

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT  
CORPORATION  
ANNUAL REPORT  
1999



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State  
DIVISION OF CORPORATIONS

FILED  
Apr 21, 1999 8:00 am  
Secretary of State

04-21-1999 90011 011 \*\*\*150.00

DOCUMENT # 849921

1. Corporation Name  
PFS INVESTMENTS INC.

Principal Place of Business  
C/O GREG KLUMP  
3120 BRECKINRIDGE BLVD.  
DULUTH GA 30099-001  
US

Mailing Address  
C/O GREG KLUMP  
3120 BRECKINRIDGE BLVD.  
DULUTH GA 30099-001  
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

08/05/1981

4. FEI Number

58-1436188

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional  
Fee Required

6. Election Campaign Financing  
Trust Fund Contribution ☐

\$5.00 May Be  
Added to Fees

8. This corporation owes the current year Intangible  
Personal Property Tax. ☐ Yes ☒ No

2. Principal Place of Business

2a. Mailing Address

21 Suite, Apt. #, etc.

26 Suite, Apt. #, etc.

22 City & State

27 City & State

23 Zip

Country

28 Zip

Country

24

25

29

30

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION FL 33324

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both; in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE P ☐ DELETE

NAME SIEGEL, DAVID  
STREET ADDRESS 3120 BRECKINRIDGE BLVD  
CITY-ST-ZIP DULUTH GA 30099-0001

1.1 TITLE ☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

TITLE EVP ☒ DELETE

NAME MCCONNEL, DAN  
STREET ADDRESS 3120 BRECKINRIDGE BLVD  
CITY-ST-ZIP DULUTH GA 30099-0001

2.1 TITLE EVP ☒ Change ☐ Addition

2.2 NAME Alexis Ginn  
2.3 STREET ADDRESS 3120 Breckinridge Blvd.  
2.4 CITY-ST-ZIP Duluth, GA. 30099-0001

TITLE S ☒ DELETE

NAME MCCONNELL, DANIEL D  
STREET ADDRESS 3120 BRECKINRIDGE BLVD  
CITY-ST-ZIP DULUTH GA 30099-0001

3.1 TITLE SVP/CFO/Secretary ☐ Change ☒ Addition

3.2 NAME Scott E. Schroeder  
3.3 STREET ADDRESS 3120 Breckinridge Blvd.  
3.4 CITY-ST-ZIP Duluth, GA. 30099-0001

TITLE T ☐ DELETE

NAME BASTIN, CYNTHIA K  
STREET ADDRESS 3120 BRECKINRIDGE BLVD  
CITY-ST-ZIP DULUTH GA 30099-0001

4.1 TITLE SVP/CFO ☒ Change ☐ Addition

4.2 NAME  
4.3 STREET ADDRESS  
4.4 CITY-ST-ZIP

TITLE VP ☐ DELETE

NAME BASTIN, CYNTHIA K  
STREET ADDRESS 3120 BRECKINRIDGE BLVD  
CITY-ST-ZIP DULUTH GA 30099-0001

5.1 TITLE ☐ Change ☐ Addition

5.2 NAME  
5.3 STREET ADDRESS  
5.4 CITY-ST-ZIP

TITLE AS ☐ DELETE

NAME ATCHESON, RICHARD  
STREET ADDRESS 3120 BRECKINRIDGE BLVD  
CITY-ST-ZIP DULUTH GA 30099-0001

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME  
6.3 STREET ADDRESS  
6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Richard Atcheson* REQUIRED Secretary

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/2/99

Date

770-564-6162

Daytime Phone #

CR2E034 (11/98)

**PFS INVESTMENTS INC.**

371186-90011-11  
849921

***OFFICERS AND DIRECTORS***

**DIRECTORS**

D. Richard Williams  
Gregory C. Pitts

**OFFICERS**

David H. Siegel	President/Chief Executive Officer
Alexis Ginn	Executive Vice President
Gregory C. Pitts	Executive Vice President/Operations
Cynthia K. Bastin	Senior Vice President/Chief Financial Officer
William A. Kelly	Senior Vice President
Robert H. Peterman, Jr.	Senior Vice President
Scott E. Schroeder	Senior Vice President/Chief Compliance Officer/Secretary
Judith C. Loomis	Vice President
Cynthia K. Mitchell	Vice President
Ellen W. Montgomery	Vice President
Alison S. Rand	Vice President/Treasurer
John Watts	Vice President
Richard W. Atcheson	Assistant Secretary
Allen F. Coleman	Assistant Secretary
Cynthia Coleman	Assistant Secretary
Judith P. Sanders	Assistant Secretary
Judy R. Trollinger	Assistant Secretary
Marc P. Weill	Assistant Secretary

**ADDRESS**

3120 Breckinridge Boulevard  
Duluth, Georgia 30099-0001