2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 22, 2002 8:00 am
Secretary of State
04-22-2002 90294 007 ***158.75

DOCUMENT # 842517

1. Entity Name
CHARLES SCHWAB & CO., INC.

Principal Place of Business	Mailing Address
101 MONTGOMERY ST SAN FRANSCISCO CA 94104	101 MONTGOMERY STREET REGISTRATION. ~~863~~ SAN FRANCISCO CA 94104 US

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business	3. Mailing Address
Suite, Apt. #, etc.	101 MONTGOMERY ST. Suite, Apt. #, etc. REGISTRATION DEPT. 101-22
City & State	City & State SAN FRANCISCO, CA
Zip / Country	Zip 94104 / Country US

4. FEI Number 94-1737782 ☐ Applied For ☐ Not Applicable

5. Certificate of Status Desired XX **$8.75** Additional Fee Required

6. Name and Address of Current Registered Agent	7. Name and Address of New Registered Agent
CT CORPORATION SYSTEM 1200 S. PINE ISLAND ROAD PLANTATION FL 33324	Name Street Address (P.O. Box Number is Not Acceptable) City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____ DATE
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) XX

FILE NOW!!! FEE IS $150.00
After May 1, 2002 Fee will be $550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. ☐ **$5.00** May Be Added to Fees

11. OFFICERS AND DIRECTORS		12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	PD POTTRUCK, DAVID S 101 MONTGOMREY ST. SAN FRANCISCO CA 94104 ☐ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	☐ Change ☐ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	EVPC LEPORE, DAWN G 101 MONMTGOMERY ST. SAN FRANCISCO CA 94104 XX Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	CHAIRMAN & DIRECTOR CHARLES R. SCHWAB 101 MONTGOMERY ST. SAN FRANCISCO, CA 94104 ☐ Change XX Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	EVPC SCHEID, STEVEN L 101 MONTGOMERY ST. SAN FRANCISCO FL 94104 XX Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	EVP, CFO, & DIRECTOR CHRISTOPHER V. DODDS 101 MONTGOMERY ST. SAN FRANCISCO, CA 94104 ☐ Change XX Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	VP FISHEL, THOMAS C 101 MONTGOMERY STREET SAN FRANCISCO CA ☐ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	☐ Change ☐ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	EVP DWYER, CARRIE 101 MONTGOMERY STREET SAN FRANCISCO CA ☐ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	EVP & CORP. SECRETARY DWYER, CARRIE 101 MONTGOMERY ST. SAN FRANCISCO, CA 94104 XX Change ☐ Addition
TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	☐ Delete	TITLE / NAME / STREET ADDRESS / CITY-ST-ZIP	DIRECTOR SHEILA J. LINDSAY 101 MONTGOMERY ST. SAN FRANCISCO, CA 94104 ☐ Change XX Addition

CR2E034 (9/01)

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Sheila J. Lindsay SHEILA J. LINDSAY, DIRECTOR 04/08/2002 (415) 636-8474
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR — Date — Daytime Phone #