

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Mar 27, 2002 8:00 am
Secretary of State

03-27-2002 90051 037 ***150.00

DOCUMENT # 846950

1. Entity Name

WESTERN RESERVE LIFE ASSURANCE CO., OF OHIO

Principal Place of Business

**570 CARILLON PARKWAY
 ST. PETERSBURG FL 33716-1202
 US**

Mailing Address

**P.O. BOX 5068
 CLEARWATER FL 33758**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

43-1162657

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
 1200 SOUTH PINE ISLAND ROAD
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

**CD
 KENNEY, JOHN R
 570 CARILLON PARKWAY
 ST. PETERSBURG FL 33716-1202**

☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

**SVP
 GEIGER, WILLIAM H
 570 CARILLON PARKWAY
 ST. PETERSBURG FL 33716-1202**

☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

**VTC
 HAMILTON, ALLAN J
 570 CARILLON PARKWAY
 ST. PETERSBURG FL 33716-1202**

☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

**D
 WALKER, JAMES R
 3320 OFFICE PARK DR.
 DAYTON OH 45439**

☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

**VP
 HENERSHOTT, CHERYL
 570 CARILLON PARKWAY
 ST. PETERSBURG FL 33716-1202**

☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

**VHR
 MASSROCK, MIKE
 570 CARILLON PARKWAY
 ST. PETERSBURG FL 33716-1202**

☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

☐ Change ☐ Addition

TITLE
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☐ Change ☐ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

☐ Change ☐ Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

William H. Geiger 3-14-02 727-299-1831

Date

Daytime Phone #

CR2E034 (9/01)

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DIRECTORS

John R. Kenney
570 Carillon Parkway
St. Petersburg, FL 33716-1202

James R. Walker
3320 Office Park Dr.
Dayton, OH 45439

Jerome C. Vahl
570 Carillon Parkway
St. Petersburg, FL 33716-1202

Jack E. Zimmerman
6778 Rosezita Lane
Dayton, OH 45459

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HOME OFFICE OFFICERS

570 Carillon Parkway, St. Petersburg, FL 33716-1202

| | |
|--------------------|--|
| John R. Kenney | Chairman of the Board |
| Mike Kirby | Chief Executive Officer |
| Jerome C. Vahl | President |
| Herb T. Collins | Executive Vice President |
| Alan M. Yaeger | Executive Vice President, Actuary & Chief Financial Officer |
| Terry L. Garvin | Senior Vice President & Chief Marketing Officer |
| William H. Geiger | Senior Vice President, Secretary, Corporate Counsel and Group Vice President-Compliance |
| Thomas E. Pierpan | Senior Vice President, General Counsel & Assistant Secretary |
| Carolyn M. Johnson | Senior Vice President and Chief Operations Officer |
| Thomas R. Moriarty | Senior Vice President |
| Tim Stonehocker | Senior Vice President |
| Jim Dillistone | Regional Vice President |
| Bill Murray | Regional Vice President |
| William Aguayo | Vice President and Managing Actuary |
| Norman W. Allen | Vice President, Senior Counsel, and Assistant Secretary |
| Jim Aspell | Vice President |
| Kevin Bachmann | Vice President |
| Kenneth P. Beil | Vice President |
| Charles T. Boswell | Vice President |
| John K. Carter | Vice President and Counsel |
| Edith W. Craig | Vice President |
| William Davis | Vice President |
| A. Kenneth Fine | Vice President, Senior Counsel and Market Conduct Compliance Officer |
| John Fischer | Vice President |
| Robert L. Ford | Vice President and Chief Information Officer |
| Allan J. Hamilton | Vice President, Treasurer & Controller |
| Cheryl Hendershott | Vice President |
| L. Jane Jacobs | Vice President, Human Resources |
| Larry M. Kirkland | Vice President & Managing Actuary |
| Tania Kussel | Vice President |
| Kenneth W. Marlow | Vice President |
| Mike Massrock | Vice President |
| Stanley R. Orr | Vice President & Director of Marketing |
| Cynthia L. Remley | Vice President, Associate General Counsel |
| Elaine Rider | Vice President |
| Diane Rogers | Vice President |
| Teresa Rooney | Vice President and Counsel |
| James A. Rowe | Vice President and Counsel |
| Lorne W. Schinbein | Vice President & Managing Actuary |

