

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
May 07, 2002 8:00 am
Secretary of State
 05-07-2002 90222 018 ***150.00

05/05/02 AT

DOCUMENT # 848325

1. Entity Name

NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION

Principal Place of Business

**51 MADISON AVE.
 NEW YORK NY 10010**

Mailing Address

**51 MADISON AVE.
 NEW YORK NY 10010**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

13-3044743

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**TREASURER & INSURANCE COMMISSIONER
 FLORIDA DEPARTMENT OF INSURANCE
 200 EAST GAINES STREET
 TALLAHASSEE FL 32399-6562**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **D** ☐ Delete
 NAME **STERNBERG, SEYMOUR**
 STREET ADDRESS **51 MADISON AVE**
 CITY-ST-ZIP **NEW YORK NY 10010**

TITLE **SECRETARY** ☐ Change ☒ Addition
 NAME **MARRION, CATHERINE A.**
 STREET ADDRESS **51 MADISON AVENUE**
 CITY-ST-ZIP **NEW YORK, NEW YORK 10010**

TITLE **D** ☐ Delete
 NAME **TRAPP, GEORGE JOSEPH**
 STREET ADDRESS **51 MADISON AVE**
 CITY-ST-ZIP **NEW YORK NY**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **VT** ☐ Delete
 NAME **CALHOUN, JAY S 111**
 STREET ADDRESS **51 MADISON AVE.**
 CITY-ST-ZIP **NEW YORK NY**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS **PLEASE SEE ATTACHED SHEET**
 CITY-ST-ZIP

TITLE **D** ☐ Delete
 NAME **ROCK, ROBERT DONALD**
 STREET ADDRESS **51 MADISON AVE.**
 CITY-ST-ZIP **NEW YORK NY**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **P/D** ☐ Delete
 NAME **FREDERICK J SIEVERT**
 STREET ADDRESS **51 MADISON AVE.**
 CITY-ST-ZIP **NEW YORK NY 10010**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **VS** ☒ Delete
 NAME **HARLAND, DAVID A**
 STREET ADDRESS **51 MADISON AVE.**
 CITY-ST-ZIP **NEW YORK NY**

TITLE **ASSISTANT SECRETARY** ☐ Change ☒ Addition
 NAME **MEIROWITZ, MARK**
 STREET ADDRESS **51 MADISON AVENUE**
 CITY-ST-ZIP **NEW YORK, NEW YORK 10010**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

MARK MEIROWITZ, ASSISTANT SECRETARY

Date

Daytime Phone #

4/29/02 212-576-5683

CR2E034 (9/01)

NEW YORK LIFE INSURANCE AND ANNUITY CORPORATION

Attachment to State of Florida
2002 Uniform Business Report

848 325 / 048882

OFFICERS

Name	Title	Address
Frederick J. Sievert	President	51 Madison Avenue New York, NY 10010
Gary G. Benanav	Executive Vice President and Chairman of Taiwan Branch	51 Madison Avenue New York, NY 10010
Phillip J. Hildebrand	Executive Vice President	51 Madison Avenue New York, NY 10010
Gary E. Wendlandt	Executive Vice President	51 Madison Avenue New York, NY 10010
Jay S. Calhoun	Senior Vice President and Treasurer	51 Madison Avenue New York, NY 10010
Judith E. Campbell	Senior Vice President and Chief Information Officer	51 Madison Avenue New York, NY 10010
Patrick G. Colloton	Senior Vice President	11400 Tomahawk Creek Parkway Suite 200 Leawood, KS 66211
Sheila K. Davidson	Senior Vice President and General Counsel	51 Madison Avenue New York, NY 10010
Michael G. Gallo	Senior Vice President	51 Madison Avenue New York, NY 10010
Solomon Goldfinger	Senior Vice President and Chief Financial Officer	51 Madison Avenue New York, NY 10010
Gerald Kaplan	Senior Vice President and Tax Counsel	51 Madison Avenue New York, NY 10010
Richard D. Levy	Senior Vice President	51 Madison Avenue New York, NY 10010
John R. Meyer	Senior Vice President	51 Madison Avenue New York, NY 10010
Frank J. Ollari	Senior Vice President	51 Madison Avenue New York, NY 10010
Anne F. Pollack	Senior Vice President and Chief Investment Officer	51 Madison Avenue New York, NY 10010
Robert D. Rock	Senior Vice President	51 Madison Avenue New York, NY 10010
Richard C. Schwartz	Senior Vice President	51 Madison Avenue New York, NY 10010
Stephen N. Steinig	Senior Vice President and Actuary	51 Madison Avenue New York, NY 10010