

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

Page 1 of 3

0617767 AT

DOCUMENT # 838957

1. Entity Name
CIBC WORLD MARKETS CORP.



FILED

03 JAN 21 AM 10:51

SECRETARY OF STATE
TALLAHASSEE, FLORIDA



Principal Place of Business
245 PARK AVENUE
NEW YORK NY 10167
US

Mailing Address
245 PARK AVENUE
NEW YORK NY 10167
US

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number 13-2798343

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

☒ CHECK HERE IF MAKING CHANGES

6. Name and Address of Current Registered Agent

THE PRENTICE-HALL CORPORATION SYSTEM INC.
1201 HAYS STREET
TALLAHASSEE FL 32301

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE	D	<input type="checkbox"/> Delete
NAME	PERMUT, KYLE	
STREET ADDRESS	200 LIBERTY STREET	
CITY-ST-ZIP	NEW YORK NY 10281	
TITLE	D	<input type="checkbox"/> Delete
NAME	PHOENIX, WILLIAM	
STREET ADDRESS	425 LEXINGTON AVE	
CITY-ST-ZIP	NEW YORK NY 10017	
TITLE	COMD	<input type="checkbox"/> Delete
NAME	WEISS, MICHAEL	
STREET ADDRESS	415 5TH AVENUE	
CITY-ST-ZIP	NEW YORK NY 10016	See attached
TITLE	PD	<input type="checkbox"/> Delete
NAME	ROGERS, PAUL	
STREET ADDRESS	425 LEXINGTON AVE	
CITY-ST-ZIP	NEW YORK NY 10017	
TITLE	VCD	<input type="checkbox"/> Delete
NAME	HEYER, ANDRES	
STREET ADDRESS	425 LEXINGTON AVENUE	
CITY-ST-ZIP	NEW YORK NY 10017	
TITLE	S	<input type="checkbox"/> Delete
NAME	BOURDON, PATRICIA	
STREET ADDRESS	200 LIBERTY ST	
CITY-ST-ZIP	NEW YORK NY 10281	

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	Please see attached list of officers & Directors	
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Signature Required

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

January 14, 2003

Date

Daytime Phone #

CR2E034 (10/02)

PAGE 203

CIBC WORLD MARKETS CORP.

Directors:

Gary Brown – 425 Lexington Avenue
New York, NY 10017

Michael G. Capatides – 245 Park Avenue
New York, NY 10167

Sal Iannuzzi – 425 Lexington Avenue
New York, NY 10017

David J. Kassie – BCE Place, 161 Bay Street
Toronto, Ontario M5J2S8

Stuart Raftus – 622 Third Avenue
New York, NY 10017

Bruce Renihan – 245 Park Avenue
New York, NY 10167

Paul Rogers – 425 Lexington Avenue
New York, NY 10017

Brian Shaw – BCE Place, 161 Bay Street
Toronto, Ontario M5J2S8

Officers:

Paul Rogers – President – 425 Lexington Avenue, New York, NY 10017

Paul Rubacky – Chief Financial Officer – 245 Park Avenue, New York, NY 10167

Lawrence P. Spaulding – Chief Operations Officer and Managing Director – 417 5th Avenue, New York, NY 10016

Jeffrey Thibeault – Chief Compliance Officer – 245 Park Avenue, New York, NY 10167

Jay Bloom – Vice Chairman – 425 Lexington Avenue, New York, NY 10017

Gary Brown – Managing Director – 425 Lexington Avenue, New York, NY 10017

Michael Capatides – Managing Director – 245 Park Avenue, New York, NY 10167

Andrew Heyer – Vice Chairman – 425 Lexington Avenue, New York, NY 10017

Sal Iannuzzi – Managing Director – 425 Lexington Avenue, New York, NY 10017

Dean Kehler – Vice Chairman – 425 Lexington Avenue, New York, NY 10017

Stuart Raftus – Managing Director – 622 Third Avenue, New York, NY 10017

Brian Shaw – Vice Chairman – BCE Place, 161 Bay Street, Toronto, Ontario M5J2S8

Charles Gerber – General Counsel – 245 Park Avenue, New York, NY 10167

Joyce Burns – Managing Director – Tax – 245 Park Avenue, New York, NY 10167

Patricia Bourdon – Secretary – 1 Federal Street, Boston, Massachusetts 02110

Elliot Ganz – Assistant Secretary – 245 Park Avenue, New York, NY 10167



PAYC 312

ACCOUNT NO. : 072100000032

REFERENCE : 897950 4320754

AUTHORIZATION :

Patricia Pijut

COST LIMIT : \$ 150.00

ORDER DATE : January 17, 2003

ORDER TIME : 9:34 AM

ORDER NO. : 897950-005

CUSTOMER NO: 4320754

CUSTOMER: Mr. Gregory Rodriguez
Canadian Imperial Bank Of
245 Park Avenue
42nd Floor
New York, NY 10167

ANNUAL REPORT FILING

NAME: CIBC WORLD MARKETS CORP.

XX ANNUAL REPORT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX PLAIN STAMPED COPY

CONTACT PERSON: Ginger Simmons - Ext. 1139

EXAMINER'S INITIALS: _____

RECEIVED
03 JAN 21 AM 8 47
DIVISION OF CORPORATION