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| | |
|------------------------|---|
| Kimberly A. Scouller | Vice President, Senior Counsel and Assistant Secretary |
| Michael B. Susik | Vice President |
| Michael V. Williams | Vice President |
| Christopher M. Aiello | Assistant Vice President, Assistant Secretary and Associate Counsel |
| Robert D. Bingham | Assistant Vice President and Associate Actuary |
| Amy J. Boyle | Assistant Vice President |
| Kim D. Day | Assistant Vice President |
| Carlene Endick | Assistant Vice President |
| Jeffery A. Froeschle | Assistant Vice President and Counsel |
| Jayne Flood | Assistant Vice President and Assistant Secretary |
| Douglas R. Forster | Assistant Vice President and Assistant Controller |
| Priscilla I. Hechler | Assistant Vice President and Assistant Secretary |
| Richard C. Hicks | Assistant Vice President |
| Jennifer High | Assistant Vice President |
| Gerald B. Lax | Assistant Vice President and Associate Counsel |
| Margaret (Peg) Malloy | Assistant Vice President |
| Leslie Martin | Assistant Vice President |
| Kim Marzban | Assistant Vice President and Assistant Controller |
| Sammie Jo McClintick | Assistant Vice President |
| Sandra S. Moore | Assistant Vice President |
| Don Morden | Assistant Vice President and Associate Actuary |
| Bern B. Rudisill | Assistant Vice President |
| Janet M. Schwamberger | Assistant Vice President |
| Jim Setaro | Assistant Vice President |
| E. Roe Sollars | Assistant Vice President |
| Sally E. Stewart | Assistant Vice President |
| Debbie Tatem | Assistant Vice President |
| Laurie Teimer | Assistant Vice President |
| Adriana van West | Assistant Vice President |
| John G. Whisenant | Assistant Vice President |
| Margueritta O. Winters | Assistant Vice President |
| Frank Wollett | Assistant Vice President, Counsel |
| Tracey Evans | Assistant Secretary |
| Donna M. Eppes | Assistant Secretary |
| Kristina Mackowiak | Assistant Secretary |
| Richard McNally | Assistant Secretary |

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OTHER CORPORATE OFFICERS

| | |
|----------------------|--|
| Jan Soppe | Executive Vice President (LTC) |
| William L. Busler | Senior Vice President (FMD) |
| Eric B. Goodman | Senior Vice President & Chief Investment Officer |
| Douglas C. Kolsrud | Senior Vice President and Corporate Actuary |
| Jill A. H. Andersen | Vice President & Assistant General Counsel |
| Nathan C. Anguiano | Vice President (Louisville) |
| John Bailey | Vice President (Investment Division) |
| Calvin R. Birkey | Vice President (FMD) |
| David L. Blankenship | Vice President |
| Robert Broseman | Vice President (Investments) |
| Kirk Buese | Vice President |
| Dave Carney | Vice President |
| Steven C. Chamberlin | Vice President and Actuary-Corporate Division, & Appointed Actuary |
| Brenda Clancy | Vice President |
| Robert F. Colby | Vice President & Assistant Secretary (DIA) |
| Bill Cook | Vice President |

