Campaign Financing \$5.00 May Be		
11.	OFFICERS AND D	IRECTORS	12.	AI	DDITIONS/CHANGES TO OFFICER	IS AND DIRECTOR	₹S IN 11
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD SIEGEL, DAVID 3120 BRECKINRIDGE BLVD DULUTH GA 30099-0001	☐ Delete	TITLE NAME STREET ADDRES CITY-ST-ZIP	ss		☐ Change	☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	EVP GINN, ALEXIS 3120 BRECKINRIDGE BLVD DULUTH GA 30099-0001	□ Delete	TITLE NAME STREET ADDRES CITY-ST-ZIP			☐ Change	☐ Addition 6
NAME STREET ADDRESS CITY-ST-ZIP	SVPC WATTS, JOHN S III 3120 BRECKINRIDGE BLVD DULUTH GA 30099-0001	⊠ Delete	TITLE NAME STREET ADDRES CITY-ST-ZIP	Grego: 3120	tive.VicenPres/Sec ry J. Betchkal Breckinridge Blvd. h, GA. 30099-0001	☐ Change	<b>∞</b> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	EVPT RAND, ALISON S 3120 BRECKINRIDGE BLVD DULUTH GA 30099-0001	☐ Delete	TITLE NAME STREET ADDRES CITY-ST-ZIP	s	. ·	☐ Change	☐ Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VPCF GRUBENHOFF, SHARON 3120 BRECKINRIDGE BLVD DULUTH GA 30099-0001	☐ Delete	TITLE NAME STREET ADDRES CITY-ST-ZIP	s		☐ Change	☐ Addition
TITLE .  NAME  STREET ADDRESS  CITY-ST-ZIP	AS ATCHESON, RICHARD 3120 BRECKINRIDGE BLVD DULUTH GA:30099-0001	☐ Delete	TITLE NAME STREET ADDRES CITY-ST-ZIP			☐ Change	☐ Addition
indicated	certify that the information supplied with the on this report or supplemental report is to poration or the receiver or rustee empower or on an attachment with an address, with the content of the conten	rue and accurate and that m	v signature shal	I have the same	legal effect as if made under oath:	that I am an officer	r or director

SIGNATURE:

QUIRED Richard Atcheson

1/11/02

770-564-6149

Date

Daytime Phone #

### PFS INVESTMENTS INC.

OFFICERS AND DIRECTORS

Jachment

### **DIRECTORS**

Gregory C. Pitts . David H. Siegel William A. Kelly

# 124813

### **OFFICERS**

David H. Siegel
Chief Executive Officer/President
Executive Vice President/Secretary
Alexis P. Ginn
Executive Vice President
William A. Kelly
Gregory C. Pitts
Executive Vice President
Executive Vice President
Executive Vice President/Operations

Alison S. Rand Executive Vice President/Operation
E

Cynthia K. Mitchell Senior Vice President

John S. Watts, III Senior Vice President/Chief Compliance Officer

Sharon K. Grubenhoff Vice President/Chief Financial Officer

Dawn G. Reece Vice President

Paul G. Calvin
Richard W. Atcheson
Assistant Secretary
Allen F. Coleman
Cynthia Coleman
Judy R. Trollinger
W. Mark Dopson
Assistant Secretary
Assistant Secretary
Assistant Secretary
Assistant Secretary
Assistant Secretary

#### ADDRESS

3120 Breckinridge Boulevard Duluth, Georgia 30099-0001

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