



2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 26, 2004 8:00 am
Secretary of State

04-05-2004 90051 029 ***150.00

DOCUMENT # 839753 1. Entity Name PHOENIX ASSURANCE COMPANY OF NEW YORK					
Principal Place of Business 9300 ARROWPOINT BLVD. CHARLOTTE, NC 28273 US			Mailing Address 9300 ARROWPOINT BLVD. CHARLOTTE, NC 28273 US		
2. Principal Place of Business		3. Mailing Address			
Suite, Apt. #, etc.		Suite, Apt. #, etc.			
City & State		City & State			
Zip	Country	Zip	Country		
6. Name and Address of Current Registered Agent				7. Name and Address of New Registered Agent	
CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301				Name	
				Street Address (P.O. Box Number is Not Acceptable)	
				City	
				FL Zip Code	
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.					
SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) <small>Signature, typed or printed name of registered agent and title if applicable.</small>					
FILE NOW!!! FEE IS \$150.00 After May 1, 2004 Fee will be \$550.00		9. Election Campaign Financing Trust Fund Contribution. <input type="checkbox"/>		\$5.00 May Be Added to Fees	
10. OFFICERS AND DIRECTORS			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD BRODERICK, TERRY 9300 ARROWPOINT BLVD. CHARLOTTE, NC 28273	<input type="checkbox"/> Delete		TITLE NAME STREET ADDRESS CITY-ST-ZIP	PCEO TIGHE, JOHN
				<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DSVP FISHER, JOSEPH F 9300 ARROWPOINT BLVD. CHARLOTTE, NC 28273	<input type="checkbox"/> Delete		TITLE NAME STREET ADDRESS CITY-ST-ZIP	DSVP CFO
				<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Delete		TITLE NAME STREET ADDRESS CITY-ST-ZIP	DSVP GC LAWRENCE, LAURA S.
				<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Delete		TITLE NAME STREET ADDRESS CITY-ST-ZIP	CS PETTIGREW, LINDA Y.
				<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Delete		TITLE NAME STREET ADDRESS CITY-ST-ZIP	T FULLER, GWYN
				<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Delete		TITLE NAME STREET ADDRESS CITY-ST-ZIP	VPC DAVENPORT, DAVID M.
				<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition	
12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.					
SIGNATURE: 		Joseph F. Fisher		02/20/04	
<small>SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR</small>		<small>Date</small>		704-522-2000	
		<small>Daytime Phone #</small>			

064147de



02192004 Chg-P CR2E034 (10/03)

Attachment

Directors / Officers Report

As of 02/20/2004

Phoenix Assurance Company of New York

166447de
#839753

Directors

John Tighe

Chairman

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Sean A. Beatty

Director

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Dennis W. Cahill

Director

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Robert J. Dixon

Director

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, North Carolina 28273

Joseph F. Fisher

Director

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Laura S. Lawrence

Director

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Andre Lefebvre

Director

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Joseph J. Mistretta

Director

Primary Address: 9 Farm Springs Road
Farmington, CT 06032

James G. Williams III

Director

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273-8135

Officers

John Tighe

President and Chief Executive Officer

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Sean A. Beatty

Senior Vice President

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Dennis W. Cahill

Senior Vice President and Chief Operating Officer

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Robert J. Dixon

**Senior Vice President and Chief Human Resource
Officer**

Primary Address: 9300 Arrowpoint Blvd.

Attachment

Directors / Officers Report

As of 02/20/2004

Phoenix Assurance Company of New York

Charlotte, North Carolina 28273

6664147666

839753

Joseph F. Fisher

Senior Vice President and Chief Financial Officer

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Laura S. Lawrence

Senior Vice President and General Counsel

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Andre Lefebvre

Senior Vice President and Financial Risk Officer

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Joseph J. Mistretta

Senior Vice President and Chief Claims Officer

Primary Address: 9 Farm Springs Road
Farmington, CT 06032

James G. Williams III

Senior Vice President and Chief Information Officer

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273-8135

John W. Bartlett

Vice President

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Christopher A. Benson

Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Peter J. Brown III

Vice President

Primary Address: 1045 James Street
Syracuse, NY 13221

Catherine A. Carlino

Vice President and Finance Officer

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

David M. Davenport

Vice President and Controller

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, North Carolina 28273

John T. Dummler

Vice President

Primary Address: Two Jericho Plaza
Jericho, NY 11753-0873

Donn Eaton

Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Gary R. Garcia

Vice President

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Jonathan H. Gice

Vice President

Primary Address: 9 Farm Springs Road
Farmington, CT 06032

David K. Graham

Vice President and Chief Reinsurance Officer

Attachment

Directors / Officers Report

As of 02/20/2004

Phoenix Assurance Company of New York

6664147666
#839753

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Christopher Heeley Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Roderick P. Hoover, Jr. Vice President

Primary Address: 9200 Arrowpoint Blvd.
Charlotte, NC 28273

Andrew F. Jacobson Vice President

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273-8135

Rosemary A. Juster Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Alan E. Kaliski Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Susan C. Kesselman Vice President

Primary Address: Susan C. Kesselman
One Chase Plaza, 38th Floor
New York, NY 10005-1401

John J. Limpert Vice President and Chief Actuary

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Gregory J. Madson Vice President

Primary Address: 1224 Deming Way
Madison, WI 53717

Elizabeth J. McLaughlin Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273-8135

Michael P. McLaughlin Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Joseph J. Monteleone Vice President

Primary Address: 9300 Arrowpoint Blvd.,
Charlotte, NC 28273-8135

David North Vice President

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Michael K. Ott Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Marvin L. Quinn Vice President

Primary Address: 1107 Parkway Drive

Attachment

Directors / Officers Report

As of 02/20/2004

Phoenix Assurance Company of New York

Goldsboro, NC 27533

61641471dp
#839753

Patti Redden

Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Donald L. Rinkert

Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

David D. Shumway

Vice President and Chief Investment Officer

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273-8135

Tamara J. Stringer

Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Thomas G. Walsh

Vice President

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Linda Y. Pettigrew

Corporate Secretary

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273-8135

Judy S. Spitzer

Assistant Corporate Secretary

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273 (Mecklenburg)

Gwyn Fuller

Treasurer

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Susan Pachapa

Tax Officer

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273