

FILE NOW: FILING FEE AFTER MAY 1 IS \$550.00

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Feb 18 1997 8:00am
Secretary of State

PROFIT CORPORATION ANNUAL REPORT 1997		FLORIDA DEPARTMENT OF STATE Sandra B. Mortham Secretary of State DIVISION OF CORPORATIONS
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DOCUMENT # **843583** (6)
1. Corporation Name
CARRIER CORPORATION



Principal Place of Business 6304 CARRIER PKWY. P.O. BOX 4808 SYRACUSE NY 13221	Mailing Address 6304 CARRIER PKWY. P.O. BOX 4808 SYRACUSE NY 13221-4808
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3. Date Incorporated or Qualified 06/27/1979	3a. Date of Last Report 02/19/1996
4. FEI Number 06-0991716	Applied For ☐ Not Applicable
5. Certificate of Status Desired ☐	\$8.75 Additional Fee Required
6. Election Campaign Financing Trust Fund Contribution ☐	\$5.00 May Be Added to Fees
8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes ☐ Yes ☐ No	

2. Principal Place of Business 21 Suite Apt. #, etc. 22 City & State 23 Zip 24 Country	2a. Mailing Address 26 Suite, Apt. #, etc. 27 City & State 28 Zip 29 Country
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9. Name and Address of Current Registered Agent CT CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD PLANTATION FL 33324	10. Name and Address of New Registered Agent 81 Name 82 Street Address (P.O. Box Number is Not Acceptable) 83 84 City 85 Zip Code FL
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11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, type or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when renewing)

DATE

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	AS LEPPARD, FRANCES K. 14 MILDRED AVENUE BALDWINVILLE NY	1.1 TITLE	☐ Change ☐ Addition
NAME	P LORD, JOHN 38 PEMBROOKE HILL ROAD FARMINGTON CT	1.2 NAME	☐ Change ☐ Addition
STREET ADDRESS	VPCG GALLI, ROBERT E 329 NORTH STAR DR SOUTHINGTON CT	1.3 STREET ADDRESS	☐ Change ☐ Addition
CITY-ST-ZIP	T LEMA, ARNOLD H. 2 ALLYN ALLEY MYSTIC CT	1.4 CITY-ST-ZIP	☐ Change ☒ Addition
	VPCF RENAUD, GILLES A. 21 TALCOTT MT RD SIMSBURY CT	2.1 TITLE	☐ Change ☐ Addition
	AS HILL, ROBERT N 4037 LIBRA LANE LIVERPOOL NY	2.2 NAME	☐ Change ☐ Addition
		2.3 STREET ADDRESS	☐ Change ☐ Addition
		2.4 CITY-ST-ZIP	☐ Change ☐ Addition
		3.1 TITLE	☐ Change ☐ Addition
		3.2 NAME	☐ Change ☐ Addition
		3.3 STREET ADDRESS	☐ Change ☐ Addition
		3.4 CITY-ST-ZIP	☐ Change ☐ Addition
		4.1 TITLE	☐ Change ☒ Addition
		4.2 NAME	☐ Change ☐ Addition
		4.3 STREET ADDRESS	☐ Change ☐ Addition
		4.4 CITY-ST-ZIP	☐ Change ☐ Addition
		5.1 TITLE	☐ Change ☒ Addition
		5.2 NAME	☐ Change ☐ Addition
		5.3 STREET ADDRESS	☐ Change ☐ Addition
		5.4 CITY-ST-ZIP	☐ Change ☐ Addition
		6.1 TITLE	☐ Change ☐ Addition
		6.2 NAME	☐ Change ☐ Addition
		6.3 STREET ADDRESS	☐ Change ☐ Addition
		6.4 CITY-ST-ZIP	☐ Change ☐ Addition

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

Robert Hill

Assistant Secretary 2/18/97

CR2E034 (9/96)

