

PROFIT
CORPORATION
ANNUAL REPORT
1998



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

FILED

98 JUN -1 PM 1:26

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DOCUMENT # 842517

(5)

1. Corporation Name

CHARLES SCHWAB & CO., INC.

Principal Place of Business

101 MONTGOMERY ST
SAN FRANCISCO CA 94104

Mailing Address

101 MONTGOMERY STREET
REGISTRATION 333-24
SAN FRANCISCO CA 94104-4122

2. Principal Place of Business

11 Suite, Apt. #, etc.

12 City & State

13 Zip 14 Country
25 26

2a. Mailing Address

26 101 Montgomery Street

Suite, Apt. #, etc.

27 Registration, 88-3

28 City & State
29 San Francisco, CA
30 Zip Country

3. Date Incorporated or Qualified

02/01/1979

3a. Date of Last Report

02/12/97

4. FEI Number

94-1737782

Applied For

Not Applicable

5. Certificate of Status Desired

☒

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐

\$5.00 May Be
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,
Florida Statutes ☐ Yes ☐ No

9. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE	PD	☐ DELETE
NAME	POTTRUCK, DAVID S	
STREET ADDRESS	101 MONTGOMERY ST.	
CITY-ST-ZIP	SAN FRANCISCO CA 94104	
TITLE	EVPC	☐ DELETE
NAME	LEPORE, DAWN G	
STREET ADDRESS	101 MONTGOMERY STREET	
CITY-ST-ZIP	SAN FRANCISCO CA 94104	
TITLE	EVPC	☐ DELETE
NAME	SCHEID, STEVEN L	
STREET ADDRESS	101 MONTGOMERY ST.	
CITY-ST-ZIP	SAN FRANCISCO FL 94104	
TITLE	VP	☐ DELETE
NAME	FISHEL, THOMAS C	
STREET ADDRESS	101 MONTGOMERY STREET	
CITY-ST-ZIP	SAN FRANCISCO CA	
TITLE	SVP	☒ DELETE
NAME	TEMPLETON, MARY B.	
STREET ADDRESS	101 MONTGOMERY STREET	
CITY-ST-ZIP	SAN FRANCISCO CA	
TITLE		☐ DELETE
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE	300002545233-5	☐ Change ☐ Addition
1.2 NAME	-06/03/98--01009--014	
1.3 STREET ADDRESS	****400.00 ****400.00	
1.4 CITY-ST-ZIP		
2.1 TITLE	300002545233-2	☐ Change ☐ Addition
2.2 NAME	-06/03/98--01009--015	
2.3 STREET ADDRESS	****150.00 ****150.00	
2.4 CITY-ST-ZIP		
3.1 TITLE	300002545233--2	☐ Change ☐ Addition
3.2 NAME	-06/03/98--01009--016	
3.3 STREET ADDRESS	*****8.75 *****8.75	
3.4 CITY-ST-ZIP		
4.1 TITLE		☐ Change ☐ Addition
4.2 NAME		
4.3 STREET ADDRESS		
4.4 CITY-ST-ZIP		
5.1 TITLE	EVP	☐ Change ☒ Addition
5.2 NAME	DWYER, CARRIE	
5.3 STREET ADDRESS	101 MONTGOMERY STREET	
5.4 CITY-ST-ZIP	SAN FRANCISCO, CA	
6.1 TITLE		☐ Change ☐ Addition
6.2 NAME		
6.3 STREET ADDRESS		
6.4 CITY-ST-ZIP		

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Thomas C. Fishel

5/29/98

(415)636-1160

Daytime Phone #