

# 2009 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 846490

FILED  
Apr 15, 2009  
Secretary of State

Entity Name: LPL FINANCIAL CORPORATION

## Current Principal Place of Business:

9785 TOWNE CENTRE DRIVE  
SAN DIEGO, CA 921211958

## New Principal Place of Business:

9785 TOWNE CENTRE DRIVE  
SAN DIEGO, CA 921211958 US

## Current Mailing Address:

ONE BEACON ST., 22ND FLOOR  
BOSTON, MA 02108

## New Mailing Address:

ONE BEACON ST., 22ND FLOOR  
BOSTON, MA 02108 US

FEI Number: 95-2834236

FEI Number Applied For ( )

FEI Number Not Applicable ( )

Certificate of Status Desired ( )

## Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION, FL 33324 US

## Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ( ).

## OFFICERS AND DIRECTORS:

Title: CFOT ( ) Delete  
Name: MAHER, C. WILLIAM  
Address: 9785 TOWNE CENTRE DRIVE  
City-St-Zip: SAN DIEGO, CA 92121

Title: MS ( ) Delete  
Name: BROWN, STEPHANIE L  
Address: ONE BEACON ST 22ND FLOOR  
City-St-Zip: BOSTON, MA 02108

Title: CD ( ) Delete  
Name: CASSADY, MARK  
Address: ONE BEACON ST 22ND FL  
City-St-Zip: BOSTON, MA 02108

Title: PD ( ) Delete  
Name: STEARNS, ESTHER  
Address: 9785 TOWNE CENTRE DR  
City-St-Zip: SAN DIEGO, CA 92121

Title: M ( ) Delete  
Name: BLACK, STEVEN  
Address: 9785 TOWNE CENTRE DRIVE  
City-St-Zip: SAN DIEGO, CA 92121

Title: M ( ) Delete  
Name: ANDERSON, LINCOLN  
Address: ONE BEACON STREET 22ND FLOOR  
City-St-Zip: BOSTON, MA 02108

## ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: S (X) Change ( ) Addition  
Name: STEPHANIE  
Address: ONE BEACON ST 22ND FLOOR BOSTON MA 02108  
City-St-Zip: BOSTON, MA 02108 US

Title: D (X) Change ( ) Addition  
Name: MARK  
Address: ONE BEACON ST 22ND FL BOSTON MA 02108  
City-St-Zip: BOSTON, MA 02108 US

Title: P (X) Change ( ) Addition  
Name: ESTHER  
Address: 9785 TOWNE CENTRE DR SAN DIEGO CA 92121  
City-St-Zip: SAN DIEGO, CA 92121 US

Title: D (X) Change ( ) Addition  
Name: STEARNS, ESTHER M  
Address: 9785 TOWNE CENTRE DRIVE  
City-St-Zip: SAN DIEGO, CA 92121 US

Title: T (X) Change ( ) Addition  
Name: MOORE, ROBERT J  
Address: 9785 TOWNE CENTRE DRIVE  
City-St-Zip: SAN DIEGO, CA 92121 US

Title: D (X) Change ( ) Addition  
Name: MOORE, ROBERT J  
Address: 9785 TOWNE CENTRE DRIVE  
City-St-Zip: SAN DIEGO, CA 92121 US

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: STEPHANIE L BROWN

S

04/15/2009

Electronic Signature of Signing Officer or Director

Date