

**2001 UNIFORM BUSINESS REPORT (UBR)****FILED****Apr 19, 2001 8:00 am**  
**Secretary of State**

04-19-2001 90094 041 \*\*\*150.00

**DOCUMENT # 843498**

1. Entity Name

**WISS, JANNEY, ELSTNER ASSOCIATES, INC.**

Principal Place of Business

**330 PFINGSTEN RD.  
NORTHBROOK IL 60062**

Mailing Address

**330 PFINGSTEN RD.  
NORTHBROOK IL 60062**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City &amp; State

City &amp; State

Zip

Country

Zip

Country

4. FEI Number **36-2757956**

Applied For

Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional  
Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible  
Tax filing requirement and elects to do so.  
(See criteria on back) ☐**FILE NOW!!! FEE IS \$150.00  
After MAY 1, 2001 Fee will be \$550.00  
Make Check Payable to Department of State**10. Election Campaign Financing  
Trust Fund Contribution. ☐ **\$5.00 May Be  
Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **D** ☐ Delete  
NAME **JANNEY, JACK R.**  
STREET ADDRESS **4600 S. HOLLY**  
CITY-ST-ZIP **ENGLEWOOD CO 80111**TITLE ☐ Change ☒ Addition  
NAME  
STREET ADDRESS **See attached**  
CITY-ST-ZIPTITLE **VP** ☐ Delete  
NAME **KLEIN, GARY J.**  
STREET ADDRESS **4114 HOWARD AVENUE**  
CITY-ST-ZIP **WESTERN SPRINGS IL 60558**TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIPTITLE **D** ☐ Delete  
NAME **CONNOLLY, JAMES D.**  
STREET ADDRESS **190 SOUTH THORNE GROVE DRIVE**  
CITY-ST-ZIP **VERNON HILLS IL 60061**TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIPTITLE **VPTD** ☐ Delete  
NAME **METZ, FRED L.**  
STREET ADDRESS **760 INTERLAKEN**  
CITY-ST-ZIP **LAKE ZURICH IL 60047**TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIPTITLE **D** ☐ Delete  
NAME **KOOB, MICHAEL J.**  
STREET ADDRESS **1180 BRECKENRIDGE AVE**  
CITY-ST-ZIP **LAKE FOREST IL 60045**TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIPTITLE **VP** ☐ Delete  
NAME **POPOVIC, PREDRAG**  
STREET ADDRESS **6630 N. SPOKANE AVENUE**  
CITY-ST-ZIP **LINCOLNWOOD IL 60646**TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Thomas Oczkowski*

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

**THOMAS OCZKOWSKI**

4-10-01

Date

847-272-7400

Daytime Phone #

CR2E034 (10/00)

**WISS, JANNEY, ELSTNER ASSOCIATES, INC.**

As of 1/1/2001

Attachment  
951160  
#843498

**Board of Directors**

Kimball J. Beasley One Sutton Lane Princeton Junction, NJ 08550	Michal J. Koob 1180 Breckenridge Avenue Lake Forest, IL 60045
James D. Connolly 190 S. Thome Grove Drive Vernon Hills, IL 60061	Fred L. Metz 760 Interlaken Lake Zurich, IL 60047
John M. Hanson 301 Crabtree Crossing Cary, NC 27613-3458	Robert D. Paul 500 East 77 <sup>th</sup> Street, Apt. 2824 New York, NY 10162
Harry J. Hunderman 2223 Colfax Evanston, IL 60201	Thomas J. Rowe 3709 Cobblestone Court Bedford, TX 76021
Jack R. Janney 4600 S. Holly Cherry Hills Village, CO 80111	Daniel R. Toll 560 Green Bay Road, Suite 300 Winnetka, IL 60093
Gary J. Klein 4114 Howard Avenue Western Springs, IL 60558	William E. White 104 Highgate Court NE Eatonton, GA 31024

**Officers**

<b>President</b> William J. Nugent 300 Ahwahnee Lane Lake Forest, IL 60045	<b>Executive Vice President</b> Gary J. Klein 4114 Howard Western Springs, IL 60558
<b>Vice President</b> Thomas S. Oczkowski 121 Dierks Drive Western Springs, IL 60558	<b>Vice President</b> Ian R. Chin 1957 N. Larrabee Chicago, IL 60614
<b>Secretary</b> F. Dirk Heidbrink 1123 Donegal Lane Northbrook, IL 60062	<b>Vice President</b> Predrag L. Popovic 6630 N. Spokane Avenue Lincolnwood, IL 60646