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|-------------------------|--|
| Doug Dean | Vice President (Investment Division) |
| Mark E. Dunn | Vice President (Investment Division) |
| Roger Freeman | Vice President (FMD) |
| Christopher H. Garrett | Vice President |
| Peter Gilman | Vice President (Ind. Div.) |
| David R. Halpap | Vice President |
| Robert L. Hansen | Vice President |
| Lynn Hartung | Vice President (LTC) |
| Bill Henriksen | Vice President (Investment Division) |
| Marsha Hicks | Vice President & Asst. Secretary (Investment Div., Louisville) |
| Frederick B. Howard | Vice President (Investment Division) |
| John Hughes | Vice President & Assistant Secretary |
| Gerald L. Katz | Vice President & Actuary |
| Jon D. Kettering | Vice President, Investment Administration |
| William Kling | Vice President (FMD) |
| Gwen Kluber | Vice President (FMD) |
| Robert J. Kontz | Vice President |
| Peter Kunkel | Vice President |
| Mike Lynch | Vice President |
| Diane Meiners | Vice President |
| Kathleen M. Modzelewski | Vice President, Director of Taxes |
| Daniel Mohwinkel | Vice President (FMD) |
| Steven J. Myers | Vice President (Investments) |
| Maureen E. Nielsen | Vice President (FMD) |
| Thomas L. Nordstrom | Vice President |
| Ralph M. O'Brien | Vice President |
| Mary T. Pech | Vice President (Investment Division) |
| Stephen E. Price | Vice President and Auditor |
| Paul Reaburn | Vice President (Individual Division) |
| Dan Reese | Vice President-Division General Counsel (LTC) |
| Stacey Rutledge | Vice President (Investment Division) |
| Tom A. Schlossberg | Vice President |
| Katherine A. Schulze | Vice President-Compliance & Assistant General Counsel |
| Lindsay P. Schumacher | Vice President |
| Gary H. Scott | Vice President (FMD) |
| Thomas J. Schefter | Vice President (Investment Division) |
| Arthur Schneider | Vice President |
| Michael Simpson | Vice President |
| Jon L. Skaggs | Vice President (Investment Division) |
| Robert A. Smedley | Vice President (Investment Division) |
| Michael S. Smith | Vice President (Investment Division) |
| Gregory W. Theobald | Vice President & Assistant Secretary |
| Colleen Tobiason | Vice President (FMD) |
| James R. Trefz | Vice President & Actuary |
| Mary J. Tresnak | Vice President-Compliance and Associate General Counsel |
| Craig D. Vermie | Vice President, Assistant Secretary & Corporate Counsel |
| William A. Waldie | Vice President (FMD) |
| Michael A. Wapp | Vice President |
| Robert C. Woodcock | Vice President & Assistant Secretary (Investments-Louisville) |
| Ronald L. Ziegler | Vice President, Actuary (FMD) |
| Amy Barth | Assistant Vice President & Actuary (LTC) |
| Mikel Betts | Assistant Vice President-Retained Assets Manager |
| Rob Frederick | Assistant Vice President (FMD) |
| JoAnn Herndon | Assistant Vice President (FMD) |
| William R. Maurer | Assistant Vice President (FMD) |
| George R. Sherrard | Assistant Vice President and Actuary (Louisville) |
| Darin D. Smith | Assistant Vice President and Assistant Secretary |

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|--------------------------|---|
| Teresa L. Stolba | Assistant Vice President (FMD) |
| Mary E. Taiber | Assistant Vice President |
| Ken Turnquist | Assistant Vice President and Actuary |
| John Winnike | Assistant Vice President (Ind. Div.) |
| Mark Aloia | Assistant Secretary (Investment Division, NY) |
| Maureen DeWald | Assistant Secretary |
| John Donner | Assistant Secretary |
| Sara L. Haas | Assistant Secretary |
| Paul J. Houk | Assistant Secretary and Counsel |
| Robert S. Jett | Assistant Secretary |
| Catherine Mohr | Assistant Secretary |
| Richard M. Rubenstein | Assistant Secretary (Investment Div., Louisville) |
| Mary L. Schaefer | Assistant Secretary |
| Marie W. Schmitt | Assistant Secretary (Mon Gen) |
| Clifton W. Flenniken III | Assistant Treasurer |

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