

2007 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Jan 09, 2007 8:00 am
Secretary of State

01-09-2007 90056 043 ***150.00

DOCUMENT # 846490

1. Entity Name
LINSICO/PRIVATE LEDGER CORP.



Principal Place of Business
9785 TOWNE CENTRE DRIVE
SAN DIEGO, CA 92121-1958

Mailing Address
ONE BEACON ST., 22ND FLOOR
BOSTON, MA 02108

60000730



2. Principal Place of Business - No P.O. Box #

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

01032007 Chg-P CR2E034 (12/06)

4. FEI Number
95-2834236

Applied For
Not Applicable

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2007 Fee will be \$550.00

9. Election Campaign Financing
Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees

10. OFFICERS AND DIRECTORS

TITLE CFOV
NAME MAHER, C. WILLIAM
STREET ADDRESS 15050 SADDLEBROOK LANE
CITY-ST-ZIP POWAY, CA 92064 ☐ Delete

TITLE MS
NAME BROWN, STEPHANIE L
STREET ADDRESS 99 REVERE STREET
CITY-ST-ZIP BOSTON, MA 02114 ☐ Delete

TITLE P
NAME CASSADY, MARK
STREET ADDRESS ONE BEACON STREET
CITY-ST-ZIP BOSTON, MA 02108 ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Delete

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE CFO/T/D
NAME c. William Maher
STREET ADDRESS 9785 Towne Centre Drive
CITY-ST-ZIP San Diego, CA 92121 ☒ Change ☐ Addition

TITLE MS
NAME Stephanie L. Brown
STREET ADDRESS one Beacon St, 22nd floor
CITY-ST-ZIP Boston, MA 02108 ☒ Change ☐ Addition

TITLE P/C/D
NAME mark s. Casady
STREET ADDRESS one Beacon St, 22nd floor
CITY-ST-ZIP Boston, MA 02108 ☒ Change ☐ Addition

TITLE Director
NAME Esther Stearns
STREET ADDRESS 9785 Towne Centre Drive
CITY-ST-ZIP San Diego, CA 92121 ☐ Change ☐ Addition

TITLE m
NAME Steven Black
STREET ADDRESS 9785 Towne Centre Drive
CITY-ST-ZIP San Diego, CA 92121 ☐ Change ☒ Addition

TITLE m
NAME Lincoln Anderson
STREET ADDRESS one Beacon Street, 22nd Floor
CITY-ST-ZIP Boston, MA 02108 ☐ Change ☒ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

Stephanie L. Brown

1/3/07

617-423-3644

ATTACHMENT

60000730
846490

LINSCO/PRIVATE LEDGER CORP. – Document #846490
State of Florida
2007 Annual Report – Officers/Directors

Officers at 9785 Towne Centre Drive - San Diego, CA 92121:

Esther M. Stearns, Chief Operating Officer
Steven Black, Chief Risk Officer & Managing Director
C. William Maher, Chief Financial Officer & Managing Director
Gina C. Cannella, Managing Director, Organizational Planning
Leon Lee, Managing Director, Advisory Services Operations
Rochelle Putnam, Managing Director, Service and Trading Center
Joseph Tuorto, Managing Director, Chief Compliance Officer – Brokerage
Kandis Bates, Executive Vice President, Corporate Marketing
Andrew Duggan, Executive Vice President & Chief Information Officer
Jon Eaton, Executive Vice President, Product Marketing
Brad Fryer, Executive Vice President, Business Development
William Morrissey, Executive Vice President, Branch Development
Amy Wong, Executive Vice President, Operations

Officers at One Beacon Street – Boston, MA 02108:

Mark S. Casady, President & Chief Executive Officer
Lincoln Anderson, Chief Investment Officer & Managing Director
Stephanie L. Brown, Secretary, Managing Director & General Counsel
William Dwyer, Managing Director, National Sales
David J. Freniere, Executive Vice President & Assistant General Counsel
Stephen Langlois, Executive Vice President, Research
Chad Perry, Executive Vice President, Associate Counsel

Directors:

Mark S. Casady (Chairman) – One Beacon Street – Boston, MA 02108
Esther M. Stearns (COO) – 9785 Towne Centre Drive – San Diego, CA 92121
C. William Maher (CFO) – 9785 Towne Centre Drive – San Diego, CA 92121