

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 15, 2002 8:00 am
Secretary of State

04-15-2002 90065 042 ***150.00

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DOCUMENT # 843498
 1. Entity Name
WISS, JANNEY, ELSTNER ASSOCIATES, INC.

Principal Place of Business 330 PFINGSTEN RD. NORTHBROOK IL 60062	Mailing Address 330 PFINGSTEN RD. NORTHBROOK IL 60062
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DO NOT WRITE IN THIS SPACE

2. Principal Place of Business		3. Mailing Address	
Suite, Apt. #, etc.		Suite, Apt. #, etc.	
City & State		City & State	
Zip	Country	Zip	Country

4. FEI Number 36-2757956	Applied For <input type="checkbox"/> Not Applicable
5. Certificate of Status Desired <input type="checkbox"/> \$8.75 Additional Fee Required	

6. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
 1200 S. PINE ISLAND ROAD
 PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name
 Street Address (P.O. Box Number is Not Acceptable)
 City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back)

FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D JANNEY, JACK R. 4600 S. HOLLY ENGLEWOOD CO 80111 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP KLEIN, GARY J. 4114 HOWARD AVENUE WESTERN SPRINGS IL 60558 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D CONNOLLY, JAMES D. 190 SOUTH THORNE GROVE DRIVE VERNON HILLS IL 60061 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VPTD METZ, FRED L. 760 INTERLAKEN LAKE ZURICH IL 60047 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D KOOB, MICHAEL J. 1180 BRECKENRIDGE AVE LAKE FOREST IL 60045 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP POPOVIC, PREDRAG 6630 N. SPOKANE AVENUE LINCOLNWOOD IL 60646 <input type="checkbox"/> Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition See attached
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition D Metz, Fred L. 760 Interlaken Lake Zurich, IL 60047
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Thomas S. Oczkowski Thomas S. Oczkowski 4-3-02 847-272-7400
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034 (9/01)

Attachment Doc. # 84 BW66009
WISS, JANNEY, ELSTNER ASSOCIATES, INC.
DIRECTORS OF THE CORPORATION

Name	Business Address
William J. Nugent – Chairman	330 Pfingsten Road, Northbrook, IL 60062
Kimball J. Beasley	1350 Broadway, Suite 206, New York, NY 10018
James D. Connolly	330 Pfingsten Road, Northbrook, IL 60062
John M. Hanson	301 Crabtree Crossing, Cary, NC 27513
Harry J. Hunderman	330 Pfingsten Road, Northbrook, IL 60062
Jack R. Janney	4600 S. Holly, Cherry Hills Village, CO 80111
Michael J. Koob	330 Pfingsten Road, Northbrook, IL 60062
James A. Mahaney	2200 Powell Street, Suite 925, Emeryville, CA 94608
Fred L. Metz	760 Interlaken, Lake Zurich, IL 60047
Robert D. Paul	500 East 77 th Street, #2824, New York, NY 10162
Thomas J. Rowe	3050 Regent Blvd., Suite 100, Irving, TX 75063
Daniel R. Toll	560 Green Bay Road, Suite 300, Winnetka, IL 60093

OFFICERS OF THE CORPORATION

Name	Business Address
William J. Nugent, President, Chief Executive Officer and Principal	330 Pfingsten Road, Northbrook, IL 60062
Gary J. Klein, Executive Vice President and Principal	330 Pfingsten Road, Northbrook, IL 60062
Thomas S. Oczkowski, Vice President of Administration & Treasurer	330 Pfingsten Road, Northbrook, IL 60062
Ian R. Chin, Vice President, Principal and Chicago Unit Manager	120 North LaSalle Street, Suite 2000, Chicago, IL 60602
Predrag L. Popovic, Vice President and Principal	330 Pfingsten Road, Northbrook, IL 60062
F. Dirk Heidbrink, Secretary and Operations Manager	330 Pfingsten Road, Northbrook, IL 60062