

2010 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 846490

FILED
Jan 04, 2010
Secretary of State

Entity Name: LPL FINANCIAL CORPORATION

Current Principal Place of Business:

9785 TOWNE CENTRE DRIVE
SAN DIEGO, CA 921211958 US

New Principal Place of Business:

Current Mailing Address:

ONE BEACON ST., 22ND FLOOR
BOSTON, MA 02108 US

New Mailing Address:

FEI Number: 95-2834236

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: S
Name: BROWN, STEPHANIE L
Address: ONE BEACON ST 22ND FLOOR
City-St-Zip: BOSTON, MA 02108 US

Title: D
Name: CASADY, MARK S
Address: ONE BEACON ST 22ND FL
City-St-Zip: BOSTON, MA 02108 US

Title: P
Name: STEARNS, ESTHER M
Address: 9785 TOWNE CENTRE DR
City-St-Zip: SAN DIEGO, CA 92121 US

Title: D
Name: STEARNS, ESTHER M
Address: 9785 TOWNE CENTRE DRIVE
City-St-Zip: SAN DIEGO, CA 92121 US

Title: T
Name: MOORE, ROBERT J
Address: 9785 TOWNE CENTRE DRIVE
City-St-Zip: SAN DIEGO, CA 92121 US

Title: D
Name: MOORE, ROBERT J
Address: 9785 TOWNE CENTRE DRIVE
City-St-Zip: SAN DIEGO, CA 92121 US

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: STEPHANIE L. BROWN

S

01/04/2010

Electronic Signature of Signing Officer or Director

Date