Carrier Corporation
P.O. Box 4808
Syracuse, New York 13221

NAME	TITLE	S.S. #	RESIDENCE
Karl Krapek	Chairman	485-54-2444	32 Long View Road, Avon, CT 06011 (8)
John Lord	President	022-34-0040	38 Pembroke Hill Road, Farmington, CT 06032 (6)
Walter A. Rutschmeyer	Controller	396-56-5558	126 Fairview Terrace So., Glastonbury
Robert E. Galli	Secretary	041-36-6414	329 North Star Drive, Southington, CT 06489 (6)
Gerald E. Bailey	Vice President-Environment	542-50-9697	8 Queens Peak Road, Canton, CT 06019 (6)
Thomas J. Chipperfield	Health, Safety	133-32-2600	8423 Woodbox Road, Meriden, N.Y. 13104 (1)
George E. Minnich	Vice President - Global Manufacturing	198-40-6731	3 Fieldstone Lane, Avon, CT 06001 (6)
Gerald F. Robertson	Financial Officer	195-38-1383	4440 Swissvale Drive, Meriden, N.Y. 13104 (1)
Curtis G. Linke	Vice President - Corporate Technology	297-38-4721	11 Warner Road, East Haddam, CT 06423 (6)
Regina M. Hitchery	Vice President-Communications	013-38-3504	27 Juniper Lane West, Glastonbury, CT 06033 (6)
John Owens	Vice President-Human Resources	242-54-9650	21 Kenmore Rd., Bloomfield, CT 06002 (6)
Robert E. Galli	Vice President-Information Systems	041-36-6414	329 North Star Drive, Southington, CT 06489 (6)
Paul Johnston	Vice President, General Counsel	501-66-0758	4916 Tanglewood Lane, Meriden, N.Y. 13104 (1)
Thomas R. Hunter	Vice President, Global Residential/Light Commercial Systems	480-50-7452	3 Tanglewood Trail, West Simsbury, CT 06092 (6)
Kenneth P. Fox	Vice President-Global Service Systems	048-44-1678	10 Sedgewood Road, Avon, CT 06001 (6)
Jeffrey A. Willard	Vice President-Global Commercial Systems	093-44-8332	8 Cobblestone Road, Farmington, CT 06032 (6)
Larry M. Sweet	Vice President-Global Heavy Industrial System	546-72-4275	145 Catalpa Road, Wilton, CT 06897 (6)
Dana F. Bigelow	Vice President of Operations	505-50-3649	204 Winkworth Parkway, Syracuse, N.Y. 13215 (1)
Stephen C. Bullock	Treasurer	150-42-3338	52 Ely Drive, Fayetteville, N.Y. 13066
Donald K. Cawley	Assistant Secretary	113-46-1845	332 Auburn Road, West Hartford, CT 06119 (6)
Donna L. Clayton	Assistant Secretary	431-04-0972	5506 Sandstone Way, Fayetteville, N.Y. 13066 (1)
John C. Foley	Assistant Secretary	431-92-6033	63 Cider Brook Dr., Weathersfield, CT 06109 (6)
Michael Gabert	Assistant Secretary	377-74-1224	201 W. Genesee St. #184, Fayetteville, N.Y. 13066 (1)
Marvis Grimalds	Assistant Secretary	155-42-6418	9454 Hawkeye Drive, Brewerton, N.Y. 13029 (1)
William W. Halbert	Assistant Secretary	098-40-2411	1956 Topridge Drive, Baldwinsville, N.Y. 13027 (1)
Robert N. Hill	Assistant Secretary	097-44-6534	4037 Libra Lane, Liverpool, N.Y. 13090 (1)
Arthur W. Kanaviko	Assistant Secretary	134-30-4549	112 Lynmar Lane, Syracuse, N.Y. 13215 (1)
Sandra A. Karanian	Assistant Secretary	067-42-3607	55 Alfred Drive, Colchester, CT 06415 (6)
Frances K. Leppard	Assistant Secretary	097-28-3956	14 Mildred Avenue, Baldwinsville, N.Y. 13027 (1)
Eugene A. Morabito	Assistant Secretary	046-60-5752	4930 Albert Drive, Syracuse, N.Y. 13215 (1)
Azuwike H. Ndurkwo	Assistant Secretary	041-66-5564	15301 Southwest 108 Terrace, Miami, FL 33196
Andrea M. Quercia	Assistant Secretary	046-64-5214	8 Winchester Court, Farmington, CT 06032 (6)
William F. Striebe	Assistant Secretary	040-46-7233	7337 Dartmoor Crossing, Fayetteville, N.Y. 13068 (1)
William Tolley	Assistant Secretary	389-46-7797	5501 Golden Heights Dr., Fayetteville, N.Y. 13066 (1)
Kevin T. Williams	Assistant Secretary	513-54-6530	113 Green St. Fayetteville, N.Y. 13066 (5)
Stephen S. Young	Assistant Secretary	545-78-8771	60 Bayshore Rd, Jade Tower #25-05, Singapore 1646 (3)

DIRECTORS:

John Lord 38 Pembroke Hill Road, 38 Pembroke, CT 06032
Karl J. Krapek 32 Long View Road, Avon, CT 06011
George A.L. David 62 Westwood Road, West Hartford, CT 06117

(1) Carrier Corporation
P.O. Box 4808
Carrier Parkway
Syracuse, N.Y. 13221

(2) Carrier Building Services
75 Holly Hill Lane
Greenwich, CT 06830

(3) Carrier International Corp.
76 Sherton Way
Maxwell P.O. Box 1388
Singapore 9027

(4) Carrier Building Services
2401 Crow Canyon Road
Suite 350
San Ramon, CA 94583

(5) Carrier Transcold Div.
P.O. Box 4805
Syracuse, N.Y. 13221

(6) Carrier Corporation
Carrier World Headquarters
One Carrier Place
Farmington, CT 06032-4015

(7) Carrier Building Services
735 North Cass Avenue
Westmont, IL 60559

(8) Pratt & Whitney Aircraft
400 Main Street
East Hartford, CT 06108